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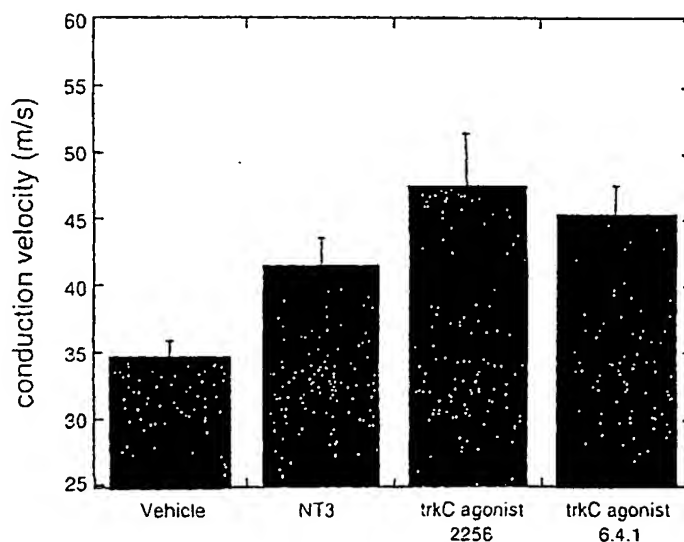
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(54) Title: AGONIST ANTI-TRK-C MONOCLONAL ANTIBODIES

Treatment with trkC Agonists Reverses Cisplatin-Induced Sensory Neuropathy



(57) Abstract: The invention concerns agonist anti-trkC monoclonal antibodies which mimic certain biological activities of NT-3, the native ligand of trkC. The invention further concerns the use of such antibodies in the prevention and/or treatment of cellular degeneration, including nerve cell damage associated with acute nervous cell system injury and chronic neurodegenerative diseases, including peripheral neuropathy.

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## AGONIST ANTI-TRK-C MONOCLONAL ANTIBODIES

Background of the InventionField of the Invention

- 5           This invention concerns agonist anti-trkC monoclonal antibodies. It further concerns the use of the agonist antibodies in the prevention and/or treatment of cellular degeneration, including nerve cell damage associated with acute nervous cell system injury and chronic neurodegenerative diseases, including peripheral neuropathy.

Description of the Related Art

- 10           Neurotrophins are a family of small, basic proteins, which play a crucial role in the development and maintenance of the nervous system. The first identified and probably best understood member of this family is nerve growth factor (NGF), which has prominent effects on developing sensory and sympathetic neurons of the peripheral nervous system (Levi-Montalcini, R. and Angeletti, P.U., Physiol. Rev. 48, 534-569 [1968]; Thoenen, H. *et al.*, Rev. Physiol. Biochem. Pharmacol. 109, 145-178 [1987]). Although NGF had been known for a long time, including a  
15           homolog from the mouse submandibular gland, the mature, active form of which is often referred to as - or 2.5S NGF, it was only many years later that sequentially related but distinct polypeptides with similar functions were identified.

- The first in line was a factor called brain-derived neurotrophic factor (BDNF), which was cloned and sequenced by Leibrock, J. *et al.* (Nature 341, 149-152 [1989]). This factor was originally purified from pig brain (Barde, Y.A. *et al.*, EMBO J. 1, 549-553 [1982]), but it was not until its cDNA was cloned and sequenced that its  
20           homology with NGF became apparent. The overall amino acid sequence identity between NGF and BDNF is about 50%. In view of this finding, Leibrock *et al.* speculated that there was no reason to think that BDNF and NGF should be the only members of a family of neurotrophins having in common structural and functional characteristics.

- Indeed, further neurotrophins closely related to -NGF and BDNF have since been discovered. Several groups identified a neurotrophin originally called neuronal factor (NF), and now referred to as neurotrophin-3 (NT-3) (Ernfors *et al.*, Proc. Natl. Acad. Sci. USA 87, 5454-5458 [1990]; Höhn *et al.*, Nature 344, 339 [1990]; Maisonpierre *et al.*, Science 247, 1446 [1990]; Rosenthal *et al.*, Neuron 4, 767 [1990]; Jones and Reichardt, Proc. Natl. Acad. Sci. USA 87, 8060-8064 [1990]; Kaisho *et al.*, FEBS Lett. 266, 187 [1990]). NT-3 shares about 50% of its amino acids with both -NGF and BDNF (NT-2). Neurotrophins-4 and -5 (NT-4 and NT-5), have been added to the family (U.S. Patent No. 5,364,769 issued November 15, 1994; Hallbook, F. *et al.*, Neuron 6, 845-858 [1991]; Berkmeier, L.R. *et al.*, Neuron 7,  
30           857-866 [1991]; Ip *et al.*, Proc. Natl. Acad. Sci. USA 89, 3060-3064 [1992]). The mammalian molecule initially described by Berkmeier *et al. supra*, which was subsequently seen to be the homolog of *Xenopus* NT-4, is usually referred to as NT-4/5. In addition, there is an acidic homologous protein described in mammals which is referred to as NT-6 (Berkmeier, *et al.*, Somat. Cell Mol. Genet. 18(3):233-245 [1992]). More recently, another homologous protein from the fish, *Xiphophorus* has also been labeled NT-6 (Gotz *et al.*, Nature 372:266-269 [1994]). There are two  
35           proteins described in the literature as NT-7, one cloned from the carp, *Cyprinus*, (Lai, *et al.*, Mol. Cell. Neurosci. 11(1-

2):64-76 [1998]) and one from the zebrafish, *Danio* (Nilsson *et al.*, FEBS Letters 424(3):285-90 [1998]). None of these last three described fish neurotrophins has been described outside fish, and their relationship to any mammalian neurotrophins is unclear. The amino acid sequence of zebrafish neurotrophin-7 (zNT-7) is more closely related to that of fish nerve growth factor (NGF) and neurotrophin-6 (NT-6) than to that of any other neurotrophin. zNT-7 is, however, equally related to fish NGF and NT-6 (65% and 63% amino acid sequence identity, respectively) indicating that it represents a distinct neurotrophin sequence. zNT-7 contains a 15 amino acid residue in a beta-turn region in the middle of the mature protein. Recombinant zNT-7 was able to bind to the human p75 neurotrophin receptor and to induce tyrosine phosphorylation of the rat trkA receptor tyrosine kinase, albeit less efficiently than rat NGF. zNT-7 did not interact with rat trkB or trkC, indicating a similar receptor specificity as NGF. We propose that a diversification of the NGF subfamily in the neurotrophin evolutionary tree occurred during the evolution of teleost fishes which in the appearance of several additional members, such as zNT-7 and NT-6, is structurally and functionally related to NGF.

Neurotrophins, similarly to other polypeptide growth factors, affect their target cells through interactions with cell surface receptors. According to our current knowledge, two kinds of transmembrane glycoproteins serve as receptors for neurotrophins. Equilibrium binding studies have shown that neurotrophin-responsive neurons possess a common low molecular weight (65-80 kDa), low affinity receptor (LNGFR), also termed as p75<sup>NTR</sup> or p75, which binds NGF, BDNF, and NT-3 with a  $K_D$  of  $2 \times 10^{-8}$  M, and large molecular weight (130-150 kDa), high affinity ( $K_D$  in the  $10^{-11}$  M) receptors, which are members of the trk family of the receptor tyrosine kinases.

The first member of the trk receptor family, trkA, was initially identified as the result of an oncogenic transformation caused by the translocation of tropomyosin sequences onto its catalytic domain (Martin-Zanca *et al.*, Mol. Cell. Biol. 9(1):24-33 [1989]). Later work identified trkA as a signal transducing receptor for NGF. Subsequently, two other related receptors, mouse and rat trkB (Klein *et al.*, EMBO J. 8, 3701-3709 [1989]; Middlemas *et al.*, Mol. Cell. Biol. 11, 143-153 [1991]; EP 455,460 published 6 November 1991) and porcine, mouse and rat trkC (Lamballe *et al.*, Cell 66, 967-979 [1991]; EP 522,530 published 13 January 1993), were identified as members of the trk receptor family. The structures of the trk receptors are quite similar, but alternate splicing increases the complexity of the family by giving rise to two known forms of trkA, three known forms of trkB (two without functional tyrosine kinase domains) and at least four forms of trkC (several without functional tyrosine kinase domain, and two with small inserts in the tyrosine kinase domain).

The role of the p75 and trk receptors is controversial. It is generally accepted that trk receptor tyrosine kinases play an important role in conferring binding specificity to a particular neurotrophin, however, cell lines expressing trkA bind not only NGF but also NT-3 and NT-4/5 (but not BDNF), trkB expressing cells bind BDNF, NT-3, NT-4, and NT-4/5 (but not NGF), in contrast to trkC-expressing cells which have been reported to bind NT-3 alone (but not the other neurotrophins). Furthermore, it has been shown in model systems that the various forms of trk receptors, arising from alternate splicing events, can activate different intracellular signalling pathways, and therefore presumably mediate different physiological functions *in vivo*. It is unclear whether cells expressing a given trk receptor



in the absence of p75 bind neurotrophins with low or high affinity (Meakin and Shooter, Trends Neurosci. **15**, 323-331 [1992]).

Published results of studies using various cell lines are confusing and suggest that p75 is either essential or dispensable for neurotrophin responsiveness. Cell lines that express p75 alone bind NGF, BDNF, NT-3, and NT-4 with similar low affinity at equilibrium, but the binding rate constants are remarkably different. As a result, although p75-binding is a common property of all neurotrophins, it has been suggested the p75 receptor may also play a role in ligand discrimination (Rodriguez-Tebar et al., EMBO J. **11**, 917-922 [1992]). While the trk receptors have been traditionally thought of as the biologically significant neurotrophin receptors, it has recently been demonstrated that in melanoma cells devoid of trkA expression, NGF can still elicit profound changes in biological behavior presumably through p75 (Herrmann et al., Mol. Biol. Cell **4**, 1205-1216 [1993]). Davies et al. (Neuron **11**, 565-574 [1993]) reported the results of studies investigating the role of p75 in mediating the survival response of embryonic neurons to neurotrophins in a model of transgenic mice carrying a null mutation in the p75 gene. They found that p75 enhances the sensitivity of NGF-dependent cutaneous sensory neurons to NGF. There have now been many studies showing that p75 is capable of mediating at least some of the biological effects of the neurotrophins. The field is still somewhat controversial, but p75 signaling has been implicated in controlling cell death, and neurite outgrowth. (Barker, PA, Cell Death Diff. **5**:346-356 [1998]; Bredesen et al., Cell Death Diff. **5**:357-364 [1998]; Casaccia-Bonnel, et al., Cell Death Diff. **5**:357-364 [1998]; Raoul et al., Curr. Op. Neurobiol. **10**:111-117 [2000]; Davies, AM, Curr. Biol. **10**:R198-R200 [2000]). Importantly, stimulation of p75 has been shown to modify the effects of stimulating trkC (Hapner, et al., Developm. Biol. **201**:90-100 [1998]).

The extracellular domains of full-length native trkA, trkB and trkC receptors have five functional domains, that have been defined with reference to homologous or otherwise similar structures identified in various other proteins. The domains have been designated starting at the N-terminus of the amino acid sequence of the mature trk receptors as 1) a first cysteine-rich domain extending from amino acid position 1 to about amino acid position 32 of human trkA, from amino acid position 1 to about amino acid position 36 of human trkB, and from amino acid position 1 to about amino acid position 48 of human trkC; 2) a leucine-rich domain stretching from about amino acid 33 to about amino acid 104 in trkA; from about amino acid 37 to about amino acid 108 in trkB, and from about amino acid 49 to about amino acid 120 in trkC; 3) a second cysteine-rich domain from about amino acid 105 to about amino acid 157 in trkA; from about amino acid 109 to about amino acid 164 in trkB; and from about amino acid 121 to about amino acid 177 in trkC; 4) a first immunoglobulin-like domain stretching from about amino acid 176 to about amino acid 234 in trkA; from about amino acid 183 to about amino acid 239 in trkB; and from about amino acid 196 to about amino acid 257 in trkC; and 5) a second immunoglobulin-like domain extending from about amino acid 264 to about amino acid 330 in trkA; from about amino acid 270 to about amino acid 334 in trkB; and from about amino acid 288 to about amino acid 351 in trkC.

Neurotrophins exhibit actions on distinct, but overlapping, sets of peripheral and central neurons. These effects range from playing a crucial role in ensuring the survival of developing neurons (NGF in sensory and

sympathetic neurons) to relatively subtle effects on the morphology of neurons (NT-3 on purkinje cells). These activities have led to interest in using neurotrophins as treatments of certain neurodegenerative diseases. NT-3 has also been found to promote proliferation of peripheral blood leukocytes and, as a result, it has been suggested that NT-3 can be used in the treatment of neutropenia, infectious disease and tumors (U.S. Patent No. 6,015,552 issued on June 18, 2000).

The roles of neurotrophins in regulating cardiovascular development and modulating the vascular response to injury have also been investigated (Donovan *et al.*, Nature Genetics 14:210-213 [1996]; Donovan *et al.*, A.J. Path. 147:309-324 [1995]; Kraemer *et al.*, Arteriol. Thromb. and Vasc. Biol. 19:1041-1050 [1999]). Neurotrophins have been described as potential therapeutics for regulating angiogenesis and vascular integrity (PCT Publication WO 00/24415, published May 4, 2000).

Despite their promise in the treatment of cellular degeneration, such as occurs due to neurodegenerative disease and acute neuronal injuries, and potentially angiogenesis, neurotrophins have several shortcomings. One significant shortcoming is the lack of specificity. Most neurotrophins cross-react with more than one receptor. For example NT-3, the preferred ligand of the trkC receptor tyrosine kinase, also binds to and activates trkA and trkB (Barbacid, J. Neurobiol. 25:1386-1403 [1994]; Barbacid, Ann. New York Acad. Sci. 766:442-458 [1995]; Ryden and Ibanez, J. Biol. Chem. 271:5623-5627 [1996]; Belliveau *et al.*, J. Cell. Biol. 136:375-388 [1997]; Farinas *et al.*, Neuron 21:325-334 [1998]). As a result, it is difficult to devise therapies that target a specific population of neurons. Another limitation of neurotrophin therapy is that neurotrophins, including NT-3 are known to elicit hyperalgesia (Chaudhry, *et al.*, Muscle and Nerve 23:189-192 [2000]). In addition, some neurotrophins such as NT-3 have poor pharmacokinetic and bioavailability properties in rodents, which raise serious questions about their human clinical applications (Haase *et al.*, J. Neurol. Sci. 160:S97-S105 [1998], dosages used in Helgren *et al.*, J. Neurosci. 17(1):372-82 [1997], and data below).

Accordingly, there is a great need for the development of new therapeutic agents for the treatment of neurodegenerative disorders and acute nerve cell injuries that are devoid of the known shortcomings of neurotrophins.

#### Summary of the Invention

The current invention is based on the development and characterization of agonist anti-trkC monoclonal antibodies, directed against epitopes in the extracellular domain of trkC receptor, which mimic the biological activities of NT-3, the natural ligand of trkC receptor but are free of some of the known detriments of NT-3. The invention also demonstrates the usefulness of these agonist antibodies in the treatment of neuropathy in an experimental animal model. Anti-trkC agonist antibodies offer numerous advantages over NT-3 in prophylactic or therapeutic treatment of cellular degeneration, such as nerve cell damage, in particular nerve cell injury associated with neurodegenerative diseases, such as peripheral neuropathies or due to external factors, such as trauma, toxic agents, surgery, just to mention a few.

In one aspect, the invention concerns an agonist anti-trkC monoclonal antibody which

- (a) shows no significant cross-reactivity with trkA or trkB; and
- (b) recognizes an epitope in domain 5 of trkC.

Certain agonist antibodies of the present invention may additionally recognize an epitope in domain 4 of trkC. In a preferred embodiment, the antibodies bind both human and rodent (e.g. rat or mouse) trkC, and may be murine, chimeric (including humanized) or human antibodies. The antibodies mimic at least one activity of the native trkC ligand, NT-3, and may thus be effective in the prevention and/or treatment of various diseases involving cellular degeneration, including, for example, neuropathies, such as cisplatin- or pyridoxine-induced neuropathy, or diabetic neuropathy, and (where cellular degeneration involves bone marrow cell degeneration) disorders of insufficient blood cells, such as leukopenias including eosinopenia and/or basopenia, lymphopenia, monocytopenia, and neutropenia. In a particularly preferred embodiment, the agonist antibodies of the present invention show superior properties over NT-3, for example, do not cause hyperalgesia when administered to a patient, have increased bioavailability and/or higher specific activity as compared to NT-3.

In another aspect, the invention concerns an anti-trkC antibody heavy chain comprising the following CDR's: a CDR1 selected from the group consisting of SEQ ID NOs: 1, 2, 3, 4 and 5; a CDR2 selected from the group consisting of SEQ ID NOs: 6, 7, 8, 9, 10 and 11; and a CDR3 selected from the group consisting of SEQ ID NOs: 12, 13, 14, 15, 16 and 17.

In yet another aspect, the invention concerns an anti-trkC antibody light chain comprising the following CDR's: a CDR1 selected from the group consisting of SEQ ID NOs: 18, 19, 20, 21, 22, 23 and 24; a CDR2 selected from the group consisting of SEQ ID NOs: 25, 26, 27, 28, 29 and 30; and a CDR3 selected from the group consisting of SEQ ID NOs: 31, 32, 33, 34, 35 and 36.

In a further aspect, the invention concerns a murine anti-trkC antibody heavy chain comprising the following CDR's:

- (a) a CDR1 of the formula XaaWXaaXaaWVK (SEQ ID NO: 37), wherein Xaa at position 1 is F or Y; Xaa at position 3 is I or M; and Xaa at position 4 is E or H;
- (b) a CDR2 of the formula EIXaaPXaaXaaXaaXaaTNYNEKFKXaa (SEQ ID NO: 38), wherein Xaa at position 3 is L or Y; Xaa at position 5 is G or S; Xaa at position 6 is S or N; Xaa at position 7 is D or G; Xaa at position 8 is N or R and Xaa at position 16 is G or S; and
- (c) a CDR3 of the formula KNRNYYGNYVV (SEQ ID NO: 12) or KYYYGNSYRSWYFDV (SEQ ID NO: 13).

In a still further aspect, the invention relates to a human anti-trkC antibody heavy chain comprising the following CDR's:

- (a) a CDR1 of the formula XaaXaaXaaYYWXaa (SEQ ID NO: 39), wherein Xaa at position 1 is S or I; Xaa at position 2 is G or S; Xaa at position 3 is G, T or Y, and Xaa at position 7 is S or N;
- (b) a CDR2 of the formula XaaXaaXaaSGSXaaTXaaNPSLKS (SEQ ID NO: 40), wherein Xaa at position 1 is Y or R; Xaa at position 3 is Y or F; Xaa at position 4 is Y or T; Xaa at position 8 is S or R; and Xaa at position 10 is N or Y; and

(c) a CDR3 of the formula selected from the group consisting of DRDYDSTG DYYSYGM DV (SEQ ID NO: 14); DGGYSNPFD (SEQ ID NO: 15); ERIAAAGXaaDY YNGLXaaV (SEQ ID NO: 41), wherein Xaa at position 8 is A or T and Xaa at position 16 is D or A.

In another aspect, the invention concerns an anti-trkC agonist monoclonal  
5 antibody comprising a heavy chain comprising the CDR's of the murine anti-trkC antibody heavy chain of claim 14 associated with a light chain. The antibody preferably is human or comprises human framework residues, and preferably shows no significant cross-reactivity with trkA or trkB. Throughout the application, antibodies are defined in the broadest sense, and specifically include antibody fragment, such as an Fv fragment, Fab fragment, Fab' or F(ab')<sub>2</sub> fragment. Antibodies of all classes and isotypes are included, but IgG, in particular IgG-2 and IgG-4 are preferred.

10 In yet another aspect, the invention concerns isolated nucleic acid encoding a murine or human anti-trkC agonist antibody heavy or light chain, or a fragment thereof. In a specific embodiment, the nucleic acid is a nucleic acid molecule deposited with ATCC on June 21, 2000 under an accession number selected from the group consisting of PTA-2133, PTA-2134, PTA-2135, PTA-2136, PTA-2137, PTA-2138, PTA-2139, PTA-2140, PTA-2141, PTA-2142 and PTA-2143.

15 In a further aspect, the invention concerns a vector comprising a nucleic acid molecule encoding an antibody heavy and/or light chain as hereinabove defined. The invention also concerns cells transformed with such nucleic acid. The invention further concerns hybridoma cell lines transformed with such nucleic acid and antibodies produced by such hybridoma cells.

In a still further aspect, the invention concerns a pharmaceutical composition comprising an effective amount  
20 of an agonist anti-trkC monoclonal antibody as hereinabove defined in admixture with a pharmaceutically acceptable carrier.

In another aspect, the invention concerns a method for treating a disease or condition involving cell degeneration, comprising administering to a mammal an effective amount of an agonist anti-trkC antibody disclosed herein.

25 In yet another aspect, the invention concerns a method for treating a neuropathy or neurodegenerative disease, or repairing a damaged nerve cell comprising administering to a mammal an effective amount of an agonist anti-trkC antibody disclosed herein. The neuropathy may, for example, be a peripheral neuropathy, including, without limitation, diabetic neuropathy and large-fiber sensory neuropathies. The neurodegenerative disease may, for example, be amyotrophic lateral sclerosis (ALS), Alzheimer's disease, Parkinson's disease, Huntington's disease. The damaged  
30 neurons may be peripheral, such as sensory, e.g. dorsal root ganglia neurons, motor neurons, e.g. neurons from the spinal cord, or central neurons, and the injury may be due to a variety of external and internal factors, including trauma, exposure to neurotoxins, metabolic diseases, infectious agents, etc.

In a further aspect, the invention concerns a method for promoting the development, proliferation, maintenance or regeneration of peripheral neurons, comprising contacting such neurons with an effective amount of an  
35 antibody of the present invention.

In a still further aspect, the invention concerns a method for the treatment (including prevention) of a disease or condition involving cell degeneration in a mammalian subject by introducing nucleic acid encoding an anti-trkC antibody herein into a cell of such subject. The method (gene therapy) preferably concerns the treatment of a neuropathy or neurodegenerative disease, or reparation of a damaged nerve cell. Accordingly, the recipient cells preferably are nerve cells.

In yet another aspect, the invention concerns delivery vehicles containing genetic material (nucleic acid) encoding an anti-trkC antibody suitable for gene therapy use.

In an additional aspect, the invention concerns a method of inducing angiogenesis by delivering an anti-trkC antibody of the present invention in an amount effective to induce angiogenesis. The delivery specifically includes the administration of the antibodies and the delivery of nucleic acid encoding the antibodies (e.g. in gene therapy).

In yet another aspect, the invention concerns an isolated nucleic acid molecule encoding a murine or human anti-trkC agonist antibody heavy or light chain selected from the group consisting of SEQ ID NO: 58, SEQ ID NO: 59, SEQ ID NO: 60, SEQ ID NO: 61, SEQ ID NO: 62, SEQ ID NO: 63, SEQ ID NO: 64, SEQ ID NO: 65; SEQ ID NO: 66; SEQ ID NO: 67, SEQ ID NO: 68, SEQ ID NO: 69, SEQ ID NO: 70 and SEQ ID NO: 71. The present invention also concerns a polypeptide encoded by one or more of the isolated nucleic acid molecules.

In another aspect, the invention concerns a whole cell transformed with nucleic acid encoding murine or human anti-trkC agonist antibody heavy chain, light chain or both heavy and light chain.

#### Brief Description of the Drawings

Figures 1A-D show agonist activity of various human (A and C) and murine (B and D) monoclonal antibodies against trkC receptor demonstrated using KIRA (A and B) and PC12 neurite outgrowth assay (C and D). Protein A purified monoclonal antibodies were diluted to 27 µg/ml in KIRA stimulation buffer (F12/DMEM 50:50 containing 2% bovine serum albumin [BSA, Intergen Co., Purchase, NY] and 25 mM Hepes, 0.2 µm filtered). The monoclonal antibodies were then diluted 1:3 (8 dilutions total; concentrations ranged from 0.01-180 nM Mab) in stimulation media. GD-transfected CHO cells ( $5 \times 10^4$  cells/well) were then stimulated with either NT-3 or Mab (dilutions assayed in duplicate) for 6 hours and the assay was completed as described in the examples (Fig. 1A, human Mabs; Fig. 1B, murine Mabs). The purified Mabs were assayed for agonist activity in the PC12 neurite outgrowth assay as described in the examples. Rat PC12 cells were transfected with full-length human trkC and the cells plated at a density of 1000 cells/well. Three days following transfection, the Mabs were added in triplicate (concentrations ranging from 0.0002 to 2.7 nM) to the wells containing the trkC transfectants and incubated for an additional 3 days at 37 °C. The cells were then analyzed by phase contrast microscopy and cells with neurites exceeding two-times the diameter of the cell were counted.

Figure 2 shows that agonist anti-trkC monoclonal antibodies bind specifically to trkC using 6.1.2 antibody as a representative example.

Figure 3 demonstrates that agonist anti-trkC monoclonal antibodies recognize human trkC more efficiently than rat trkC. The ability of the monoclonal antibodies to bind rat trkC was determined using an immunoadhesin construct of the receptor. TrkC (human trkC-gD or rat trkC-IgG) was immobilized on microtiter plates (100  $\mu$ l of a 1  $\mu$ g/ml solution diluted in 50 mM carbonate buffer, pH 9.5) overnight. The plates were washed and blocked. The Mabs  
 5 were then diluted to 1  $\mu$ g/ml in PBS containing 0.5% BSA and 0.05% Tween 20, added to the appropriate wells (100  $\mu$ l/well), and incubated for one hour at room temperature. The plates were washed and the appropriate HRP conjugate was added (human Mabs: goat anti-human  $\kappa$ -HRP, 1:5K; murine Mabs: goat anti-molIgG (Fc)-HRP, 1:5 K) and incubated for one hour at room temperature. The plates were then washed, developed and read.

Figure 4 shows a representative example of epitope mapping using competition ELISA. A biotinylated human  
 10 anti-trkC 6.1.2 monoclonal antibody was incubated with immobilized trkC in the absence or presence of excess of various unlabeled anti-trkC monoclonal antibodies.

Figure 5 summarizes the results of epitope mapping using competition ELISA.

Figures 6A-C show a schematic diagram of various trkC chimera (A) and their use in mapping of epitopes on trkC recognized by various agonist human (B) and murine (C) anti-trkC monoclonal antibodies.

15 Figure 7 shows amino acid sequence of human trkC domain 4 and 5 showing residues that were targeted for mutagenesis to decipher their roles in recognition by agonist anti-trkC monoclonal antibodies.

Figure 8 shows 3-dimensional ribbon diagram of trkC in complex with anti-trkC monoclonal antibodies. Specifically shown are the amino acid residues of trkC that are likely to play an important role in recognition by CDRs of anti-trkC antibodies.

20 Figure 9 shows the amino acid sequence of the heavy chain variable ( $V_H$ ) region from murine and human anti-trkC agonist monoclonal antibodies. In addition, the three CDR regions (CDR1, CDR2 and CDR3) are highlighted in bold. The amino acid sequence of CDR1 of the 2250 and 2253 heavy chain is SEQ ID NO: 1. The amino acid sequence of CDR1 of the 2256 heavy chain is SEQ ID NO: 2. The amino acid sequence of CDR1 of the 6.1.2 and 2345 heavy chain is SEQ ID NO: 3. The amino acid sequence of CDR1 of the 6.4.1 heavy chain is SEQ ID NO: 4. The amino  
 25 acid sequence of CDR1 of the 2349 heavy chain is SEQ ID NO: 5. The amino acid sequence of CDR2 of the 2250 and 2253 heavy chain is SEQ ID NO: 6. The amino acid sequence of CDR2 of the 2256 heavy chain is SEQ ID NO: 7. The amino acid sequence of CDR2 of the 6.1.2 heavy chain is SEQ ID NO: 8. The amino acid sequence of CDR2 of the 6.4.1 heavy chain is SEQ ID NO: 9. The amino acid sequence of CDR2 of the 2345 heavy chain is SEQ ID NO: 10. The amino acid sequence of CDR2 of the 2349 heavy chain is SEQ ID NO: 11. The amino acid sequence of CDR3 of the  
 30 2250 and 2253 heavy chain is SEQ ID NO: 12. The amino acid sequence of CDR3 of the 2256 heavy chain is SEQ ID NO: 13. The amino acid sequence of CDR3 of the 6.1.2 heavy chain is SEQ ID NO: 14. The amino acid sequence of CDR3 of the 6.4.1 heavy chain is SEQ ID NO: 15. The amino acid sequence of CDR3 of the 2345 heavy chain is SEQ ID NO: 16. The amino acid sequence of CDR3 of the 2349 heavy chain is SEQ ID NO: 17.

Figure 10 shows the amino acid sequence of the light chain variable ( $V_L$ ) region from murine and human anti-trkC agonist monoclonal antibodies. In addition, the three CDR regions (CDR1, CDR2 and CDR3) are highlighted in bold. The amino acid sequence of CDR1 of the 2250 light chain is SEQ ID NO: 18. The amino acid sequence of CDR1 of the 2253 light chain is SEQ ID NO: 19. The amino acid sequence of CDR1 of the 2256 light chain is SEQ ID NO: 20.

5 The amino acid sequence of CDR1 of the 6.1.2 light chain is SEQ ID NO: 21. The amino acid sequence of CDR1 of the 6.4.1 light chain is SEQ ID NO: 22. The amino acid sequence of CDR1 of the 2345 light chain is SEQ ID NO: 23. The amino acid sequence of CDR1 of the 2349 light chain is SEQ ID NO: 24. The amino acid sequence of CDR2 of the 2250 light chain is SEQ ID NO: 25. The amino acid sequence of CDR2 of the 2253 light chain is SEQ ID NO: 26. The amino acid sequence of CDR2 of the 2256 light chain is SEQ ID NO: 27. The amino acid sequence of CDR2 of the

10 6.1.2 light chain is SEQ ID NO: 28. The amino acid sequence of CDR2 of the 6.4.1 light chain is SEQ ID NO: 29. The amino acid sequence of CDR2 of the 2345 and 2349 light chain is SEQ ID NO: 30. The amino acid sequence of CDR3 of the 2250 light chain is SEQ ID NO: 31. The amino acid sequence of CDR3 of the 2253 light chain is SEQ ID NO: 32. The amino acid sequence of CDR3 of the 2256 light chain is SEQ ID NO: 33. The amino acid sequence of CDR3 of the 6.1.2 light chain is SEQ ID NO: 34. The amino acid sequence of CDR3 of the 6.4.1 light chain is SEQ ID NO: 35. The

15 amino acid sequence of CDR3 of the 2345 and 2349 light chain is SEQ ID NO: 36.

Figure 11 shows amino acid sequence of CDRs of heavy and light variable chains of murine and human anti-trkC agonist monoclonal antibodies. Also shown are the families to which these sequences belong based on homology with CDR sequences available in databases.

Figure 12 shows that anti-trkC agonist monoclonal antibodies have improved half-life and bioavailability *in vivo*.

20 *vivo*.

Figure 13 shows effect of anti-trkC agonist monoclonal antibodies on cisplatin-induced neuropathy.

Figure 14 shows decrease in marker expression caused by pyridoxine neuropathy.

Figure 15 shows amelioration of the effects of low doses of pyridoxine by agonist anti-trkC monoclonal antibodies.

25 Figure 16 shows amelioration of the effects of high doses of pyridoxine by agonist anti-trkC monoclonal antibodies.

Figure 17 shows amelioration of pyridoxine neuropathy by an anti-trkC agonist monoclonal antibody.

Figure 18 shows attenuation of pyridoxine-induced deficit of ladder by agonist anti-trkC monoclonal antibodies.

30 Figure 19 shows that NT3, but not anti-trkC agonist monoclonal antibodies, causes hyperalgesia at therapeutic doses.

Figure 20 shows the amino acid sequence of human trkC receptor (SEQ ID NO: 56) where the boundaries of domains 4 and 5 are indicated.

Figure 21 (in 2 pages) shows the nucleotide sequence of human trkC receptor (SEQ ID NO: 57).

Figure 22 shows the nucleotide sequence of the heavy chain (A; SEQ ID NO: 58) and light chain (B; SEQ ID NO: 59) of the anti-trkC agonist monoclonal antibody 2250.

Figure 23 shows the nucleotide sequence of the heavy chain (A; SEQ ID NO: 60) and light chain (B; SEQ ID NO: 61) of the anti-trkC agonist monoclonal antibody 2253.

5        Figure 24 shows the nucleotide sequence of the heavy chain (A; SEQ ID NO: 62) and light chain (B; SEQ ID NO: 63) of the anti-trkC agonist monoclonal antibody 2256.

Figure 25 shows the nucleotide sequence of the heavy chain (A; SEQ ID NO: 64) and light chain (B; SEQ ID NO: 65) of the anti-trkC agonist monoclonal antibody 2345.

10       Figure 26 shows the nucleotide sequence of the heavy chain (A; SEQ ID NO: 66) and light chain (B; SEQ ID NO: 67) of the anti-trkC agonist monoclonal antibody 2349.

Figure 27 shows the nucleotide sequence of the heavy chain (A; SEQ ID NO: 68) and light chain (B; SEQ ID NO: 69) of the anti-trkC agonist monoclonal antibody 6.1.2.

Figure 28 shows the nucleotide sequence of the heavy chain (A; SEQ ID NO: 70) and light chain (B; SEQ ID NO: 71) of the anti-trkC agonist monoclonal antibody 6.4.1.



Detailed Description of the Preferred EmbodimentA. Definitions

The term "neurotrophin" and its grammatical variants are used interchangeably, and refer to a family of polypeptides comprising nerve growth factor (NGF) and sequentially related homologs. NGF, brain-derived growth factor (BDNF, a.k.a. NT-2), neurotrophin-3 (NT-3), neurotrophins-4 and -5 (NT-4/5), neurotrophin-6 (NT-6), and neurotrophin-7 (NT-7) have so far been identified as members of this family.

The term "neurotrophin" includes native neurotrophins of any (human or non-human) animal species, and their functional derivatives, whether purified from a native source, prepared by methods of recombinant DNA technology, or chemical synthesis, or any combination of these or other methods. "Native" or "native sequence" neurotrophins have the amino acid sequence of a neurotrophin occurring in nature in any human or non-human animal species, including naturally-occurring truncated and variant forms, and naturally-occurring allelic variants.

The terms "trk", "trk polypeptide", "trk receptor" and their grammatical variants are used interchangeably and refer to polypeptides of the receptor tyrosine kinase superfamily, which are capable of binding at least one native neurotrophin. Currently identified members of this family are trkA (p140<sup>trkA</sup>), trkB, and trkC.

The expression "extracellular domain" or "ECD" when used herein refers to any polypeptide sequence that shares a ligand binding function of the extracellular domain of a naturally occurring receptor. Ligand binding function of the extracellular domain refers to the ability of the polypeptide to bind to a ligand. Accordingly, it is not necessary to include the entire extracellular domain since smaller segments have been found to be adequate for ligand binding. The truncated extracellular domain is generally soluble. The term ECD encompasses polypeptide sequences in which the hydrophobic transmembrane sequence (and, optionally, 1-20 amino acids C-terminal and/or N-terminal to the transmembrane domain) of the mature receptor has been deleted.

The term "agonist anti-trkC antibody" refers to an antibody, which is able to bind to and activate a native sequence trkC receptor and/or downstream pathways mediated by the trkC signaling function thereby mimicking a biological activity of a native ligand of the receptor, in particular NT-3. For example, the agonist antibody may bind to the ECD domain of a trkC receptor and thereby cause dimerization of the receptor, resulting in activation of the intracellular catalytic kinase domain. Consequently, this may result in stimulation of growth and/or differentiation of cells expressing the receptor *in vitro* and/or *in vivo*. The agonist antibodies of the present invention preferably recognize an epitope that includes at least part of domain 5 (amino acid positions from about 266 to about 381) and/or domain 4 (amino acid position from about 178 to about 265) of the human trkC receptor or a corresponding epitope on a non-human, e.g. murine trkC receptor.

"Biological activity", when used in conjunction with the agonist anti-trkC antibodies of the present invention, generally refers to having an effector function in common with NT-3, the native ligand of trkC. The effector function preferably is the ability to bind and activate the trkC receptor tyrosine kinase and/or downstream pathways mediated by the trkC signaling function. Without limitation, preferred biological activities include the ability to promote the development, proliferation, maintenance and/or regeneration of damaged cells, in particular neurons *in vitro* or *in vivo*,

including peripheral (sympathetic, parasympathetic, sensory, and enteric) neurons, motoneurons, and central (brain and spinal cord) neurons, and non-neuronal cells, e.g. peripheral blood leukocytes. A particularly preferred biological activity is the ability to treat (including prevention) a neuropathy, e.g. peripheral neuropathy or other neurodegenerative disease, or repair a damaged nerve cell. The damaged neurons may be sensory, sympathetic, parasympathetic, or enteric, e.g. dorsal root ganglia neurons, motoneurons, and central neurons, e.g. neurons from the spinal cord, and the damage may be of any cause, including trauma, toxic agents, surgery, stroke, ischemia, infection, metabolic disease, nutritional deficiency, and various malignancies. Another specific biological activity is the ability to induce angiogenesis.

As used herein, "treatment" is an approach for obtaining beneficial or desired clinical results. For purposes of this invention, beneficial or desired clinical results include, but are not limited to, alleviation of symptoms, diminishment of extent of disease, stabilized (i.e., not worsening) state of disease, delay or slowing of disease progression, amelioration or palliation of the disease state, and remission (whether partial or total), whether detectable or undetectable. "Treatment" can also mean prolonging survival as compared to expected survival if not receiving treatment. "Treatment" is an intervention performed with the intention of preventing the development or altering the pathology of a disorder. Accordingly, "treatment" refers to both therapeutic treatment and prophylactic or preventative measures. Those in need of treatment include those already with the disorder as well as those in which the disorder is to be prevented. Specifically, the treatment may directly prevent, slow down or otherwise decrease the pathology of cellular degeneration or damage, such as the pathology of nerve cells, or may render the cells, e.g. neurons more susceptible to treatment by other therapeutic agents. In a preferred embodiment, the treatment reduces or slows down the decline and/or stimulates the restoration of the function of target neurons.

The "pathology" of a (chronic) neurodegenerative disease or acute nervous system injury includes all phenomena that affect the well being of the patient including, without limitation, neuronal disfunction, degeneration, injury and/or death.

The terms "neurodegenerative disease" and "neurodegenerative disorder" are used in the broadest sense to include all disorders the pathology of which involves neuronal degeneration and/or disfunction, including, without limitation, peripheral neuropathies; motoneuron disorders, such as amyotrophic lateral sclerosis (ALS, Lou Gehrig's disease), Bell's palsy, and various conditions involving spinal muscular atrophy or paralysis; and other human neurodegenerative diseases, such as Alzheimer's disease, Parkinson's disease, epilepsy, multiple sclerosis, Huntington's chorea, Down's Syndrome, nerve deafness, and Meniere's disease.

"Peripheral neuropathy" is a neurodegenerative disorder that affects the peripheral nerves, most often manifested as one or a combination of motor, sensory, sensorimotor, or autonomic dysfunction. Peripheral neuropathies may, for example, be genetically acquired, can result from a systemic disease, or can be induced by a toxic agent, such as a neurotoxic drug, e.g. antineoplastic agent, or industrial or environmental pollutant. "Peripheral sensory neuropathy" is characterized by the degeneration of peripheral sensory neurons, which may be idiopathic, may occur, for example, as a consequence of diabetes (diabetic neuropathy), cytostatic drug therapy in cancer (e.g.

treatment with chemotherapeutic agents such as vincristine, cisplatin, methotrexate, 3'-azido-3'-deoxythymidine, or taxanes, *e.g.* paclitaxel [TAXOL<sup>®</sup>, Bristol-Myers Squibb Oncology, Princeton, NJ] and doxorubicin [TAXOTERE<sup>®</sup>, Rhône-Poulenc Rorer, Antony, France], alcoholism, acquired immunodeficiency syndrome (AIDS), or genetic predisposition. Genetically acquired peripheral neuropathies include, for example, Refsum's disease, Krabbe's disease, Metachromatic leukodystrophy, Fabry's disease, Dejerine-Sottas syndrome, Abetalipoproteinemia, and Charcot-Marie-Tooth (CMT) Disease (also known as Proneal Muscular Atrophy or Hereditary Motor Sensory Neuropathy (HMSN)). Most types of peripheral neuropathy develop slowly, over the course of several months or years. In clinical practice such neuropathies are called chronic. Sometimes a peripheral neuropathy develops rapidly, over the course of a few days, and is referred to as acute. Peripheral neuropathy usually affects sensory and motor nerves together so as to cause a mixed sensory and motor neuropathy, but pure sensory and pure motor neuropathy are also known.

The term "toxic agent", as used in the context of the present invention, is meant to refer to a substance that, through its chemical action, injures, impairs, or inhibits the activity of a component of the nervous system. The long list of toxic agents (also referred to as "neurotoxic agents") includes, without limitation, chemotherapeutic agents, such as those listed above, alcohol, metals, industrial toxins, contaminants of food and medicines, etc.

"Mammal" for purpose of treatment refers to any animal classified as a mammal, including humans, domestic and farm animals, and zoo, sport or pet animals, such as dogs, horses, sheep, cats, cows, etc. Preferably, the mammal is human.

The term "trkC immunoadhesin" is used interchangeably with the expression "trkC-immunoglobulin chimera" and refers to a chimeric molecule that combines a portion of trkC (generally the extracellular domain thereof) with an immunoglobulin sequence. The immunoglobulin sequence preferably, but not necessarily, is an immunoglobulin constant domain. Chimeras constructed from a receptor sequence linked to an appropriate immunoglobulin constant domain sequence (immunoadhesins) are known in the art. Immunoadhesins reported in the literature include fusions of the T cell receptor\* (Gascoigne *et al.*, *Proc. Natl. Acad. Sci. USA*, 84: 2936-2940 [1987]); CD4\* (Capon *et al.*, *Nature* 337: 525-531 [1989]; Traunecker *et al.*, *Nature*, 339: 68-70 [1989]; Zettmeissl *et al.*, *DNA Cell Biol.* 9: 347-353 [1990]; Byrn *et al.*, *Nature*, 344: 667-670 [1990]); L-selectin (homing receptor) (Watson *et al.*, *J. Cell. Biol.*, 110:2221-2229 [1990]; Watson *et al.*, *Nature*, 349: 164-167 [1991]); CD44\* (Aruffo *et al.*, *Cell*, 61: 1303-1313 [1990]); CD28\* and B7\* (Linsley *et al.*, *J. Exp. Med.*, 173: 721-730 [1991]); CTLA-4\* (Lisley *et al.*, *J. Exp. Med.* 174: 561-569 [1991]); CD22\* (Stamenkovic *et al.*, *Cell*, 66:1133-1144 [1991]); TNF receptor (Ashkenazi *et al.*, *Proc. Natl. Acad. Sci. USA*, 88: 10535-10539 [1991]; Lesslauer *et al.*, *Eur. J. Immunol.*, 27:2883-2886 [1991]; Poppel *et al.*, *J. Exp. Med.*, 174:1483-1489 [1991]); NP receptors (Bennett *et al.*, *J. Biol. Chem.* 266:23060-23067 [1991]); and IgE receptor  $\alpha$ \* (Ridgway *et al.*, *J. Cell. Biol.*, 115:abstr. 1448 [1991]), where the asterisk (\*) indicates that the receptor is member of the immunoglobulin superfamily.

"Isolated" nucleic acid or polypeptide in the context of the present invention is a nucleic acid or polypeptide that is identified and separated from contaminant nucleic acids or polypeptides present in the animal or human source

of the nucleic acid or polypeptide. The nucleic acid or polypeptide may be labeled for diagnostic or probe purposes, using a label as described and defined further below in discussion of diagnostic assays.

In general, the term "amino acid sequence variant" refers to molecules with some differences in their amino acid sequences as compared to a reference (e.g. native sequence) polypeptide. The amino acid alterations may be substitutions, insertions, deletions or any desired combinations of such changes in a native amino acid sequence.

The terms "DNA sequence encoding", "DNA encoding" and "nucleic acid encoding" refer to the order or sequence of deoxyribonucleotides along a strand of deoxyribonucleic acid. The order of these deoxyribonucleotides determines the order of amino acids along the polypeptide chain. The DNA sequence thus codes for the amino acid sequence.

The terms "replicable expression vector" and "expression vector" refer to a piece of DNA, usually double-stranded, which may have inserted into it a piece of foreign DNA. Foreign DNA is defined as heterologous DNA, which is DNA not naturally found in the host cell. The vector is used to transport the foreign or heterologous DNA into a suitable host cell. Once in the host cell, the vector can replicate independently of the host chromosomal DNA, and several copies of the vector and its inserted (foreign) DNA may be generated. In addition, the vector contains the necessary elements that permit translating the foreign DNA into a polypeptide. Many molecules of the polypeptide encoded by the foreign DNA can thus be rapidly synthesized.

The term "control sequences" refers to DNA sequences necessary for the expression of an operably linked coding sequence in a particular host organism. The control sequences that are suitable for prokaryotes, for example, include a promoter, optionally an operator sequence, a ribosome binding site, and possibly, other as yet poorly understood sequences. Eukaryotic cells are known to utilize promoters, polyadenylation signals, and enhancer.

Nucleic acid is "operably linked" when it is placed into a functional relationship with another nucleic acid sequence. For example, DNA for a presequence or a secretory leader is operably linked to DNA for a polypeptide if it is expressed as a preprotein that participates in the secretion of the polypeptide; a promoter or enhancer is operably linked to a coding sequence if it affects the transcription of the sequence; or a ribosome binding site is operably linked to a coding sequence if it is positioned so as to facilitate translation. Generally, "operably linked" means that the DNA sequences being linked are contiguous and, in the case of a secretory leader, contiguous and in reading phase. However, enhancers do not have to be contiguous. Linking is accomplished by ligation at convenient restriction sites. If such sites do not exist, then synthetic oligonucleotide adaptors or linkers are used in accord with conventional practice.

In the context of the present invention the expressions "cell", "cell line", and "cell culture" are used interchangeably, and all such designations include progeny. Thus, the words "transformants" and "transformed (host) cells" include the primary subject cell and cultures derived therefrom without regard for the number of transfers. It is also understood that all progeny may not be precisely identical in DNA content, due to deliberate or inadvertent mutations. Mutant progeny that have the same function or biological activity as screened for in the originally transformed cell are included. Where distinct designations are intended, it will be clear from the context.

An "exogenous" element is defined herein to mean nucleic acid sequence that is foreign to the cell, or homologous to the cell but in a position within the host cell nucleic acid in which the element is ordinarily not found.

"Antibodies" (Abs) and "immunoglobulins" (Igs) are glycoproteins having the same structural characteristics. While antibodies exhibit binding specificity to a specific antigen, immunoglobulins include both antibodies and other antibody-like molecules that lack antigen specificity. Polypeptides of the latter kind are, for example, produced at low levels by the lymph system and at increased levels by myelomas.

"Native antibodies" and "native immunoglobulins" are usually heterotetrameric glycoproteins of about 150,000 daltons, composed of two identical light (L) chains and two identical heavy (H) chains. Each light chain is linked to a heavy chain by one covalent disulfide bond, while the number of disulfide linkages varies among the heavy chains of different immunoglobulin isotypes. Each heavy and light chain also has regularly spaced intrachain disulfide bridges. Each heavy chain has at one end a variable domain ( $V_H$ ) followed by a number of constant domains. Each light chain has a variable domain at one end ( $V_L$ ) and a constant domain at its other end; the constant domain of the light chain is aligned with the first constant domain of the heavy chain, and the light-chain variable domain is aligned with the variable domain of the heavy chain. Particular amino acid residues are believed to form an interface between the light- and heavy-chain variable domains.

The term "variable" refers to the fact that certain portions of the variable domains differ extensively in sequence among antibodies and are used in the binding and specificity of each particular antibody for its particular antigen. However, the variability is not evenly distributed throughout the variable domains of antibodies. It is concentrated in three segments called hypervariable regions both in the light chain and the heavy chain variable domains. The more highly conserved portions of variable domains are called the framework region (FR). The variable domains of native heavy and light chains each comprise four FRs (FR1, FR2, FR3 and FR4, respectively), largely adopting a  $\beta$ -sheet configuration, connected by three hypervariable regions, which form loops connecting, and in some cases forming part of, the  $\beta$ -sheet structure. The hypervariable regions in each chain are held together in close proximity by the FRs and, with the hypervariable regions from the other chain, contribute to the formation of the antigen-binding site of antibodies (see Kabat *et al.*, *Sequences of Proteins of Immunological Interest*, 5th Ed. Public Health Service, National Institutes of Health, Bethesda, MD. (1991), pages 647-669). The constant domains are not involved directly in binding an antibody to an antigen, but exhibit various effector functions, such as participation of the antibody in antibody-dependent cellular toxicity.

The term "hypervariable region" when used herein refers to the amino acid residues of an antibody which are responsible for antigen-binding. The hypervariable region comprises amino acid residues from a "complementarity determining region" or "CDR" (*i.e.* residues 24-34 (L1), 50-56 (L2) and 89-97 (L3) in the light chain variable domain and 31-35 (H1), 50-65 (H2) and 95-102 (H3) in the heavy chain variable domain; Kabat *et al.*, *Sequences of Proteins of Immunological Interest*, 5th Ed. Public Health Service, National Institutes of Health, Bethesda, MD. (1991)) and/or those residues from a "hypervariable loop" (*i.e.* residues 26-32 (L1), 50-52 (L2) and 91-96 (L3) in the light chain variable domain and 26-32 (H1), 53-55 (H2) and 96-101 (H3) in the heavy chain variable domain; Chothia and Lesk *J.*

*Mol. Biol.* 196:901-917 (1987)). "Framework" or "FR" residues are those variable domain residues other than the hypervariable region residues as herein defined.

Papain digestion of antibodies produces two identical antigen-binding fragments, called "Fab" fragments, each with a single antigen-binding site, and a residual "Fc" fragment, whose name reflects its ability to crystallize readily. Pepsin treatment yields an  $F(ab')_2$  fragment that has two antigen-combining sites and is still capable of cross-linking antigen.

"Fv" is the minimum antibody fragment which contains a complete antigen-recognition and -binding site. This region consists of a dimer of one heavy chain and one light chain variable domain in tight, non-covalent association. It is in this configuration that the three hypervariable regions of each variable domain interact to define an antigen-binding site on the surface of the  $V_H-V_L$  dimer. Collectively, the six hypervariable regions confer antigen-binding specificity to the antibody. However, even a single variable domain (or half of an Fv comprising only three hypervariable regions specific for an antigen) has the ability to recognize and bind antigen, although at a lower affinity than the entire binding site.

The Fab fragment also contains the constant domain of the light chain and the first constant domain (CH1) of the heavy chain. Fab' fragments differ from Fab fragments by the addition of a few residues at the carboxyl terminus of the heavy chain CH1 domain including one or more cysteine(s) from the antibody hinge region. Fab'-SH is the designation herein for Fab' in which the cysteine residue(s) of the constant domains bear a free thiol group.  $F(ab')_2$  antibody fragments originally were produced as pairs of Fab' fragments which have hinge cysteines between them. Other chemical couplings of antibody fragments are also known.

The "light chains" of antibodies (immunoglobulins) from any vertebrate species can be assigned to one of two clearly distinct types, called kappa (  $\kappa$  ) and lambda (  $\lambda$  ), based on the amino acid sequences of their constant domains.

Depending on the amino acid sequence of the constant domain of their heavy chains, immunoglobulins can be assigned to different classes. There are five major classes of immunoglobulins: IgA, IgD, IgE, IgG, and IgM, and several of these may be further divided into subclasses (isotypes), *e.g.*, IgG1, IgG2, IgG3, IgG4, IgA1, and IgA2. The heavy-chain constant domains that correspond to the different classes of immunoglobulins are called  $\mu$ ,  $\delta$ ,  $\epsilon$ ,  $\gamma$ , and  $\alpha$ , respectively. The subunit structures and three-dimensional configurations of different classes of immunoglobulins are well known.

The term "antibody" herein is used in the broadest sense and specifically covers human, non-human (*e.g.* murine) and humanized monoclonal antibodies (including full length monoclonal antibodies), polyclonal antibodies, multispecific antibodies (*e.g.*, bispecific antibodies), and antibody fragments so long as they exhibit the desired biological activity.

"Antibody fragments" comprise a portion of a full length antibody, generally the antigen binding or variable domain thereof. Examples of antibody fragments include Fab, Fab',  $F(ab')_2$ , and Fv fragments; diabodies; linear antibodies; single-chain antibody molecules; and multispecific antibodies formed from antibody fragments.

The term "monoclonal antibody" as used herein refers to an antibody obtained from a population of substantially homogeneous antibodies, *i.e.*, the individual antibodies comprising the population are identical except for possible naturally occurring mutations that may be present in minor amounts. Monoclonal antibodies are highly specific, being directed against a single antigenic site. Furthermore, in contrast to conventional (polyclonal) antibody preparations which typically include different antibodies directed against different determinants (epitopes), each monoclonal antibody is directed against a single determinant on the antigen. The modifier "monoclonal" indicates the character of the antibody as being obtained from a substantially homogeneous population of antibodies, and is not to be construed as requiring production of the antibody by any particular method. For example, the monoclonal antibodies to be used in accordance with the present invention may be made by the hybridoma method first described by Kohler *et al.*, *Nature* 256:495 (1975), or may be made by recombinant DNA methods (see, *e.g.*, U.S. Patent No. 4,816,567). The "monoclonal antibodies" may also be isolated from phage antibody libraries using the techniques described in Clackson *et al.*, *Nature* 352:624-628 (1991) and Marks *et al.*, *J. Mol. Biol.* 222:581-597 (1991), for example.

The monoclonal antibodies herein specifically include "chimeric" antibodies (immunoglobulins) in which a portion of the heavy and/or light chain is identical with or homologous to corresponding sequences in antibodies derived from a particular species or belonging to a particular antibody class or subclass, while the remainder of the chain(s) is identical with or homologous to corresponding sequences in antibodies derived from another species or belonging to another antibody class or subclass, as well as fragments of such antibodies, so long as they exhibit the desired biological activity (U.S. Patent No. 4,816,567; and Morrison *et al.*, *Proc. Natl. Acad. Sci. USA* 81:6851-6855 (1984)).

"Humanized" forms of non-human (*e.g.*, murine) antibodies are chimeric antibodies which contain minimal sequence derived from non-human immunoglobulin. For the most part, humanized antibodies are human immunoglobulins (recipient antibody) in which hypervariable region residues of the recipient are replaced by hypervariable region residues from a non-human species (donor antibody) such as mouse, rat, rabbit or nonhuman primate having the desired specificity, affinity, and capacity. In some instances, framework region (FR) residues of the human immunoglobulin are replaced by corresponding non-human residues. Furthermore, humanized antibodies may comprise residues which are not found in the recipient antibody or in the donor antibody. These modifications are made to further refine antibody performance. In general, the humanized antibody will comprise substantially all of at least one, and typically two, variable domains, in which all or substantially all of the hypervariable regions correspond to those of a non-human immunoglobulin and all or substantially all of the FRs are those of a human immunoglobulin sequence. The humanized antibody optionally also will comprise at least a portion of an immunoglobulin constant region (Fc), typically that of a human immunoglobulin. For further details, see Jones *et al.*, *Nature* 321:522-525 (1986); Reichmann *et al.*, *Nature* 332:323-329 (1988); and Presta, *Curr. Op. Struct. Biol.* 2:593-596 (1992).

"Single-chain Fv" or "sFv" antibody fragments comprise the  $V_H$  and  $V_L$  domains of antibody, wherein these domains are present in a single polypeptide chain. Generally, the Fv polypeptide further comprises a polypeptide linker between the  $V_H$  and  $V_L$  domains which enables the sFv to form the desired structure for antigen binding. For a review

of sFv see Pluckthun in *The Pharmacology of Monoclonal Antibodies*, vol. 113, Rosenberg and Moore eds. Springer-Verlag, New York, pp. 269-315 (1994).

5 The term "diabodies" refers to small antibody fragments with two antigen-binding sites, which fragments comprise a heavy chain variable domain ( $V_H$ ) connected to a light chain variable domain ( $V_L$ ) in the same polypeptide chain ( $V_H - V_L$ ). By using a linker that is too short to allow pairing between the two domains on the same chain, the domains are forced to pair with the complementary domains of another chain and create two antigen-binding sites. Diabodies are described more fully in, for example, EP 404,097; WO 93/11161; and Hollinger *et al.*, *Proc. Natl. Acad. Sci. USA* 90:6444-6448 (1993).

10 The expression "linear antibodies" when used throughout this application refers to the antibodies described in Zapata *et al.* *Protein Eng.* 8(10):1057-1062 (1995). Briefly, these antibodies comprise a pair of tandem Fd segments ( $V_H-C_H1-V_H-C_H1$ ) which form a pair of antigen binding regions. Linear antibodies can be bispecific or monospecific.

The term "epitope" is used to refer to binding sites for (monoclonal or polyclonal) antibodies on protein antigens.

15 Antibodies which bind to domain 5 and/or 4 within the amino acid sequence of native sequence human trkC, or to an equivalent epitope in a native sequence non-human trkC receptor, are identified by "epitope mapping." There are many methods known in the art for mapping and characterizing the location of epitopes on proteins, including solving the crystal structure of an antibody-antigen complex, competition assays, gene fragment expression assays, and synthetic peptide-based assays, as described, for example, in Chapter 11 of Harlow and Lane, *Using Antibodies, a Laboratory Manual*, Cold Spring Harbor Laboratory Press, Cold Spring Harbor, New York, 1999. A competition ELISA  
20 assay is specifically described in Example 1. According to the gene fragment expression assays, the open reading frame encoding the protein is fragmented either randomly or by specific genetic constructions and the reactivity of the expressed fragments of the protein with the antibody to be tested is determined. The gene fragments may, for example, be produced by PCR and then transcribed and translated into protein *in vitro*, in the presence of radioactive amino acids. The binding of the antibody to the radioactively labeled protein fragments is then determined by  
25 immunoprecipitation and gel electrophoresis. Certain epitopes can also be identified by using large libraries of random peptide sequences displayed on the surface of phage particles (phage libraries). Alternatively, a defined library of overlapping peptide fragments can be tested for binding to the test antibody in simple binding assays. The latter approach is suitable to define linear epitopes of about 5 to 15 amino acids.

30 An antibody binds "essentially the same epitope" as a reference antibody, when the two antibodies recognize identical or sterically overlapping epitopes. The most widely used and rapid methods for determining whether two epitopes bind to identical or sterically overlapping epitopes are competition assays, which can be configured in all number of different formats, using either labeled antigen or labeled antibody. Usually, the antigen is immobilized on a 96-well plate, and the ability of unlabeled antibodies to block the binding of labeled antibodies is measured using radioactive or enzyme labels. A competition ELISA assay is disclosed in Example 1.



The term amino acid or amino acid residue, as used herein, refers to naturally occurring L amino acids or to D amino acids as described further below with respect to variants. The commonly used one- and three-letter abbreviations for amino acids are used herein (Bruce Alberts et al., *Molecular Biology of the Cell*, Garland Publishing, Inc., New York (3d ed. 1994)).

5 Hybridization is preferably performed under "stringent conditions" which means (1) employing low ionic strength and high temperature for washing, for example, 0.015 sodium chloride/0.0015 M sodium citrate/0.1% sodium dodecyl sulfate at 50 C, or (2) employing during hybridization a denaturing agent, such as formamide, for example, 50% (vol/vol) formamide with 0.1% bovine serum albumin/0.1% Ficoll/0.1% polyvinylpyrrolidone/50 mM sodium phosphate buffer at pH 6.5 with 750 mM sodium chloride, 75 mM sodium citrate at 42 C. Another example is use of  
10 50% formamide, 5 x SSC (0.75 M NaCl, 0.075 M sodium citrate), 50 mM sodium phosphate (pH 6/8), 0.1% sodium pyrophosphate, 5 x Denhardt's solution, sonicated salmon sperm DNA (50 g/ml), 0.1% SDS, and 10% dextran sulfate at 42 C, with washes at 42 C in 0.2 x SSC and 0.1% SDS.

B. Methods for carrying out the invention

The present invention concerns agonist human and non-human monoclonal antibodies (including humanized  
15 forms of the latter), which mimic certain biological properties of NT-3, the native ligand of the trkC receptor. General techniques for the production of murine and human anti-trkC antibodies are well known in the art and are described hereinbelow. Further details, including the selection of agonist antibodies, are provided in Example 1.

1. Antibody preparation

(i) Polyclonal antibodies

20 Methods of preparing polyclonal antibodies are known in the art. Polyclonal antibodies can be raised in a mammal, for example, by one or more injections of an immunizing agent and, if desired, an adjuvant. Typically, the immunizing agent and/or adjuvant will be injected in the mammal by multiple subcutaneous or intraperitoneal injections. It may be useful to conjugate the immunizing agent to a protein known to be immunogenic in the mammal being immunized, such as serum albumin, or soybean trypsin inhibitor. Examples of adjuvants which may be employed  
25 include Freund's complete adjuvant and MPL-TDM.

(ii) Monoclonal antibodies

Monoclonal antibodies may be made using the hybridoma method first described by Kohler *et al.*, *Nature*, 256:495 (1975), or may be made by recombinant DNA methods (U.S. Patent No. 4,816,567).

In the hybridoma method, a mouse or other appropriate host animal, such as a hamster or macaque monkey,  
30 is immunized as hereinabove described to elicit lymphocytes that produce or are capable of producing antibodies that will specifically bind to the protein used for immunization. Alternatively, lymphocytes may be immunized *in vitro*. Lymphocytes then are fused with myeloma cells using a suitable fusing agent, such as polyethylene glycol, to form a hybridoma cell (Goding, *Monoclonal Antibodies: Principles and Practice*, pp.59-103, [Academic Press, 1986]).

The hybridoma cells thus prepared are seeded and grown in a suitable culture medium that preferably  
35 contains one or more substances that inhibit the growth or survival of the unfused, parental myeloma cells. For

example, if the parental myeloma cells lack the enzyme hypoxanthine guanine phosphoribosyl transferase (HGPRT or HPRT), the culture medium for the hybridomas typically will include hypoxanthine, aminopterin, and thymidine (HAT medium), conditions under which the growth of HGPRT-deficient cells is prevented.

Preferred myeloma cells are those that fuse efficiently, support stable high-level production of antibody by the selected antibody-producing cells, and are sensitive to a medium such as HAT medium. Among these, preferred myeloma cell lines are murine myeloma lines, such as those derived from MOP-21 and M.C.-11 mouse tumors available from the Salk Institute Cell Distribution Center, San Diego, California USA, and SP-2 or X63-Ag8-653 cells available from the American Type Culture Collection, Rockville, Maryland USA. Human myeloma and mouse-human heteromyeloma cell lines also have been described for the production of human monoclonal antibodies (Kozbor, *J. Immunol.*, 133:3001 (1984); Brodeur *et al.*, *Monoclonal Antibody Production Techniques and Applications*, pp. 51-63, Marcel Dekker, Inc., New York, (1987)).

Culture medium in which hybridoma cells are growing is assayed for production of monoclonal antibodies directed against the antigen. Preferably, the binding specificity of monoclonal antibodies produced by hybridoma cells is determined by immunoprecipitation or by an *in vitro* binding assay, such as radioimmunoassay (RIA) or enzyme-linked immunoabsorbent assay (ELISA).

The binding affinity of the monoclonal antibody can, for example, be determined by the Scatchard analysis of Munson *et al.*, *Anal. Biochem.*, 107:220 (1980).

After hybridoma cells are identified that produce antibodies of the desired specificity, affinity, and/or activity, the cells may be subcloned by limiting dilution procedures and grown by standard methods (Goding, *Monoclonal Antibodies: Principles and Practice*, pp.59-103 (Academic Press, 1986)). Suitable culture media for this purpose include, for example, DMEM or RPMI-1640 medium. In addition, the hybridoma cells may be grown *in vivo* as ascites tumors in an animal.

The monoclonal antibodies secreted by the subclones are suitably separated from the culture medium, ascites fluid, or serum by conventional immunoglobulin purification procedures such as, for example, protein A-Sepharose, hydroxylapatite chromatography, gel electrophoresis, dialysis, or affinity chromatography.

DNA encoding the monoclonal antibodies is readily isolated and sequenced using conventional procedures (*e.g.*, by using oligonucleotide probes that are capable of binding specifically to genes encoding the heavy and light chains of the monoclonal antibodies). The hybridoma cells serve as a preferred source of such DNA. Once isolated, the DNA may be placed into expression vectors, which are then transfected into host cells such as *E. coli* cells, simian COS cells, Chinese hamster ovary (CHO) cells, or myeloma cells that do not otherwise produce immunoglobulin protein, to obtain the synthesis of monoclonal antibodies in the recombinant host cells. The DNA also may be modified, for example, by substituting the coding sequence for human heavy and light chain constant domains in place of the homologous murine sequences, Morrison, *et al.*, *Proc. Nat. Acad. Sci.* 81, 6851 (1984), or by covalently joining to the immunoglobulin coding sequence all or part of the coding sequence for a non-immunoglobulin polypeptide. In that

manner, "chimeric" or "hybrid" antibodies are prepared that have the binding specificity of an anti-trk monoclonal antibody herein.

Typically such non-immunoglobulin polypeptides are substituted for the constant domains of an antibody of the invention, or they are substituted for the variable domains of one antigen-combining site of an antibody of the invention to create a chimeric bivalent antibody comprising one antigen-combining site having specificity for an trk  
5 receptor and another antigen-combining site having specificity for a different antigen.

Chimeric or hybrid antibodies also may be prepared in vitro using known methods in synthetic protein chemistry, including those involving crosslinking agents. For example, immunotoxins may be constructed using a disulfide exchange reaction or by forming a thioether bond. Examples of suitable reagents for this purpose include  
10 iminothiolate and methyl-4-mercaptobutyrimidate.

Recombinant production of antibodies will be described in more detail below.

(iii) *Humanized antibodies*

Generally, a humanized antibody has one or more amino acid residues introduced into it from a non-human source. These non-human amino acid residues are often referred to as "import" residues, which are typically taken  
15 from an "import" variable domain. Humanization can be essentially performed following the method of Winter and co-workers [Jones et al., *Nature*, 321:522-525 (1986); Riechmann et al., *Nature*, 332:323-327 (1988); Verhoeyen et al., *Science*, 239:1534-1536 (1988)], by substituting rodent CDRs or CDR sequences for the corresponding sequences of a human antibody.

Accordingly, such "humanized" antibodies are chimeric antibodies (Cabilly, *supra*), wherein substantially less  
20 than an intact human variable domain has been substituted by the corresponding sequence from a non-human species. In practice, humanized antibodies are typically human antibodies in which some CDR residues and possibly some FR residues are substituted by residues from analogous sites in rodent antibodies.

It is important that antibodies be humanized with retention of high affinity for the antigen and other favorable biological properties. To achieve this goal, according to a preferred method, humanized antibodies are  
25 prepared by a process of analysis of the parental sequences and various conceptual humanized products using three dimensional models of the parental and humanized sequences. Three dimensional immunoglobulin models are commonly available and are familiar to those skilled in the art. Computer programs are available which illustrate and display probable three-dimensional conformational structures of selected candidate immunoglobulin sequences. Inspection of these displays permits analysis of the likely role of the residues in the functioning of the candidate  
30 immunoglobulin sequence, i.e. the analysis of residues that influence the ability of the candidate immunoglobulin to bind its antigen. In this way, FR residues can be selected and combined from the consensus and import sequence so that the desired antibody characteristic, such as increased affinity for the target antigen(s), is achieved. In general, the CDR residues are directly and most substantially involved in influencing antigen binding. For further details see U.S. application Serial No. 07/934,373 filed 21 August 1992, which is a continuation-in-part of application Serial No.  
35 07/715,272 filed 14 June 1991.

(iv) *Human antibodies*

Human monoclonal antibodies can be made by the hybridoma method. Human myeloma and mouse-human heteromyeloma cell lines for the production of human monoclonal antibodies have been described, for example, by Kozbor, *J. Immunol.* **133**, 3001 (1984), and Brodeur, *et al.*, Monoclonal Antibody Production Techniques and  
5 Applications, pp.51-63 (Marcel Dekker, Inc., New York, 1987).

It is now possible to produce transgenic animals (e.g. mice) that are capable, upon immunization, of producing a repertoire of human antibodies in the absence of endogenous immunoglobulin production. For example, it has been described that the homozygous deletion of the antibody heavy chain joining region ( $J_H$ ) gene in chimeric and germ-line mutant mice results in complete inhibition of endogenous antibody production. Transfer of the human germ-line  
10 immunoglobulin gene array in such germ-line mutant mice will result in the production of human antibodies upon antigen challenge. See, e.g. Jakobovits *et al.*, Proc. Natl. Acad. Sci. USA **90**, 2551-255 (1993); Jakobovits *et al.*, Nature **362**, 255-258 (1993).

Mendez *et al.* (*Nature Genetics* **15**: 146-156 [1997]) have further improved the technology and have generated a line of transgenic mice designated as "Xenomouse II" that, when challenged with an antigen, generates high affinity  
15 fully human antibodies. This was achieved by germ-line integration of megabase human heavy chain and light chain loci into mice with deletion into endogenous  $J_H$  segment as described above. The Xenomouse II harbors 1,020 kb of human heavy chain locus containing approximately 66  $V_H$  genes, complete  $D_H$  and  $J_H$  regions and three different constant regions ( $\mu$ ,  $\delta$  and  $\chi$ ), and also harbors 800 kb of human  $\kappa$  locus containing 32  $V_K$  genes,  $J_K$  segments and  $C_K$  genes. The antibodies produced in these mice closely resemble that seen in humans in all respects, including gene  
20 rearrangement, assembly, and repertoire. The human antibodies are preferentially expressed over endogenous antibodies due to deletion in endogenous  $J_H$  segment that prevents gene rearrangement in the murine locus.

Alternatively, the phage display technology (McCafferty *et al.*, Nature **348**, 552-553 [1990]) can be used to produce human antibodies and antibody fragments *in vitro*, from immunoglobulin variable (V) domain gene repertoires from unimmunized donors. According to this technique, antibody V domain genes are cloned in-frame into either a  
25 major or minor coat protein gene of a filamentous bacteriophage, such as M13 or fd, and displayed as functional antibody fragments on the surface of the phage particle. Because the filamentous particle contains a single-stranded DNA copy of the phage genome, selections based on the functional properties of the antibody also result in selection of the gene encoding the antibody exhibiting those properties. Thus, the phage mimicks some of the properties of the B-cell. Phage display can be performed in a variety of formats; for their review see, e.g. Johnson, Kevin S. and Chiswell, David J., Current Opinion in Structural Biology **3**, 564-571 (1993). Several sources of V-gene segments can be used  
30 for phage display. Clackson *et al.*, Nature **352**, 624-628 (1991) isolated a diverse array of anti-oxazolone antibodies from a small random combinatorial library of V genes derived from the spleens of immunized mice. A repertoire of V genes from unimmunized human donors can be constructed and antibodies to a diverse array of antigens (including self-antigens) can be isolated essentially following the techniques described by Marks *et al.*, J. Mol. Biol. **222**, 581-597  
35 (1991), or Griffith *et al.*, EMBO J. **12**, 725-734 (1993). In a natural immune response, antibody genes accumulate

mutations at a high rate (somatic hypermutation). Some of the changes introduced will confer higher affinity, and B cells displaying high-affinity surface immunoglobulin are preferentially replicated and differentiated during subsequent antigen challenge. This natural process can be mimicked by employing the technique known as "chain shuffling" (Marks *et al.*, Bio/Technol. 10, 779-783 [1992]). In this method, the affinity of "primary" human antibodies obtained by phage display can be improved by sequentially replacing the heavy and light chain V region genes with repertoires of naturally occurring variants (repertoires) of V domain genes obtained from unimmunized donors. This technique allows the production of antibodies and antibody fragments with affinities in the nM range. A strategy for making very large phage antibody repertoires (also known as "the mother-of-all libraries") has been described by Waterhouse *et al.*, Nucl. Acids Res. 21, 2265-2266 (1993), and the isolation of a high affinity human antibody directly from such large phage library is reported by Griffith *et al.*, EMBO J. (1994), in press. Gene shuffling can also be used to derive human antibodies from rodent antibodies, where the human antibody has similar affinities and specificities to the starting rodent antibody. According to this method, which is also referred to as "epitope imprinting", the heavy or light chain V domain gene of rodent antibodies obtained by phage display technique is replaced with a repertoire of human V domain genes, creating rodent-human chimeras. Selection on antigen results in isolation of human variable capable of restoring a functional antigen-binding site, i.e. the epitope governs (imprints) the choice of partner. When the process is repeated in order to replace the remaining rodent V domain, a human antibody is obtained (see PCT patent application WO 93/06213, published 1 April 1993). Unlike traditional humanization of rodent antibodies by CDR grafting, this technique provides completely human antibodies, which have no framework or CDR residues of rodent origin.

(v) *Bispecific antibodies*

Bispecific antibodies are monoclonal, preferably human or humanized, antibodies that have binding specificities for at least two different antigens. In the present case, one of the binding specificities is for the trkC receptor to provide an agonist antibody, the other one is for any other antigen, and preferably for another receptor or receptor subunit. For example, bispecific antibodies specifically binding a trkC receptor and a neurotrophin, or a trkC receptor and another trk receptor are within the scope of the present invention.

Methods for making bispecific antibodies are known in the art. Traditionally, the recombinant production of bispecific antibodies is based on the coexpression of two immunoglobulin heavy chain-light chain pairs, where the two heavy chains have different specificities (Millstein and Cuello, Nature 305, 537-539 (1983)). Because of the random assortment of immunoglobulin heavy and light chains, these hybridomas (quadromas) produce a potential mixture of 10 different antibody molecules, of which only one has the correct bispecific structure. The purification of the correct molecule, which is usually done by affinity chromatography steps, is rather cumbersome, and the product yields are low. Similar procedures are disclosed in PCT application publication No. WO 93/08829 (published 13 May 1993), and in Traunecker *et al.*, EMBO 10, 3655-3659 (1991).

According to a different and more preferred approach, antibody variable domains with the desired binding specificities (antibody-antigen combining sites) are fused to immunoglobulin constant domain sequences. The fusion

preferably is with an immunoglobulin heavy chain constant domain, comprising at least part of the hinge, CH2 and CH3 regions. It is preferred to have the first heavy chain constant region (CH1) containing the site necessary for light chain binding, present in at least one of the fusions. DNAs encoding the immunoglobulin heavy chain fusions and, if desired, the immunoglobulin light chain, are inserted into separate expression vectors, and are cotransfected into a suitable host organism. This provides for great flexibility in adjusting the mutual proportions of the three polypeptide fragments in embodiments when unequal ratios of the three polypeptide chains used in the construction provide the optimum yields. It is, however, possible to insert the coding sequences for two or all three polypeptide chains in one expression vector when the expression of at least two polypeptide chains in equal ratios results in high yields or when the ratios are of no particular significance. In a preferred embodiment of this approach, the bispecific antibodies are composed of a hybrid immunoglobulin heavy chain with a first binding specificity in one arm, and a hybrid immunoglobulin heavy chain-light chain pair (providing a second binding specificity) in the other arm. It was found that this asymmetric structure facilitates the separation of the desired bispecific compound from unwanted immunoglobulin chain combinations, as the presence of an immunoglobulin light chain in only one half of the bispecific molecule provides for a facile way of separation. This approach is disclosed in PCT Publication No. WO 94/04690, published on March 3, 1994.

For further details of generating bispecific antibodies see, for example, Suresh *et al.*, Methods in Enzymology 121, 210 (1986).

(vi) *Heteroconjugate antibodies*

Heteroconjugate antibodies are also within the scope of the present invention. Heteroconjugate antibodies are composed of two covalently joined antibodies. Such antibodies have, for example, been proposed to target immune system cells to unwanted cells (U.S. Patent No. 4,676,980), and for treatment of HIV infection (PCT application publication Nos. WO 91/00360 and WO 92/200373; EP 03089). Heteroconjugate antibodies may be made using any convenient cross-linking methods. Suitable cross-linking agents are well known in the art, and are disclosed in U.S. Patent No. 4,676,980, along with a number of cross-linking techniques.

(vii) *Antibody fragments*

In certain embodiments, the anti-trkC antibody (including murine, human and humanized antibodies, and antibody variants) is an antibody fragment. Various techniques have been developed for the production of antibody fragments. Traditionally, these fragments were derived via proteolytic digestion of intact antibodies (see, *e.g.*, Morimoto *et al.*, *J. Biochem. Biophys. Methods* 24:107-117 (1992) and Brennan *et al.*, *Science* 229:81 (1985)). However, these fragments can now be produced directly by recombinant host cells. For example, Fab'-SH fragments can be directly recovered from *E. coli* and chemically coupled to form F(ab')<sub>2</sub> fragments (Carter *et al.*, *BioTechnology* 10:163-167 (1992)). In another embodiment, the F(ab')<sub>2</sub> is formed using the leucine zipper GCN4 to promote assembly of the F(ab')<sub>2</sub> molecule. According to another approach, Fv, Fab or F(ab')<sub>2</sub> fragments can be isolated directly from recombinant host cell culture. Other techniques for the production of antibody fragments will be apparent to the skilled practitioner.

(viii) *Amino acid sequence variants of antibodies*

Amino acid sequence variants of the anti-trkC antibodies are prepared by introducing appropriate nucleotide changes into the anti-trkC antibody DNA, or by peptide synthesis. Such variants include, for example, deletions from, and/or insertions into and/or substitutions of, residues within the amino acid sequences of the anti-trkC antibodies of the examples herein. Any combination of deletion, insertion, and substitution is made to arrive at the final construct, provided that the final construct possesses the desired characteristics. The amino acid changes also may alter post-translational processes of the humanized or variant anti-trkC antibody, such as changing the number or position of glycosylation sites.

A useful method for identification of certain residues or regions of the anti-trkC antibody that are preferred locations for mutagenesis is called "alanine scanning mutagenesis," as described by Cunningham and Wells *Science*, 244:1081-1085 (1989). Here, a residue or group of target residues are identified (*e.g.*, charged residues such as arg, asp, his, lys, and glu) and replaced by a neutral or negatively charged amino acid (most preferably alanine or polyalanine) to affect the interaction of the amino acids with trkC antigen. Those amino acid locations demonstrating functional sensitivity to the substitutions then are refined by introducing further or other variants at, or for, the sites of substitution. Thus, while the site for introducing an amino acid sequence variation is predetermined, the nature of the mutation *per se* need not be predetermined. For example, to analyze the performance of a mutation at a given site, ala scanning or random mutagenesis is conducted at the target codon or region and the expressed anti-trkC antibody variants are screened for the desired activity.

Amino acid sequence insertions include amino- and/or carboxyl-terminal fusions ranging in length from one residue to polypeptides containing a hundred or more residues, as well as intrasequence insertions of single or multiple amino acid residues. Examples of terminal insertions include an anti-trkC antibody with an N-terminal methionyl residue or the antibody fused to an epitope tag. Other insertional variants of the anti-trkC antibody molecule include the fusion to the N- or C-terminus of the anti-trkC antibody of an enzyme or a polypeptide which increases the serum half-life of the antibody (see below).

Another type of variant is an amino acid substitution variant. These variants have at least one amino acid residue in the anti-trkC antibody molecule removed and a different residue inserted in its place. The sites of greatest interest for substitutional mutagenesis include the hypervariable regions, but FR alterations are also contemplated. Conservative substitutions are shown in Table 1 under the heading of "preferred substitutions". If such substitutions result in a change in biological activity, then more substantial changes, denominated "exemplary substitutions" in Table 1, or as further described below in reference to amino acid classes, may be introduced and the products screened.

**Table 1**

<b>Original Residue</b>	<b>Exemplary Substitutions</b>	<b>Preferred Substitutions</b>
Ala (A)	val; leu; ile	val
Arg (R)	lys; gln; asn	lys
Asn (N)	gln; his; asp, lys; arg	gln
Asp (D)	glu; asn	glu
Cys (C)	ser; ala	ser
Gln (Q)	asn; glu	asn
Glu (E)	asp; gln	asp
Gly (G)	ala	ala
His (H)	asn; gln; lys; arg	arg
Ile (I)	leu; val; met; ala; phe; norleucine	leu
Leu (L)	norleucine; ile; val; met; ala; phe	ile
Lys (K)	arg; gln; asn	arg
Met (M)	leu; phe; ile	leu
Phe (F)	leu; val; ile; ala; tyr	tyr
Pro (P)	ala	ala
Ser (S)	thr	thr
Thr (T)	ser	ser
Trp (W)	tyr; phe	tyr
Tyr (Y)	trp; phe; thr; ser	phe



Val (V)	ile; leu; met; phe; ala; norleucine	leu
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Substantial modifications in the biological properties of the antibody are accomplished by selecting substitutions that differ significantly in their effect on maintaining (a) the structure of the polypeptide backbone in the area of the substitution, for example, as a sheet or helical conformation, (b) the charge or hydrophobicity of the molecule at the target site, or (c) the bulk of the side chain. Naturally occurring residues are divided into groups based on common side-chain properties:

- (1) hydrophobic: norleucine, met, ala, val, leu, ile;
- (2) neutral hydrophilic: cys, ser, thr;
- (3) acidic: asp, glu;
- (4) basic: asn, gln, his, lys, arg;
- (5) residues that influence chain orientation: gly, pro; and
- (6) aromatic: trp, tyr, phe.

Non-conservative substitutions will entail exchanging a member of one of these classes for another class.

Any cysteine residue not involved in maintaining the proper conformation of the humanized or variant anti-trkC antibody also may be substituted, generally with serine, to improve the oxidative stability of the molecule and prevent aberrant crosslinking. Conversely, cysteine bond(s) may be added to the antibody to improve its stability (particularly where the antibody is an antibody fragment such as an Fv fragment).

A particularly preferred type of substitutional variant involves substituting one or more hypervariable region residues of a parent antibody (*e.g.* a humanized or human antibody). Generally, the resulting variant(s) selected for further development will have improved biological properties relative to the parent antibody from which they are generated. A convenient way for generating such substitutional variants is affinity maturation using phage display. Briefly, several hypervariable region sites (*e.g.* 6-7 sites) are mutated to generate all possible amino substitutions at each site. The antibody variants thus generated are displayed in a monovalent fashion from filamentous phage particles as fusions to the gene III product of M13 packaged within each particle. The phage-displayed variants are then screened for their biological activity (*e.g.* binding affinity) as herein disclosed. In order to identify candidate hypervariable region sites for modification, alanine scanning mutagenesis can be performed to identify hypervariable region residues contributing significantly to antigen binding. Alternatively, or in addition, it may be beneficial to analyze a crystal structure of the antigen-antibody complex to identify contact points between the antibody and human trkC. Such contact residues and neighboring residues are candidates for substitution according to the techniques elaborated herein. Once such variants are generated, the panel of variants is subjected to screening as described herein and antibodies with superior properties in one or more relevant assays may be selected for further development.

(ix) *Glycosylation variants of antibodies*

Antibodies are glycosylated at conserved positions in their constant regions (Jefferis and Lund, Chem. Immunol. **65**:111-128 [1997]; Wright and Morrison, TibTECH **15**:26-32 [1997]). The oligosaccharide side chains of the immunoglobulins affect the protein's function (Boyd *et al.*, Mol. Immunol. **32**:1311-1318 [1996]; Wittwe and Howard, Biochem. **29**:4175-4180 [1990]), and the intramolecular interaction between portions of the glycoprotein which can affect the conformation and presented three-dimensional surface of the glycoprotein (Hefferis and Lund, *supra*; Wyss and Wagner, Current Opin. Biotech. **7**:409-416 [1996]). Oligosaccharides may also serve to target a given glycoprotein to certain molecules based upon specific recognition structures. For example, it has been reported that in agalactosylated IgG, the oligosaccharide moiety 'flips' out of the inter-CH2 space and terminal N-acetylglucosamine residues become available to bind mannose binding protein (Malhotra *et al.*, Nature Med. **1**:237-243 [1995]). Removal by glycopeptidase of the oligosaccharides from CAMPATH-1H (a recombinant humanized murine monoclonal IgG1 antibody which recognizes the CDw52 antigen of human lymphocytes) produced in Chinese Hamster Ovary (CHO) cells resulted in a complete reduction in complement mediated lysis (CMCL) (Boyd *et al.*, Mol. Immunol. **32**:1311-1318 [1996]), while selective removal of sialic acid residues using neuraminidase resulted in no loss of DMCL. Glycosylation of antibodies has also been reported to affect antibody-dependent cellular cytotoxicity (ADCC). In particular, CHO cells with tetracycline-regulated expression of  $\beta(1,4)$ -N-acetylglucosaminyltransferase III (GnTIII), a glycosyltransferase catalyzing formation of bisecting GlcNAc, was reported to have improved ADCC activity (Umana *et al.*, Mature Biotech. **17**:176-180 [1999]).

Glycosylation variants of antibodies are variants in which the glycosylation pattern of an antibody is altered. By altering is meant deleting one or more carbohydrate moieties found in the antibody, adding one or more carbohydrate moieties to the antibody, changing the composition of glycosylation (glycosylation pattern), the extent of glycosylation, etc. Glycosylation variants may, for example, be prepared by removing, changing and/or adding one or more glycosylation sites in the nucleic acid sequence encoding the antibody.

Glycosylation of antibodies is typically either N-linked or O-linked. N-linked refers to the attachment of the carbohydrate moiety to the side chain of an asparagine residue. The tripeptide sequences asparagine-X-serine and asparagine-X-threonine, where X is any amino acid except proline, are the recognition sequences for enzymatic attachment of the carbohydrate moiety to the asparagine side chain. Thus, the presence of either of these tripeptide sequences in a polypeptide creates a potential glycosylation site. O-linked glycosylation refers to the attachment of one of the sugars N-acetylgalactosamine, galactose, or xylose to a hydroxyamino acid, most commonly serine or threonine, although 5-hydroxyproline or 5-hydroxylysine may also be used.

Addition of glycosylation sites to the antibody is conveniently accomplished by altering the amino acid sequence such that it contains one or more of the above-described tripeptide sequences (for N-linked glycosylation sites). The alteration may also be made by the addition of, or substitution by, one or more serine or threonine residues to the sequence of the original antibody (for O-linked glycosylation sites).

Nucleic acid molecules encoding amino acid sequence variants of the anti-trkC antibody are prepared by a variety of methods known in the art. These methods include, but are not limited to, isolation from a natural source (in

the case of naturally occurring amino acid sequence variants) or preparation by oligonucleotide-mediated (or site-directed) mutagenesis, PCR mutagenesis, and cassette mutagenesis of an earlier prepared variant or a non-variant version of the anti-trkC antibody.

5 The glycosylation (including glycosylation pattern) of antibodies may also be altered without altering the underlying nucleotide sequence. Glycosylation largely depends on the host cell used to express the antibody. Since the cell type used for expression of recombinant glycoproteins, e.g. antibodies, as potential therapeutics is rarely the native cell, significant variations in the glycosylation pattern of the antibodies can be expected (see, e.g. Hse *et al.*, J. Biol. Chem. 272:9062-9070 [1997]). In addition to the choice of host cells, factors which affect glycosylation during recombinant production of antibodies include growth mode, media formulation, culture density, oxygenation, pH, 10 purification schemes and the like. Various methods have been proposed to alter the glycosylation pattern achieved in a particular host organism including introducing or overexpressing certain enzymes involved in oligosaccharide production (U. S. Patent Nos. 5,047,335; 5,510,261 and 5,278,299). Glycosylation, or certain types of glycosylation, can be enzymatically removed from the glycoprotein, for example using endoglycosidase H (Endo H). In addition, the recombinant host cell can be genetically engineered, e.g. make defective in processing certain types of 15 polysaccharides. These and similar techniques are well known in the art.

The glycosylation structure of antibodies can be readily analyzed by conventional techniques of carbohydrate analysis, including lectin chromatography, NMR, Mass spectrometry, HPLC, GPC, monosaccharide compositional analysis, sequential enzymatic digestion, and HPAEC-PAD, which uses high pH anion exchange chromatography to separate oligosaccharides based on charge. Methods for releasing oligosaccharides for analytical 20 purposes are also known, and include, without limitation, enzymatic treatment (commonly performed using peptide-N-glycosidase F/endoglycosidase F/endo- $\beta$ -galactosidase), elimination using harsh alkaline environment to release mainly O-linked structures, and chemical methods using anhydrous hydrazine to release both N- and O-linked oligosaccharides.

(x) *Other modifications of antibodies*

The anti-trkC antibodies disclosed herein may also be formulated as immunoliposomes. Liposomes 25 containing the antibody are prepared by methods known in the art, such as described in Epstein *et al.*, *Proc. Natl. Acad. Sci. USA* 82:3688 (1985); Hwang *et al.*, *Proc. Natl. Acad. Sci. USA* 77:4030 (1980); and U.S. Pat. Nos. 4,485,045 and 4,544,545. Liposomes with enhanced circulation time are disclosed in U.S. Patent No. 5,013,556.

Particularly useful liposomes can be generated by the reverse phase evaporation method with a lipid composition comprising phosphatidylcholine, cholesterol and PEG-derivatized phosphatidylethanolamine (PEG-PE). 30 Liposomes are extruded through filters of defined pore size to yield liposomes with the desired diameter. Fab' fragments of the antibody of the present invention can be conjugated to the liposomes as described in Martin *et al.*, *J. Biol. Chem.* 257:286-288 (1982) via a disulfide interchange reaction. A chemotherapeutic agent (such as Doxorubicin) is optionally contained within the liposome. See Gabizon *et al.*, *J. National Cancer Inst.* 81(19):1484 (1989).

The antibody of the present invention may also be used in ADEPT by conjugating the antibody to a prodrug-activating enzyme which converts a prodrug (*e.g.*, a peptidyl chemotherapeutic agent, see WO81/01145) to an active anti-cancer drug. See, for example, WO 88/07378 and U.S. Patent No. 4,975,278.

5 The enzyme component of the immunoconjugate useful for ADEPT includes any enzyme capable of acting on a prodrug in such a way so as to convert it into its more active, cytotoxic form.

Enzymes that are useful in the method of this invention include, but are not limited to, alkaline phosphatase useful for converting phosphate-containing prodrugs into free drugs; arylsulfatase useful for converting sulfate-containing prodrugs into free drugs; cytosine deaminase useful for converting non-toxic 5-fluorocytosine into the anti-cancer drug, 5-fluorouracil; proteases, such as serratia protease, thermolysin, subtilisin, carboxypeptidases and cathepsins (such as cathepsins B and L), that are useful for converting peptide-containing prodrugs into free drugs; D-alanylcarboxypeptidases, useful for converting prodrugs that contain D-amino acid substituents; carbohydrate-cleaving enzymes such as  $\alpha$ -galactosidase and neuraminidase useful for converting glycosylated prodrugs into free drugs;  $\beta$ -lactamase useful for converting drugs derivatized with  $\beta$ -lactams into free drugs; and penicillin amidases, such as penicillin V amidase or penicillin G amidase, useful for converting drugs derivatized at their amine nitrogens with phenoxyacetyl or phenylacetyl groups, respectively, into free drugs. Alternatively, antibodies with enzymatic activity, also known in the art as "abzymes", can be used to convert the prodrugs of the invention into free active drugs (see, *e.g.*, Massey, *Nature* 328:457-458 (1987)). Antibody-abzyme conjugates can be prepared as described herein for delivery of the abzyme to a tumor cell population.

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The enzymes of this invention can be covalently bound to the anti-trkC antibodies by techniques well known in the art such as the use of the heterobifunctional crosslinking reagents discussed above. Alternatively, fusion proteins comprising at least the antigen binding region of an antibody of the invention linked to at least a functionally active portion of an enzyme of the invention can be constructed using recombinant DNA techniques well known in the art (see, *e.g.*, Neuberger *et al.*, *Nature* 312:604-608 [1984]).

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In certain embodiments of the invention, it may be desirable to use an antibody fragment, rather than an intact antibody. In this case, it may be desirable to modify the antibody fragment in order to increase its serum half-life. This may be achieved, for example, by incorporation of a salvage receptor binding epitope into the antibody fragment (*e.g.*, by mutation of the appropriate region in the antibody fragment or by incorporating the epitope into a peptide tag that is then fused to the antibody fragment at either end or in the middle, *e.g.*, by DNA or peptide synthesis). See WO96/32478 published October 17, 1996.

25

The salvage receptor binding epitope generally constitutes a region wherein any one or more amino acid residues from one or two loops of a Fc domain are transferred to an analogous position of the antibody fragment. Even more preferably, three or more residues from one or two loops of the Fc domain are transferred. Still more preferred, the epitope is taken from the CH2 domain of the Fc region (*e.g.*, of an IgG) and transferred to the CH1, CH3, or V<sub>H</sub> region, or more than one such region, of the antibody. Alternatively, the epitope is taken from the CH2 domain of the Fc region and transferred to the C<sub>L</sub> region or V<sub>L</sub> region, or both, of the antibody fragment.

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Covalent modifications of the humanized or variant anti-trkC antibody (including glycosylation variants) are also included within the scope of this invention. They may be made by chemical synthesis or by enzymatic or chemical cleavage of the antibody, if applicable. Other types of covalent modifications of the antibody are introduced into the molecule by reacting targeted amino acid residues of the antibody with an organic derivatizing agent that is capable of reacting with selected side chains or the N- or C-terminal residues. Exemplary covalent modifications of polypeptides are described in US Patent 5,534,615, specifically incorporated herein by reference. A preferred type of covalent modification of the antibody comprises linking the antibody to one of a variety of nonproteinaceous polymers, *e.g.*, polyethylene glycol, polypropylene glycol, or polyoxyalkylenes, in the manner set forth in U.S. Patent Nos. 4,640,835; 4,496,689; 4,301,144; 4,670,417; 4,791,192 or 4,179,337.

## 2. Vectors, Host Cells and Recombinant Methods

The invention also provides isolated nucleic acid encoding the non-human, *e.g.* murine and human anti-trkC antibodies of the present invention (including the humanized versions of the non-human antibodies), vectors and host cells comprising the nucleic acid, and recombinant techniques for the production of the antibodies.

For recombinant production of an antibody, the nucleic acid encoding it may be isolated and inserted into a replicable vector for further cloning (amplification of the DNA) or for expression. In another embodiment, the antibody may be produced by homologous recombination, *e.g.* as described in US Patent 5,204,244, specifically incorporated herein by reference. DNA encoding the monoclonal antibody is readily isolated and sequenced using conventional procedures (*e.g.*, by using oligonucleotide probes that are capable of binding specifically to genes encoding the heavy and light chains of the antibody). Many vectors are available. The vector components generally include, but are not limited to, one or more of the following: a signal sequence, an origin of replication, one or more marker genes, an enhancer element, a promoter, and a transcription termination sequence, *e.g.*, as described in US Patent 5,534,615 issued July 9, 1996 and specifically incorporated herein by reference.

Suitable host cells for cloning or expressing the DNA in the vectors herein are the prokaryote, yeast, or higher eukaryote cells described above. Suitable prokaryotes for this purpose include eubacteria, such as Gram-negative or Gram-positive organisms, for example, Enterobacteriaceae such as *Escherichia*, *e.g.*, *E. coli*, *Enterobacter*, *Erwinia*, *Klebsiella*, *Proteus*, *Salmonella*, *e.g.*, *Salmonella typhimurium*, *Serratia*, *e.g.*, *Serratia marcescans*, and *Shigella*, as well as *Bacilli* such as *B. subtilis* and *B. licheniformis* (*e.g.*, *B. licheniformis* 41P disclosed in DD 266,710 published 12 April 1989), *Pseudomonas* such as *P. aeruginosa*, and *Streptomyces*. One preferred *E. coli* cloning host is *E. coli* 294 (ATCC 31,446), although other strains such as *E. coli* B, *E. coli* X1776 (ATCC 31,537), and *E. coli* W3110 (ATCC 27,325) are suitable. These examples are illustrative rather than limiting.

In addition to prokaryotes, eukaryotic microbes such as filamentous fungi or yeast are suitable cloning or expression hosts for anti-trkC antibody-encoding vectors. *Saccharomyces cerevisiae*, or common baker's yeast, is the most commonly used among lower eukaryotic host microorganisms. However, a number of other genera, species, and strains are commonly available and useful herein, such as *Schizosaccharomyces pombe*; *Kluyveromyces* hosts such as, *e.g.*, *K. lactis*, *K. fragilis* (ATCC 12,424), *K. bulgaricus* (ATCC 16,045), *K. wickerhamii* (ATCC 24,178), *K. waltii*

(ATCC 56,500), *K. drosophilae* (ATCC 36,906), *K. thermotolerans*, and *K. marxianus*; *Yarrowia* (EP 402,226); *Pichia pastoris* (EP 183,070); *Candida*; *Trichoderma reesei* (EP 244,234); *Neurospora crassa*; *Schwanniomyces* such as *Schwanniomyces occidentalis*; and filamentous fungi such as, e.g., *Neurospora*, *Penicillium*, *Tolypocladium*, and *Aspergillus* hosts such as *A. nidulans* and *A. niger*.

5            Suitable host cells for the expression of glycosylated anti-trkC antibody are derived from multicellular organisms. Examples of invertebrate cells include plant and insect cells. Numerous baculoviral strains and variants and corresponding permissive insect host cells from hosts such as *Spodoptera frugiperda* (caterpillar), *Aedes aegypti* (mosquito), *Aedes albopictus* (mosquito), *Drosophila melanogaster* (fruitfly), and *Bombyx mori* have been identified. A variety of viral strains for transfection are publicly available, e.g., the L-1 variant of *Autographa californica* NPV and the Bm-5 strain of *Bombyx mori* NPV, and such viruses may be used as the virus herein according to the present invention, particularly for transfection of *Spodoptera frugiperda* cells. Plant cell cultures of cotton, corn, potato, soybean, petunia, tomato, and tobacco can also be utilized as hosts.

10           However, interest has been greatest in vertebrate cells, and propagation of vertebrate cells in culture (tissue culture) has become a routine procedure. Examples of useful mammalian host cell lines are monkey kidney CV1 line transformed by SV40 (COS-7, ATCC CRL 1651); human embryonic kidney line (293 or 293 cells subcloned for growth in suspension culture, Graham *et al.*, *J. Gen. Virol.* 36:59 [1977]); baby hamster kidney cells (BHK, ATCC CCL 10); Chinese hamster ovary cells-DHFR (CHO, Urlaub *et al.*, *Proc. Natl. Acad. Sci. USA* 77:4216 [1980]); mouse sertoli cells (TM4, Mather, *Biol. Reprod.* 23:243-251 [1980]); monkey kidney cells (CV1 ATCC CCL 70); African green monkey kidney cells (VERO-76, ATCC CRL-1587); human cervical carcinoma cells (HeLa, ATCC CCL 2); canine kidney cells (MDCK, ATCC CCL 34); buffalo rat liver cells (BRL 3A, ATCC CRL 1442); human lung cells (W138, ATCC CCL 75); human liver cells (Hep G2, HB 8065); mouse mammary tumor (MMT 060562, ATCC CCL51); TRI cells (Mather *et al.*, *Annals N.Y. Acad. Sci.* 383:44-68 [1982]); MRC 5 cells; and FS4 cells.

20           Host cells are transformed with the above-described expression or cloning vectors for anti-trkC antibody production and cultured in conventional nutrient media modified as appropriate for inducing promoters, selecting transformants, or amplifying the genes encoding the desired sequences.

25           The host cells used to produce the anti-trkC antibody of this invention may be cultured in a variety of media. Commercially available media such as Ham's F10 (Sigma), Minimal Essential Medium (MEM) (Sigma), RPMI-1640 (Sigma), and Dulbecco's Modified Eagle's Medium (DMEM) (Sigma) are suitable for culturing the host cells. In addition, any of the media described in Ham *et al.*, *Meth. Enz.* 58:44 (1979), Barnes *et al.*, *Anal. Biochem.* 102:255 (1980), U.S. Pat. Nos. 4,767,704; 4,657,866; 4,927,762; 4,560,655; or 5,122,469; WO 90/03430; WO 87/00195; or U.S. Patent Re. 30,985 may be used as culture media for the host cells. Any of these media may be supplemented as necessary with hormones and/or other growth factors (such as insulin, transferrin, or epidermal growth factor), salts (such as sodium chloride, calcium, magnesium, and phosphate), buffers (such as HEPES), nucleotides (such as adenosine and thymidine), antibiotics (such as GENTAMYCIN™ drug), trace elements (defined as inorganic compounds usually present at final concentrations in the micromolar range), and glucose or an equivalent energy source. Any

other necessary supplements may also be included at appropriate concentrations that would be known to those skilled in the art. The culture conditions, such as temperature, pH, and the like, are those previously used with the host cell selected for expression, and will be apparent to the ordinarily skilled artisan.

When using recombinant techniques, the antibody can be produced intracellularly, in the periplasmic space, or directly secreted into the medium. If the antibody is produced intracellularly, as a first step, the particulate debris, either host cells or lysed fragments, is removed, for example, by centrifugation or ultrafiltration. Carter *et al.*, *Bio/Technology* 10:163-167 (1992) describe a procedure for isolating antibodies which are secreted to the periplasmic space of *E. coli*. Briefly, cell paste is thawed in the presence of sodium acetate (pH 3.5), EDTA, and phenylmethylsulfonylfluoride (PMSF) over about 30 min. Cell debris can be removed by centrifugation. Where the antibody is secreted into the medium, supernatants from such expression systems are generally first concentrated using a commercially available protein concentration filter, for example, an Amicon or Millipore Pellicon ultrafiltration unit. A protease inhibitor such as PMSF may be included in any of the foregoing steps to inhibit proteolysis and antibiotics may be included to prevent the growth of adventitious contaminants.

The antibody composition prepared from the cells can be purified using, for example, hydroxylapatite chromatography, gel electrophoresis, dialysis, and affinity chromatography, with affinity chromatography being the preferred purification technique. The suitability of protein A as an affinity ligand depends on the species and isotype of any immunoglobulin Fc domain that is present in the antibody. Protein A can be used to purify antibodies that are based on human 1, 2, or 4 heavy chains (Lindmark *et al.*, *J. Immunol. Meth.* 62:1-13 (1983)). Protein G is recommended for all mouse isotypes and for human 3 (Guss *et al.*, *EMBO J.* 5:1567-1575 (1986)). The matrix to which the affinity ligand is attached is most often agarose, but other matrices are available. Mechanically stable matrices such as controlled pore glass or poly(styrenedivinyl)benzene allow for faster flow rates and shorter processing times than can be achieved with agarose. Where the antibody comprises a C<sub>H</sub>3 domain, the Bakerbond ABX<sup>TM</sup> resin (J. T. Baker, Phillipsburg, NJ) is useful for purification. Other techniques for protein purification such as fractionation on an ion-exchange column, ethanol precipitation, Reverse Phase HPLC, chromatography on silica, chromatography on heparin SEPHAROSE<sup>TM</sup> chromatography on an anion or cation exchange resin (such as a polyaspartic acid column), chromatofocusing, SDS-PAGE, and ammonium sulfate precipitation are also available depending on the antibody to be recovered.

Following any preliminary purification step(s), the mixture comprising the antibody of interest and contaminants may be subjected to low pH hydrophobic interaction chromatography using an elution buffer at a pH between about 2.5-4.5, preferably performed at low salt concentrations (*e.g.*, from about 0-0.25M salt).

### 3. Identification of Agonist anti-trkC Antibodies

Agonist antibodies may be identified, for example, using the kinase receptor activation (KIRA) assay described in U. S. Patent Nos. 5,766,863 and 5,891,650. This ELISA-type assay is suitable for qualitative or quantitative measurement of kinase activation by measuring the autophosphorylation of the kinase domain of a receptor protein tyrosine kinase (rPTK, *e.g.* trk receptor), as well as for identification and characterization of potential

agonist or antagonists of a selected rPTK. The first stage of the assay involves phosphorylation of the kinase domain of a kinase receptor, in the present case a trkC receptor, wherein the receptor is present in the cell membrane of a eukaryotic cell. The receptor may be an endogenous receptor or nucleic acid encoding the receptor, or a receptor construct, may be transformed into the cell. Typically, a first solid phase (*e.g.*, a well of a first assay plate) is coated with a substantially homogeneous population of such cells (usually a mammalian cell line) so that the cells adhere to the solid phase. Often, the cells are adherent and thereby adhere naturally to the first solid phase. If a "receptor construct" is used, it usually comprises a fusion of a kinase receptor and a flag polypeptide. The flag polypeptide is recognized by the capture agent, often a capture antibody, in the ELISA part of the assay. An analyte, such as a candidate agonist, is then added to the wells having the adherent cells, such that the tyrosine kinase receptor (*e.g.* trkC receptor) is exposed to (or contacted with) the analyte. This assay enables identification of agonist ligands for the tyrosine kinase receptor of interest (*e.g.* trkC). It is also possible to use this assay to detect antagonists of a tyrosine kinase receptor. In order to detect the presence of an antagonist ligand which blocks binding of an agonist to the receptor, the adhering cells are exposed to the suspected antagonist ligand first, and then to the agonist ligand, so that competitive inhibition of receptor binding and activation can be measured. Also, the assay can identify an antagonist which binds to the agonist ligand and thereby reduces or eliminates its ability to bind to, and activate, the rPTK. To detect such an antagonist, the suspected antagonist and the agonist for the rPTK are incubated together and the adhering cells are then exposed to this mixture of ligands. Following exposure to the analyte, the adhering cells are solubilized using a lysis buffer (which has a solubilizing detergent therein) and gentle agitation, thereby releasing cell lysate which can be subjected to the ELISA part of the assay directly, without the need for concentration or clarification of the cell lysate.

The cell lysate thus prepared is then ready to be subjected to the ELISA stage of the assay. As a first step in the ELISA stage, a second solid phase (usually a well of an ELISA microtiter plate) is coated with a capture agent (often a capture antibody) which binds specifically to the tyrosine kinase receptor, or, in the case of a receptor construct, to the flag polypeptide. Coating of the second solid phase is carried out so that the capture agent adheres to the second solid phase. The capture agent is generally a monoclonal antibody, but, as is described in the examples herein, polyclonal antibodies may also be used. The cell lysate obtained is then exposed to, or contacted with, the adhering capture agent so that the receptor or receptor construct adheres to (or is captured in) the second solid phase. A washing step is then carried out, so as to remove unbound cell lysate, leaving the captured receptor or receptor construct. The adhering or captured receptor or receptor construct is then exposed to, or contacted with, an anti-phosphotyrosine antibody which identifies phosphorylated tyrosine residues in the tyrosine kinase receptor. In the preferred embodiment, the anti-phosphotyrosine antibody is conjugated (directly or indirectly) to an enzyme which catalyses a color change of a non-radioactive color reagent. Accordingly, phosphorylation of the receptor can be measured by a subsequent color change of the reagent. The enzyme can be bound to the anti-phosphotyrosine antibody directly, or a conjugating molecule (*e.g.*, biotin) can be conjugated to the anti-phosphotyrosine antibody and the enzyme can be subsequently bound to the anti-phosphotyrosine antibody via the conjugating molecule. Finally,



binding of the anti-phosphotyrosine antibody to the captured receptor or receptor construct is measured, *e.g.*, by a color change in the color reagent.

Following initial identification, the agonist activity can be further confirmed and refined by bioassays, known to test the targeted biological activities. For example, the ability of anti-trkC monoclonal antibodies to mimic the activity of NT-3 can be tested in the PC12 neurite outgrowth assay as described in Example 1, and confirmed in known animal models of neurodegenerative diseases, such as the experimental animal models of cisplatin- and pyridoxine-induced neuropathies described in Example 2.

### 3. Therapeutic and Diagnostic Uses of Agonist anti-TrkC Antibodies

10 The anti-trkC agonist antibodies of the present invention are believed to be useful in the treatment (including prevention) of disorders the pathology of which involves cellular degeneration or disfunction. In particular, the anti-trkC agonist antibodies are promising candidates for the treatment of various (chronic) neurodegenerative disorders and acute nerve cell injuries. Such neurodegenerative disorders include, without limitation, peripheral neuropathies; motorneuron disorders, such as amyotrophic lateral sclerosis (ALS, Lou Gehrig's disease), Bell's palsy, and various conditions involving spinal muscular atrophy or paralysis; and other human neurodegenerative diseases, such as Alzheimer's disease, Parkinson's disease, epilepsy, multiple sclerosis, Huntington's chorea, Down's Syndrome, nerve deafness, and Meniere's disease, and acute nerve cell injuries, for example due to trauma or spinal cord injury.

The anti-trkC antibodies of the present invention are believed to be particularly suited for the treatment of peripheral neuropathy, a neurodegenerative disorder that affects the peripheral nerves, most often manifested as one or a combination of motor, sensory, sensorimotor, or autonomic dysfunction. Peripheral neuropathies may, for example, be genetically acquired, can result from a systemic disease, can be induced by a toxic agent, such as a neurotoxic drug, *e.g.* antineoplastic agent, or industrial or environmental pollutant, or can be idiopathic. Thus, peripheral sensory neuropathy is characterized by the degeneration, decrease or failure of function of peripheral sensory neurons, which may occur, for example, as a consequence of diabetes (diabetic neuropathy), cytostatic drug therapy in cancer (*e.g.* treatment with chemotherapeutic agents such as vincristine, cisplatin, methotrexate, or 3'-azido-3'-deoxythymidine), alcoholism, acquired immunodeficiency syndrome (AIDS), or genetic predisposition. Genetically acquired peripheral neuropathies include, for example, Refsum's disease, Krabbe's disease, Metachromatic leukodystrophy, Fabry's disease, Dejerine-Sottas syndrome, Abetalipoproteinemia, and Charcot-Marie-Tooth (CMT) Disease (also known as Proneal Muscular Atrophy or Hereditary Motor Sensory Neuropathy (HMSN)).

30 Based on the demonstrated ability of NT-3, the native ligand of the trkC receptor, to promote proliferation of peripheral blood leukocytes, the anti-trkC agonist antibodies of the present invention may be used also as therapeutic agents for the treatment of neutropenia, various infections, and tumors. Since the expression of trkC is not limited to neurons, anti-trkC agonist antibodies are expected to find utility in the prevention or treatment of disorders characterized by cellular degeneration in general, without restriction to neural cells.

The anti-trkC antibodies of the present invention may also be used to induce angiogenesis, or treat pathological conditions/diseases in which the induction of angiogenesis is desirable. Such pathological conditions include, for example, cardiac ischemia regardless of the underlying pathology, including cerebrovascular disorders caused by insufficient cerebral circulation. Angiogenesis may also be desirable in the treatment of wounds, including  
5   ulcers, diabetic complications of sickle cell disease, and post surgical wounds.

The anti-trkC antibodies of the present invention may also be useful in the diagnosis of diseases involving cellular degeneration, in particular the neurodegenerative diseases listed above.

For diagnostic applications, the antibody typically will be labeled with a detectable moiety. Numerous labels are available which can be generally grouped into the following categories:

10       (a) Radioisotopes, such as  $^{35}\text{S}$ ,  $^{14}\text{C}$ ,  $^{125}\text{I}$ ,  $^3\text{H}$ , and  $^{131}\text{I}$ . The antibody can be labeled with the radioisotope using the techniques described in *Current Protocols in Immunology*, Volumes 1 and 2, Coligen *et al.*, Ed. Wiley-Interscience, New York, New York, Pubs. (1991) for example and radioactivity can be measured using scintillation counting.

15       (b) Fluorescent labels such as rare earth chelates (europium chelates) or fluorescein and its derivatives, rhodamine and its derivatives, dansyl, Lissamine, phycoerythrin and Texas Red are available. The fluorescent labels can be conjugated to the antibody using the techniques disclosed in *Current Protocols in Immunology*, *supra*, for example. Fluorescence can be quantified using a fluorimeter.

20       (c) Various enzyme-substrate labels are available and U.S. Patent No. 4,275,149 provides a review of some of these. The enzyme generally catalyzes a chemical alteration of the chromogenic substrate which can be measured using various techniques. For example, the enzyme may catalyze a color change in a substrate, which can be measured spectrophotometrically. Alternatively, the enzyme may alter the fluorescence or chemiluminescence of the substrate. Techniques for quantifying a change in fluorescence are described above. The chemiluminescent substrate becomes electronically excited by a chemical reaction and may then emit light which can be measured (using a chemiluminometer, for example) or donates energy to a fluorescent acceptor. Examples of enzymatic labels include  
25   luciferases (*e.g.*, firefly luciferase and bacterial luciferase; U.S. Patent No. 4,737,456), luciferin, 2,3-dihydrophthalazinediones, malate dehydrogenase, urease, peroxidase such as horseradish peroxidase (HRPO), alkaline phosphatase, -galactosidase, glucoamylase, lysozyme, saccharide oxidases (*e.g.*, glucose oxidase, galactose oxidase, and glucose-6-phosphate dehydrogenase), heterocyclic oxidases (such as uricase and xanthine oxidase), lactoperoxidase, microperoxidase, and the like. Techniques for conjugating enzymes to antibodies are described in  
30   O'Sullivan *et al.*, Methods for the Preparation of Enzyme-Antibody Conjugates for use in Enzyme Immunoassay, in *Methods in Enzym.* (ed J. Langone & H. Van Vunakis), Academic press, New York, 73:147-166 (1981).

Examples of enzyme-substrate combinations include, for example:

35       (i) Horseradish peroxidase (HRPO) with hydrogen peroxide as a substrate, wherein the hydrogen peroxidase oxidizes a dye precursor (*e.g.*, orthophenylene diamine (OPD) or 3,3',5,5'-tetramethyl benzidine hydrochloride (TMB));

- (ii) alkaline phosphatase (AP) with para-Nitrophenyl phosphate as chromogenic substrate; and
- (iii) -D-galactosidase (-D-Gal) with a chromogenic substrate (e.g., p-nitrophenyl -D-galactosidase) or fluorogenic substrate 4-methylumbelliferyl -D-galactosidase.

Numerous other enzyme-substrate combinations are available to those skilled in the art. For a general  
5 review of these, see U.S. Patent Nos. 4,275,149 and 4,318,980.

Sometimes, the label is indirectly conjugated with the antibody. The skilled artisan will be aware of various techniques for achieving this. For example, the antibody can be conjugated with biotin and any of the three broad categories of labels mentioned above can be conjugated with avidin, or *vice versa*. Biotin binds selectively to avidin and thus, the label can be conjugated with the antibody in this indirect manner. Alternatively, to achieve indirect  
10 conjugation of the label with the antibody, the antibody is conjugated with a small hapten (e.g., digoxin) and one of the different types of labels mentioned above is conjugated with an anti-hapten antibody (e.g., anti-digoxin antibody). Thus, indirect conjugation of the label with the antibody can be achieved.

In another embodiment of the invention, the anti-trkC antibody need not be labeled, and the presence thereof can be detected using a labeled antibody which binds to the anti-trkC antibody.

15 The antibodies of the present invention may be employed in any known assay method, such as competitive binding assays, direct and indirect sandwich assays, and immunoprecipitation assays. Zola, *Monoclonal Antibodies: A Manual of Techniques*, pp.147-158 (CRC Press, Inc. 1987).

Competitive binding assays rely on the ability of a labeled standard to compete with the test sample analyte for binding with a limited amount of antibody. The amount of trkC protein in the test sample is inversely  
20 proportional to the amount of standard that becomes bound to the antibodies. To facilitate determining the amount of standard that becomes bound, the antibodies generally are insolubilized before or after the competition, so that the standard and analyte that are bound to the antibodies may conveniently be separated from the standard and analyte which remain unbound.

Sandwich assays involve the use of two antibodies, each capable of binding to a different immunogenic  
25 portion, or epitope, of the protein to be detected. In a sandwich assay, the test sample analyte is bound by a first antibody which is immobilized on a solid support, and thereafter a second antibody binds to the analyte, thus forming an insoluble three-part complex. See, e.g., U. S. Patent No. 4,376,110. The second antibody may itself be labeled with a detectable moiety (direct sandwich assays) or may be measured using an anti-immunoglobulin antibody that is labeled with a detectable moiety (indirect sandwich assay). For example, one type of sandwich assay is an ELISA  
30 assay, in which case the detectable moiety is an enzyme.

The antibodies may also be used for *in vivo* diagnostic assays. Generally, the antibody is labeled with a radionuclide (such as <sup>111</sup>In, <sup>99</sup>Tc, <sup>14</sup>C, <sup>131</sup>I, <sup>125</sup>I, <sup>3</sup>H, <sup>32</sup>P or <sup>35</sup>S) so that the cells or tissue of interest can be localized using immunoscintigraphy.

The antibodies may also be used as staining reagents in pathology, following techniques well known in the  
35 art.

The anti-trkC agonist antibodies of the present invention are believed to possess numerous advantages over NT-3 as therapeutic agents, including improved efficacy, improved pharmacokinetic properties (pK) and bioavailability, and lack of hyperalgesia following administration.

#### 4. Pharmaceutical formulations

5 Therapeutic formulations of the antibodies of the present invention are prepared for storage by mixing the antibody having the desired degree of purity with optional physiologically acceptable carriers, excipients or stabilizers (*Remington's Pharmaceutical Sciences* 16th edition, Osol, A. Ed. (1980)), in the form of lyophilized formulations or aqueous solutions. Acceptable carriers, excipients, or stabilizers are nontoxic to recipients at the dosages and concentrations employed, and include buffers such as phosphate, citrate, and other organic acids; antioxidants including ascorbic acid and methionine; preservatives (such as octadecyldimethylbenzyl ammonium chloride; hexamethonium chloride; benzalkonium chloride, benzethonium chloride; phenol, butyl or benzyl alcohol; alkyl parabens such as methyl or propyl paraben; catechol; resorcinol; cyclohexanol; 3-pentanol; and m-cresol); low molecular weight (less than about 10 residues) polypeptides; proteins, such as serum albumin, gelatin, or immunoglobulins; hydrophilic polymers such as polyvinylpyrrolidone; amino acids such as glycine, glutamine, asparagine, histidine, arginine, or lysine; monosaccharides, disaccharides, and other carbohydrates including glucose, mannose, or dextrins; chelating agents such as EDTA; sugars such as sucrose, mannitol, trehalose or sorbitol; salt-forming counter-ions such as sodium; metal complexes (*e.g.*, Zn-protein complexes); and/or non-ionic surfactants such as TWEEN<sup>TM</sup>, PLURONICS<sup>TM</sup> or polyethylene glycol (PEG).

20 The formulations herein may also contain more than one active compound as necessary for the particular indication being treated, preferably those with complementary activities that do not adversely affect each other. Such molecules are suitably present in combination in amounts that are effective for the purpose intended.

The active ingredients may also be entrapped in microcapsule prepared, for example, by coacervation techniques or by interfacial polymerization, for example, hydroxymethylcellulose or gelatin-microcapsule and poly-(methacrylate) microcapsule, respectively, in colloidal drug delivery systems (for example, liposomes, albumin microspheres, microemulsions, nano-particles and nanocapsules) or in macroemulsions. Such techniques are disclosed in *Remington's Pharmaceutical Sciences* 16th edition, Osol, A. Ed. (1980).

The formulations to be used for *in vivo* administration must be sterile. This is readily accomplished by filtration through sterile filtration membranes.

30 Sustained-release preparations may be prepared. Suitable examples of sustained-release preparations include semipermeable matrices of solid hydrophobic polymers containing the antibody, which matrices are in the form of shaped articles, *e.g.*, films, or microcapsule. Examples of sustained-release matrices include polyesters, hydrogels (for example, poly(2-hydroxyethyl-methacrylate), or poly(vinylalcohol)), polylactides (U.S. Pat. No. 3,773,919), copolymers of L-glutamic acid and ethyl-L-glutamate, non-degradable ethylene-vinyl acetate, degradable lactic acid-glycolic acid copolymers such as the Lupron Depot<sup>TM</sup> (injectable microspheres composed of lactic acid-glycolic acid copolymer and leuprolide acetate), and poly-D-(-)-3-hydroxybutyric acid. While polymers such as

ethylene-vinyl acetate and lactic acid-glycolic acid enable release of molecules for over 100 days, certain hydrogels release proteins for shorter time periods. When encapsulated antibodies remain in the body for a long time, they may denature or aggregate as a result of exposure to moisture at 37°C, resulting in a loss of biological activity and possible changes in immunogenicity. Rational strategies can be devised for stabilization depending on the mechanism involved. For example, if the aggregation mechanism is discovered to be intermolecular S-S bond formation through thio-disulfide interchange, stabilization may be achieved by modifying sulfhydryl residues, lyophilizing from acidic solutions, controlling moisture content, using appropriate additives, and developing specific polymer matrix compositions.

An effective amount of an antibody of the present invention to be employed therapeutically will depend, for example, upon the therapeutic objectives, the route of administration, and the condition of the patient. Accordingly, it will be necessary for the therapist to titer the dosage and modify the route of administration as required to obtain the optimal therapeutic effect. A typical daily dosage might range from about 1 g/kg to up to 100 mg/kg or more, depending on the factors mentioned above. Typically, the clinician will administer a molecule of the present invention until a dosage is reached that provides the required biological effect. The progress of this therapy is easily monitored by conventional assays.

Administration may be by any conventional route known in the art including, without limitation, intravenous, subcutaneous, topical, intramuscular, intratracheal, intracerebral, intranasal, intrapulmonary, and intraparyncal administration.

#### 4. Gene therapy

The nucleic acid encoding the antibodies of the present invention may also be used in gene therapy of various (chronic) neurodegenerative disorders and acute nerve cell injuries, especially genetically acquired peripheral neuropathies. Two basic approaches to gene therapy have evolved: *ex vivo* gene therapy and *in vivo* gene therapy. In *ex vivo* gene therapy, cells are removed from a subject and cultured *in vitro*. A functional replacement gene is introduced into the cells *in vitro*, the modified cells are expanded in culture, and then reimplanted in the subject. In *in vivo* gene therapy, the target cells are not removed from the subject. Rather, the transferred gene is introduced into cells of the recipient *in situ*, that is, within the recipient.

Several *ex vivo* gene therapy studies in humans have been reported and are reviewed, for example, in Anderson, Science 256:808-813 (1992), and Miller, Nature 357:455-460 (1992).

The viability of *in vivo* gene therapy has been demonstrated in several animal models, as reviewed in Felgner *et al.*, Nature 349:351-352 (1991). Direct gene transfer has been reported, for example, into muscle tissue (Ferry *et al.*, Proc. Natl. Acad. Sci. 88:8377-8781 [1991]; Quantin *et al.*, Proc. Natl. Acad. Sci. USA 89:2581-2584 [1992]); the arterial wall (Nabel *et al.*, Science 244:1342-1344 [1989]); and the nervous system (Price *et al.*, Proc. Natl. Acad. Sci. 84:156-160 [1987]).

Accordingly, the present invention also provides delivery vehicles suitable for delivery of a polynucleotide encoding an agonist anti-trkC antibody into cells (whether *in vivo* or *ex vivo*). Generally, a polynucleotide encoding an

antibody (e.g. linear antibody or antibody chains) will be operably linked to a promoter and a heterologous polynucleotide. Delivery vehicles suitable for incorporation of a polynucleotide encoding an antibody of the present invention for introduction into a host cell include non-viral vehicles and viral vectors. Verma and Somia, Nature **389**:239-242 (1997).

5 A wide variety of non-viral vehicles for delivery of a polynucleotide encoding an antibody of the present invention are known in the art and are encompassed in the present invention. A polynucleotide encoding an anti-trkC antibody can be delivered to a cell as naked DNA (U.S. Patent No. 5,692,622; WO 97/40163). Alternatively, a polynucleotide encoding an anti-trkC antibody herein can be delivered to a cell associated in a variety of ways with a variety of substances (forms of delivery) including, but not limited to cationic lipids; biocompatible polymers, including  
10 natural polymers and synthetic polymers; lipoproteins; polypeptides; polysaccharides; lipopolysaccharides; artificial viral envelopes; metal particles; and bacteria. A delivery vehicle can be a microparticle. Mixtures or conjugates of these various substances can also be used as delivery vehicles. A polynucleotide encoding an antibody herein can be associated non-covalently or covalently with these various forms of delivery. Liposomes can be targeted to a particular cell type, e.g., to a glomerular epithelial cell.

15 Viral vectors include, but are not limited to, DNA viral vectors such as those based on adenoviruses, herpes simplex virus, poxviruses such as vaccinia virus, and parvoviruses, including adeno-associated virus; and RNA viral vectors, including, but not limited to, the retroviral vectors. Retroviral vectors include murine leukemia virus, and lentiviruses such as human immunodeficiency virus. Naldini *et al.*, Science **272**:263-267 (1996).

Non-viral delivery vehicles comprising a polynucleotide encoding an anti-trkC antibody can be introduced into  
20 host cells and/or target cells by any method known in the art, such as transfection by the calcium phosphate coprecipitation technique; electroporation; electroporomeabilization; liposome-mediated transfection; ballistic transfection; biolistic processes including microparticle bombardment, jet injection, and needle and syringe injection; or by microinjection. Numerous methods of transfection are known to the skilled worker in the field.

Viral delivery vehicles can be introduced into cells by infection. Alternatively, viral vehicles can be  
25 incorporated into any of the non-viral delivery vehicles described above for delivery into cells. For example, viral vectors can be mixed with cationic lipids (Hodgson and Solaiman, Nature Biotechnol. **14**:339-342 [1996]); or lamellar liposomes (Wilson *et al.* Proc. Natl. Acad. Sci. USA **74**:3471 [1977]; and Faller *et al.* J. Virol. **49**:269 [1984]).

In a preferred embodiment, nucleic acid encoding both the heavy and the light chains (including fragments) of an anti-trkC antibody of the present invention will be present in the same polycistronic expression vector, such as  
30 those disclosed in U.S. Patent Nos. 4,965,196 and 4,713,339. Polycistronic expression vectors contain sequences coding for a secondary protein and a desired protein, wherein both the desired and secondary sequences are governed by the same promoter. The coding sequences are separated by translational stop and start signal codons. The expression of the secondary sequence effects control over the expression of the sequence for the desired protein, and the secondary protein functions as a marker for selection of transfected cells.

In *in vivo* gene therapy, the vector may be administered to the recipient, for example, by intravenous (i.v.) injection. Suitable titers will depend on a variety of factors, such as the particular vector chosen, the host, strength of promoter used, and the severity of the disease being treated.

5 The invention will be further illustrated by the following non-limiting examples.

## EXAMPLES

### Example 1 Production and characterization of agonist anti-trkC monoclonal antibodies

#### Production and Isotyping of Antibodies

10 Wild type Balb/C mice and transgenic mice producing human IgG2 or IgG4 (Xenomice, described in Mendez *et al.*, *Nature Genetics* 15: 146-156 [1997]) were hyperimmunized either intraperitoneally, via rear footpad, or subcutaneously with 20 µg of human trkC-IgG (Shelton *et al.*, *J. Neurosci.* 15: 477-491 [1995]) in either Freund's or Ribi adjuvant as described in Mendez *et al.* (*supra*). Spleen cells from the immune mice were fused with myeloma cells (X63.Ag8.653, ATCC Rockville, MD). A total of 33 fusions were performed using 253 Xenomice and 35 wild type

15 Balb/C mice. Plates (21,734 wells total) were initially screened by direct ELISA using trkC-IgG. The ELISA screen identified 684 trkC positive hybridomas, all of which were then evaluated for agonist activity in trkC KIRA (Kinase activated Receptor Assay). The KIRA identified 14 Xenomouse derived and 22 wild type Balb/C mice derived hybridomas secreting anti-trkC agonist antibodies. These hybridomas were subcloned by limiting dilution, reassayed to confirm agonist activity, and were used to induce ascites by injecting into Pristane-primed Balb/C or nude mice (Hongo

20 *et al.*, *Hybridoma* 14: 253-260 [1995]). The monoclonal antibodies present in ascites were purified by Protein A affinity chromatography (Hongo *et al.*, *supra*). Specific fusion efficiency (number of positives / number of wells screened) was 3% for both the Xenomouse and wild type Balb/C mouse fusions. The incidence of agonist monoclonal antibodies (agonists / number of trkC ELISA positives) was 3% and 8% for the Xenomouse and wild type Balb/C mouse fusions, respectively. Isotypes of the murine monoclonal antibodies were determined using either GIBCO BRL dipstick

25 or Zymed mouse-typer isotyping kit, following supplier's instructions. The Xenomice were either IgG<sub>2</sub> or IgG<sub>4</sub> strain, producing corresponding isotypes of antibodies. Table 1 shows isotypes of various human and murine anti-trkC monoclonal antibodies. A total of 8 human IgG<sub>2</sub>, 6 human IgG<sub>4</sub>, 7 murine IgG<sub>1</sub>, 10 murine IgG<sub>2a</sub>, and 5 murine IgG<sub>2b</sub> monoclonal antibodies were identified. The monoclonal antibodies with the most potent agonist activity (depicted by asterisk in Table 2), as determined by KIRA assay, were selected for in-depth characterization.

30

Table 2

#### Human Mabs (14 Total)

IgG <sub>2</sub> Isotype (8 Mabs)	IgG <sub>4</sub> Isotype (6 Mabs)
-----------------------------------	-----------------------------------

2.5.1*	4.8
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	6.1.2*	2337
	6.4.1*	2338
	2342	2339
	2343	2348
5	2344 *	2349*
	2345 *	
	2346	

10 Murine Mabs (22 Total)

	IgG <sub>1</sub> (7)	IgG <sub>2a</sub> (10)	IgG <sub>2b</sub> (5)	IgG <sub>3</sub>
15	2249	2248*	2252	
	2250*	2272	2273	
	2253*	2251	2277	
	2254	2255	2279	
	2256*	2274	2280	
20	2257	2275		
	2260	2276		
		2278		
		2281		
		2282		
25				

Determination of Agonist Activity

a. *KIRA Assay*

Two bioassays were used to determine NT-3 agonist activity of anti-trkC monoclonal antibodies. The Kinase activated receptor assay (KIRA), which has been discussed in greater detail hereinabove, measures tyrosine phosphorylation of trkC in transfected cells in response to stimulation with a ligand, such as NT-3, or agonist monoclonal antibodies (Sadick *et al.*, *Exp. Cell Res.* 234: 354-361 [1997]). The monoclonal antibodies were diluted to 27 µg/ml in KIRA stimulation buffer (F12/DMEM 50:50 containing 2% bovine serum albumin (BSA; Intergen Co., Purchase, NY) and 25 mM Hepes, 0.2 µm filtered). The monoclonal antibodies were further diluted serially 1:3 (8 dilutions total; concentrations ranging from 0.01-180 nM) in stimulation medium. Chinese Hamster Ovary (CHO) cells stably transfected with trkC fused with a 26 amino acid polypeptide flag epitope derived from HSV glycoprotein D (gD) were seeded (5 x 10<sup>4</sup> cells/well) and grown in 96-well cell culture plates. The cells were then stimulated with either NT-3 (as a positive control) or various anti-trkC monoclonal antibodies, using serial dilutions of 0.1; 1.56; 3.13; 6.25; 12.5; 25; 50 and 100 ng/ml. All dilutions were assayed in duplicate for 6 hours. The assay was carried out essentially as described in Sadick *et al.* (*supra*). Briefly, cells were lysed using Triton X-100 and trkC present in lysate captured in ELISA using antibodies against the gD epitope and phosphorylated trkC detected and quantitated using anti-phosphotyrosine antibodies suitably conjugated with enzyme. A monoclonal antibody not directed against trkC (anti-IL8 IgG<sub>2</sub> Xenomous-derived human antibody or anti-gp120 IgG<sub>1</sub> murine monoclonal antibody) was used as a negative



control. As shown in Figure 1 (A and B), all the selected anti-trkC monoclonal antibodies could mimic the activity of NT-3 inasmuch as they could stimulate tyrosine phosphorylation of trkC receptor. The human anti-trkC monoclonal antibodies (Fig. 1A) showed more potent agonistic activity than the murine anti-trkC monoclonal antibodies (Fig. 1B). For example, the best human anti-trkC monoclonal antibody is 10-fold more potent than the best murine anti-trkC monoclonal antibody. Furthermore, the human monoclonals were nearly as efficient as NT-3 especially in the lower range of concentration.

b. *PC12 neurite outgrowth assay*

Another assay used to determine NT-3 mimetic activity of anti-trkC monoclonal antibodies was PC12 neurite outgrowth assay. This assay measures the outgrowth of neurite processes by rat pheochromocytoma cells (PC12) in response to stimulation by appropriate ligands. These cells express endogenous trkA and are therefore responsive to NGF. However, they do not express endogenous trkC and are therefore transfected with trkC expression construct in order to elicit response to NT-3 and its agonists. PC12 cells were transfected (Urfer *et al.*, *Biochem.* 36:4775-4781 [1997]; Tsoulfas *et al.*, *Neuron* 10:975-990 [1993]) with full-length human trkC and plated in 96-well cell culture plates (1000 cells/well). Three days following transfection, anti-trkC monoclonal antibodies were added in triplicate (concentration ranging from 0.0002 to 2.7 nM) and incubated for an additional 3 days at 37°C. The cells were then analyzed by phase contrast microscopy and cells with neurites exceeding 2 times the diameter of the cell were counted. The human as well as the murine anti-trkC monoclonal antibodies could stimulate neurite outgrowth in PC12 cells as shown in Fig. 1 C and D. The human anti-trkC monoclonal antibodies (Fig. 1C) exhibited far more potent activity than the murine anti-trkC monoclonal antibodies (Fig. 1D) thus corroborating the results obtained in the KIRA assay. Furthermore, consistent with the KIRA assay results, the human anti-trkC monoclonal antibodies showed roughly similar stimulation as obtained with NT-3. The results obtained with the two bioassays described above demonstrate the ability of anti-trkC monoclonal antibodies to mimic the activity of NT-3, the natural ligand of trkC receptor.

Agonist activity of the monoclonal antibodies was ranked according to maximum induction of tyrosine phosphorylation and calculated EC50 of the phosphorylation curves in the KIRA assay and PC12 neurite outgrowth assay. Table 3 summarizes characteristics of various anti-trkC agonist monoclonal antibodies.

Table 3

MAB ID	Isotype	Agonist Activity KIRA/PC12	Binds Rat trkC	Immunoblot NR/Red.	Affinity Kd (nM)
Human MABs				NR      Red.	
2.5.1	G2	(+++ / +++)	NO	++      ++	12
6.1.2	G2	(++++ / +++++)	NO	+      +	12.5

6.4.1	G2	(++++/++++)	YES	+	+	12
2344	G2	(+++ /+++)	NO	++	+	19
2345	G2	(++++/++++)	NO	++	++	12.1
2349	G4	(++++/++++)	NO	++	+	23
<b>Murine MAbs</b>						
2248	G2a	(+/+)	NO	+++	-	5.9
2250	G1	(++/++)	NO	++	++	8.7
2253	G1	(+/+)	NO	++	++	42
2256	G1	(+/+)	YES	++	+	62

#### Testing Specificity of anti-trkC antibodies

The specificity of anti-trkC monoclonal antibodies was tested using direct ELISA. The microtiter plates were coated overnight with immunoadhesin construct of the receptor trkA-IgG, trkB-IgG or trkC-IgG as capture antigens (described in Shelton *et al.*, *J. Neurosci.* 15: 477-491 [1995]) using 100  $\mu$ l of 1  $\mu$ g/ml solution diluted in 50 mM carbonate buffer, pH 9.5. CD4-IgG (Capon *et al.*, *Nature* 337: 525-531 [1989]) was used in place of capture antigen as a negative control. The coated plates were incubated for 1 hr at room temperature with various concentration of anti-trkC monoclonal antibodies (100  $\mu$ l of 0.01 to 1  $\mu$ g/ml) diluted in PBS containing 0.5% BSA and 0.05% Tween 20. After washing to remove excess unbound antibodies, appropriate HRP conjugate (human monoclonal antibodies: goat anti-human  $\kappa$ -HRP, 1:5000 diluted; murine monoclonal antibodies: goat anti-mouse IgG (Fc)-HRP, 1:5000 diluted) was added and incubated for 1 hr at room temperature. The plates were then washed, developed and read as previously described (Hongo *et al.*, *Hybridoma* 14: 253-260 [1995]). Figure 2 shows a representative example using a human anti-trkC monoclonal antibody 6.1.2. The binding was highly specific to trkC, and no significant cross-reaction was observed with either trkA or trkB. Similarly, other human and mouse anti-trkC monoclonal antibodies showed specific recognition of trkC.

The binding of various anti-trkC agonist monoclonal antibodies to human trkC and rat trkC was compared using a direct ELISA essentially as described above except the capture antigen used for human trkC was trkC-gD instead of trkC-IgG. Results shown in Figure 3 indicate that among human anti-trkC monoclonal antibodies, only 6.4.1 significantly recognized rat trkC, rest were specific for human trkC. Similarly, among murine monoclonal antibodies, only 2256 recognized rat trkC to a significant extent while others showed specific recognition of human trkC only.

#### Affinity studies

Affinities of anti-trkC agonist monoclonal antibodies were determined using using *BIAcore*-2000™ surface plasmon resonance (SPR) system (BIAcore, Inc., Piscataway, N.J.). CM5 biosensor chips were activated with N-ethyl-N'-(3-dimethylaminopropyl)-carbodiimide hydrochloride (EDC) and N-hydroxysuccinimide (NHS) according to the supplier's instructions. In the first series of binding experiments, the antigen, gD-tagged trkC, was diluted into 10 mM sodium acetate buffer (pH 4.8), and injected over the activated chip at a concentration of 0.09 mg/mL. Using variable

exposure times, four ranges of antigen density were achieved: 14,000-17,000 response units (RU), 7000-9000 RU, 2000-3000 RU, and 400-600 RU. The chip was blocked with ethanolamine.

5 In the first series of kinetic measurements, anti-trkC antibodies (IgG's) were diluted into running buffer (PBS containing 0.05% Tween-20 and 0.01% sodium azide) and 0.03 mL (667 nM) was injected over the biosensor chip at 25°C at a flow rate of 0.01 mL/min. Regeneration was achieved with a 30 sec pulse of 10 mM HCl, followed by a 1 min pulse of 100 mM Tris-HCl, pH 8.0 and two wash steps.

In a second series of experiments, the IgG's (0.1 mg/mL in 10 mM sodium acetate, pH 4.8) were immobilized as described above, except that antibody density was limited to 1000-2000 RU. Two-fold serial dilutions of gD-trkC in the range of 3.7 M to 29 nM were then injected over the biosensor chip for kinetics measurements as described above.

10 The dissociation phase of each kinetic curve were fit to a single exponential dissociation rate ( $k_{off}$ ), and these rates were used in the calculation of the association rate ( $k_{on}$ ) from the injection phase, using a simple 1:1 Langmuir binding model (Lofas & Johnsson, 1990).

Equilibrium dissociation constants,  $K_d$ 's, from SPR measurements were calculated as the ratio  $k_{off}/k_{on}$ .

15 The affinities of anti-trkC antibodies for gD-trkC were measured in SPR kinetics experiments with either antigen or antibody immobilized. Apparent affinities determined from experiments using low densities of immobilized antigen (0.4 to 0.6 ng/mm<sup>2</sup>), were generally consistent with those determined in experiments using immobilized IgG (see Table 2). However, at higher densities of immobilized gD-trkC, the apparent binding affinity of each antibody became progressively tighter by factors of as much as 10 fold, probably because of an avidity effect of binding by the bivalent IgG (data not shown). In some cases, no binding could be detected when trkC was injected over immobilized  
20 IgG. This may have occurred because immobilization of the IgG led to steric blocking of the antigen-binding site. Under all conditions tested, the antibody 2248 had the highest apparent affinity ( $K_d$  = 5.6 to 8.5 nM) of all antibodies tested.

Table 4

5 Binding affinities determined by SPR. Results are shown for IgG's binding to immobilized gD-trkC (400-600 RU) and for gD-trkC binding to immobilized IgG's (1000-3000 RU). NDB = no detectable binding.

Antibody (IgG)	K <sub>d</sub> (nM)	
	Immobilized gD-trkC	Immobilized IgG
2248	5.9	8.5
2250	8.7	28
2253	42	51
2256	62	300
2344	19	NDB
2345	12	NDB
2349	23	NDB
6.4.1	12	28
6.1.2	13	16
2.5.1	12	NDB

#### Competition ELISA

A competition ELISA was used to get preliminary information about various groups to which these antibodies belong depending on the epitope(s) on trkC they recognize. In this assay, trkC-gD (1 µg/ml) was used as a capture antigen to coat microtiter plate. A specific biotinylated anti-trkC monoclonal antibody (1 µg/ml) was added to the coated plate either alone or in presence of another anti-trkC monoclonal antibody that was unlabeled and used in excess (50 µg/ml) as compared to the labeled antibody. If biotinylated antibody and unlabeled antibody both recognize the same or overlapping epitope, they will compete for binding to the immobilized trkC, resulting in decreased binding of the labeled antibody. If they recognize different and non-overlapping epitopes, there will be no competition between them, and the binding of the labeled antibody to the immobilized trkC will not be affected. Unlabeled human IgG2 and mouse IgG were used as negative control. A representative data in Figure 4 shows that all anti-trkC monoclonal antibodies, except murine anti-trkC 2248 monoclonal antibody, compete with labeled human anti-trkC 6.1.2 monoclonal antibody for binding to the immobilized trkC, suggesting that murine 2248 antibody recognizes an epitope on trkC that is different from the epitope(s) recognized by all other anti-trkC antibodies.

10  
15  
20

It is interesting to note that when unlabeled murine monoclonal antibody 2248 is bound first to immobilized trkC, none of the other (biotinylated) antibodies can access their binding site, suggesting that even though the epitopes are

distinct, steric hinderance may play a role. Such pairwise comparison gives valuable information and helps in classifying antibodies directed against the same antigen into different groups based on epitope recognition. A summary of such comparison is shown in Figure 5. The results indicate that the antibodies can be divided into two distinct groups: Group 1 encompasses all monoclonal antibodies except 2248, whereas Group 2 is composed of 2248.

5

#### Epitope Mapping with Domain Swap Mutants

Further epitope mapping was performed utilizing chimeric trkC in which various domains were replaced with corresponding domains from trkA or trkB. This approach was made possible by the fact that anti-trkC antibodies do not significantly cross-react with trkA or trkB. The use of such domain-swap mutants has a distinct advantage over deletion mutants. The deletion of a domain might disrupt the secondary structure of protein whereas substitution of a domain with a corresponding domain, of similar size and substantially similar amino acid sequence, from a related protein in domain-swap mutants is likely to retain the secondary structure. The extracellular domain of trk receptors is composed of 5 domains as shown in Figure 6A. D1 and D3 are cysteine-rich domains, D2 is a leucine-rich domain, and D4 and D5 are immunoglobulin-like domains. Domain-swap mutants of trkC containing replacement of D1, D4 and D5 with the corresponding domains from trkB or trkA were made (Urfer *et al.*, *EMBO J.* 14:2795-2805 [1995]). Wild type trkC and wild type trkA were used as positive and negative controls respectively. The domain-swap mutants of trkC are designated according to the source of the replaced domain. For example, s1B has D1 domain from trkB, s4B has D4 domain from trkB, s5B has D5 domain from trkB, and s5A has D5 domain from trkA. All of the mutants were expressed as immunoadhesin, i.e. fused to IgG, and purified.

The binding of each of the agonist anti-trkC monoclonal antibody to various domain-swap mutants was evaluated by ELISA. F(ab')<sub>2</sub> fragment from goat anti-human IgG was used for coating microtiter plates to capture serial dilutions (100 µg/ml to 2.4 ng/ml, 100 µl/well, one hour at room temperature) of immunoadhesins (trkC-IgG, trkA-IgG and domain-swap mutants of trkC as immunoadhesin). Either unlabeled human or biotinylated murine anti-trkC monoclonal antibodies were added (100 µl per well; 1 µg/ml, one hour at room temperature) to the plates containing immobilized immunoadhesins, washed to remove unbound excess reagents, and incubated with goat anti-human  $\kappa$  or streptavidin conjugated to HRP. As shown in Figure 6B, all human anti-trkC monoclonal antibodies were able to recognize trkC domain-swap mutants with replacement of domain D1 or D4. However, replacement of domain D5 with the corresponding domain derived from either trkB or trkA destroyed recognition by anti-trkC antibodies. The extent of binding was reduced to the same low level as that observed with a negative control, -trkA. The results suggest that all the human anti-trkC monoclonal antibodies tested recognize an epitope located somewhere in domain D5.

Similar analysis was performed with murine anti-trkC monoclonal antibodies essentially the same way except the secondary antibody used was goat anti-mouse IgG Fc coupled to HRP. As with the human anti-trkC antibodies, the replacement of domain D5 abolished binding to all the murine anti-trkC monoclonal antibodies tested (Figure 6B). Additionally, the replacement of domain D4 also destroyed the binding of 2248 murine anti-trkC antibody. The human

as well as murine anti-trkC agonist monoclonal antibodies all seem to recognize an epitope in domain 5 with the exception of 2248 murine antibody, which seems to additionally recognize a determinant in domain 4. It appears that 2248 epitope may be a linear epitope overlapping the boundary of domain 4 and 5. Alternatively, 2248 antibody might recognize a secondary structure formed by discontinuous epitope with determinants derived from both domain 4 and domain 5. Interestingly, Urfer *et al.* (*J. Biol. Chem.* 273: 5829-5840 [1998]) have earlier established the prominent role of domain 5 in trkC receptor for mediating the interaction with NT-3. Surprisingly, the antibodies described herein also bind to an epitope of trkC which is largely overlapping with that recognized by NT-3. This is surprising because of the relative sizes and shapes of NT-3 and immunoglobulin molecules. The likely mode of action of these activators is to crosslink the extracellular domains of two trkC molecules in such a way to bring together their intracellular tyrosine kinase domains and cross phosphorylate and activate them.

In homodimeric NT-3, it has been established that the two areas of the molecule which interact with trkC are diametrically opposed on opposite sides of the molecule, 180 degrees apart from each other. The distance between these areas is on the order of 16 Å. On the other hand, the two trkC interacting sites in the immunoglobulin molecules described here are not diametrically opposed. In addition to displaying the trkC binding domains at a different angle than NT-3, immunoglobulins will have the trkC binding domains separated from each other by a much wider distance than they are in NT-3. This will vary with the exact angle of the two Fab domains, but is in the range of 50 Å to 150 Å. It would have been difficult to have foreseen that two such very different crosslinkers as NT-3 and the agonist Mabs act as agonists when bound to the same site on trkC.

#### Site-Directed Mutagenesis

Site-directed mutagenesis approach was used to determine the contribution of selected individual amino acid residues of domain 5 in the recognition by anti-trkC antibodies. Figure 7 shows the amino acid sequence of human trkC domains 4 and 5. All dotted residues were mutagenized to alanine except residues L284, L286 and E287 which were changed to E, H, and K respectively (Urfer *et al.*, *J. Biol. Chem.* 273: 5829-5840 [1998]). A total of 26 single amino acid mutations were made and evaluated for their effect on binding to anti-trkC monoclonal antibodies. The values shown in Table 5 represent the ratio of binding to anti-trkC antibody of mutant vs wildtype trkC. In order to minimize variation and provide effective comparison, EC50 values were determined for each mutant for each antibody and divided by the EC50 value obtained with wildtype trkC.

Table 5

trkC Mutant	NT-3*	2.5.1	6.1.2	6.4.1	2344	2345	2349	2248	2250	2253	2256	1436
trkC	1.0	1.0	1.0	1.0	1.0	1.0	1.0	1.0	1.0	1.0	1.0	1.0
R275A	1.1	1.1	1.1	1.1	1.1	1.1	1.1	1.1	1.1	1.1	1.1	1.1
E280A	0.7	0.7	0.7	0.7	0.7	0.7	0.7	0.7	0.7	0.7	0.7	0.7
E283A	1.7	1.7	1.7	1.7	1.7	1.7	1.7	1.7	1.7	1.7	1.7	1.7

L284E	1.1	NB	NB	NB	NB	NB	NB	0.7	0.6	0.7	1.1	0.8
R285A	1.5	1.1	1.2	0.8	0.9	1.0	0.9	0.8	2.6	0.9	NB	0.4
L286H	1.2	0.6	1.3?	NB	0.9	0.5	0.6	0.6	0.4	0.4	0.6	0.6
E287K	27.3	NB	NB	NB	NB	NB	NB	0.6	0.8	0.7	0.7	0.6
E291A	1.0											
R295A	11.6											
Q309A	1.0											
R312A	0.8											
K315A	0.9											
H318A	1.0	0.8	1.1	0.7	0.8	1.0	0.7	1.0	1.0	0.8	0.8	1
E320A	1.0											
E324A	1.2											
E329A	1.0											
N335A	37.8	NB	NB	0.3	NB	NB	1.5	0.6	0.5	0.5	0.5	0.6
K336A	0.9											
T338A	30.3											
H339A	1.7											
K350A	1.0											
Q358A	1.2											
K366A	0.9											
E367A	1.2											
D372A	1.2											
E373A	1.1											

The gray areas indicate that the designated mutants did not have an initial effect on monoclonal antibody binding, and were therefore not re-assayed. Mutations that completely obliterated monoclonal antibody binding are shown as NB ("no binding observed"). The analysis indicates the major contribution of amino acid residues L284, E287 and N335 of trkC in recognition by anti-trkC agonist monoclonal antibodies tested. A model of the complex of trkC domain 5 with NT3 shows the position of these residues in close contact with CDRs of antibody (Figure 8). This model is based on the crystal structure of the complex of trkA domain 5 with NGF. For further details see, e.g. Urfer *et al. J. Biol. Chem.* (1998), *supra*, or Ultsch *et al., J. Mol. Biol.* 290:149-159 (1999).

#### Cloning and Sequencing of Antibody Variable Regions

In order to better understand the molecular basis of interaction between trkC and anti-trkC monoclonal antibodies, the heavy and light chain variable sequences of agonist antibodies were cloned and DNA sequence determined. Total RNA was isolated from hybridoma cells producing the human and murine anti-trkC antibodies using RNA isolation kit from Stratagene (La Jolla, CA). RNA was reverse transcribed into cDNA using SuperScript II system (Life Technologies, Inc., Gaithersburg, MD) and specific 3' primers based on framework 4 sequences derived from the respective heavy or light chain subgroup (Kabat and Wu, *J. Immunol.* 147: 1709-1719 [1991]). Subsequent PCR amplification was performed using AmpliTaq DNA polymerase (Perkin Elmer, Foster City, CA) in presence of 2.5 M DMSO with specific forward primers based on the N-terminal amino acid sequences of heavy and light chains and the same 3' primers used for cDNA synthesis. PCR products were subcloned into an F(ab)<sub>2</sub> vector containing both human

heavy and light chain constant regions (Carter *et al.*, *Bio/Technology* 10: 163-167 [1992]). Five clones each of the V<sub>H</sub> and V<sub>L</sub> domains were sequenced and a consensus sequence was obtained.

Figure 9 shows the deduced amino acid sequences of heavy chain of anti-trkC agonist monoclonal antibodies (2250, SEQ ID NO: 42; 2253, SEQ ID NO: 43; 2256, SEQ ID NO: 44; 6.1.2, SEQ ID NO: 45; 6.4.1, SEQ ID NO: 46; 2345, SEQ ID NO: 47; and 2349, SEQ ID NO: 48). The deduced amino acid sequences of light chain of anti-trkC agonist monoclonal antibodies are shown in Figure 10 (2250, SEQ ID NO: 49; 2253, SEQ ID NO: 50; 2256, SEQ ID NO: 51; 6.1.2, SEQ ID NO: 53; 6.4.1, SEQ ID NO: 53; 2345, SEQ ID NO: 54; and 2349, SEQ ID NO: 55). In both Figure 9 and Figure 10 the Complementarity Determining Regions (CDRs) are labeled as CDR1, CDR2 and CDR3, and the corresponding amino acid residues are shown in bold face. Figure 11 summarizes the sequences of CDRs of heavy chain as well as light chain of various anti-trkC monoclonal antibodies along with designation of respective heavy and light chain variable family to which they belong.

Based on the determined amino acid sequences of the CDRs of the heavy and light chains of the anti-trkC agonist monoclonal antibodies, it is possible to provide a general formula for several of these regions. For the murine antibodies, the heavy chain CDR1 may be represented by the formula XaaWXaaXaaWVK (SEQ ID NO:37), wherein Xaa at position 1 is F or Y, Xaa at position 3 is I or M and Xaa at position 4 is E or H. The murine heavy chain CDR2 may be represented by the formula EIXaaPXaaXaaXaaXaaTNYNEKFKXaa (SEQ ID NO:38), wherein Xaa at position 3 is L or Y, Xaa at position 5 is G or S, Xaa at position 6 is S or N, Xaa at position 7 is D or G, Xaa at position 8 is N or R and Xaa at position 17 is G or S. The murine heavy chain CDR3 may be represented by the formula KNRNYYGNYV (SEQ ID NO:12) or KYYYGNSYRSWYFDV (SEQ ID NO:13). For the human antibodies, the heavy chain CDR1 may be represented by the formula XaaXaaXaaYYWXaa (SEQ ID NO:39), wherein Xaa at position 1 is S or I, Xaa at position 2 is G or S and Xaa at position 3 is G, T or Y and Xaa at position 7 is S or N. The human heavy chain CDR2 may be represented by the formula XaaIXaaXaaSGSXaaTXaaNPSLKS (SEQ ID NO:40), wherein Xaa at position 1 is Y or R, Xaa at position 3 is Y or F, Xaa at position 4 is Y or T, Xaa at position 8 is S or R and Xaa at position 10 is N or Y. The human heavy chain CDR3 may be represented by DRDYDSTGDYYSYGM (SEQ ID NO:14), DGGYSNPF (SEQ ID NO:15) or the formula ERIAAGXaaDYNYGLXaaV (SEQ ID NO:41) wherein Xaa at position 8 is A or T and Xaa at position 16 is D or A.

The deduced amino acid sequence of heavy and light chain variable regions was confirmed by determination of N-terminal peptide sequence of these antibodies. Electroblothing onto Millipore Immobilon-PSQ membranes was carried out for 1 hr at 250 mA constant current in a BioRad Trans-Blot transfer cell (Matsudaira, *J. Biol. Chem.* 262: 10035-10038 [1987]). The PVDF membrane was stained with 0.1% Coomassie Blue-R-250 in 50% methanol, 0.5 min. and destained for 2-3 min. with 10% acetic acid in 50% methanol. The membrane was thoroughly washed with water and allowed to dry before storage at 20°C. Automated protein sequencing was performed on model 494A Perkin-Elmer sequencer (Perkin-Elmer Corporation, Foster City, CA) equipped with on-line PTH analyzer. Protein electroblotted onto PVDF membrane were sequenced in 6 mm micro glass cartridge. Peaks were integrated with Justice Innovation software using Nelson Analytical 760 interfaces. Sequence interpretation was performed on a DEC Alpha (Henzel *et*



*al., J. Chromatography* 404: 41-52 [1987]). Table 6 summarizes the classification of human and murine anti-trkC agonist monoclonal antibodies based on their N-terminal sequences.

5

Table 6

Human anti-trkC agonist mAbs	Heavy chain	Light chain
6.1.2	Subgroup II	Kappa I
6.4.1	Subgroup II	Kappa I
2345	Subgroup II	Kappa III
2349	Subgroup II	Kappa III
2.5.1	Subgroup II	Kappa I
2344	Subgroup II	Kappa I
<b>Murine anti-trkC agonist mAbs</b>		
2248	Subgroup IIA	Kappa I
2250	Subgroup IIA	Kappa I
2253	Subgroup IIA	Kappa IV
2256	Subgroup IIA	Kappa III

**Example 2**      Effect of agonist anti-trkC monoclonal antibodies on neuropathies in experimental animal model

10      The principal use of NT-3 agonists is in the treatment and/or prevention of peripheral neuropathies. It is known that large fiber myelinated sensory neurons, which are involved in mediating proprioception and vibration sense, express trkC that acts as a high affinity receptor for NT-3. Neuropathies involving these large fibers are common in diabetes and are also induced in response to certain chemotherapeutic agents particularly cisplatin and pyridoxine. NT-3 has shown efficacy in animal models of experimental diabetic neuropathy and cisplatin induced neuropathy.

15      However, the use of NT-3 is severely hampered by its poor bioavailability as shown in a rodent model. The use of anti-trkC monoclonal antibodies as agonist of NT-3 offers numerous advantages and obviates a number of potential problems associated with the use of NT-3.

    The *in vivo* half-life of agonist anti-trk monoclonal antibodies was determined by injecting either intravenously or subcutaneously in experimental animals. Shown on Figure 12 are serum levels of monoclonal antibody

20      2256 at various times after intravenous (IV) injection of 1 mg/kg or subcutaneous (subQ) injection of 5 mg/kg in rats. The serum levels were determined by using the KIRA assay to measure the amount of fully functional antibody 2256 by its ability to increase tyrosine autophosphorylation of trkC. These data indicate that monoclonal antibody 2256 in the rat has a half-life of 9 days and a bioavailability of 69% after subcutaneous administration. These values are consistent with those obtained with other antibodies, and are distinctly different from those obtained with NT3. Also

25      shown in Figure 12 is data obtained after injection of NT-3 at the same doses and routes as shown for Mab 2256 (1

mg/kg, IV; 5 mg/kg subQ). These data indicate a serum half-life on the order of 4-5 minutes for NT-3, and a subcutaneous bioavailability of 7%. These data indicate that the antibodies are a significant improvement over NT-3 in terms of the very important properties of bioavailability and *in vivo* serum half-life.

It has been shown in two animal models of large fiber sensory neuropathy that NT-3 can protect or reverse the effects of chemical insult. Very high doses of NT3 have been shown to protect large fiber sensory neurons from the toxic effects of high doses of pyridoxine, and more moderate doses of NT3 have been shown to reverse the effects of cisplatin administration. Since there might be many differences in the tissue distribution of NT-3 and the agonist Mabs described here, it is important to determine whether the *in vitro* activity of the Mabs translates into efficacy in animal models.

In order to create an animal model of cisplatin induced neuropathy, adult rats were dosed with cisplatin twice a week for sixteen weeks with 1 mg/kg intraperitoneally (IP). At this point, rats were split into four groups. All four groups continued receiving cisplatin twice weekly. In addition to the continued cisplatin, one group received NT-3 at a dose of 1mg/kg, three times per week, one group received Mab 2256 at a dose of 1 mg/kg once a week, one group received Mab 6.4.1 at a dose of 1 mg/kg once a week, and one group received saline three times a week. The NT-3 doses were given subcutaneously, while the Mabs and saline were administered IV. This treatment regime was continued for an additional four weeks, for a total of twenty weeks of cisplatin administration.

The function of large fiber sensory neurons was assessed in these animals electrophysiologically, by use of H-wave recording (Gao *et al.*, *Ann. Neurol.* 38(1):30-7 [1995]). As can be seen from the data shown in Figure 13, the sensory conduction velocity was very low in the animals treated with cisplatin with saline alone. NT-3 treatment three times a week caused an improvement of this lowered conduction velocity, as did treatment with either Mab 2256 or Mab 6.4.1 once a week. The magnitude of the improvement seen with the monoclonal antibodies used once a week was at least as great as that seen with three times a week treatment with NT-3.

Pyridoxine is also known to induce a sensory neuropathy that primarily damages the large myelinated subpopulation of sensory neurons (Helgren *et al.*, *J. Neurosci.* 17(1):372-82 [1997]). High doses of NT3 have been shown to block the development of this neuropathy (Helgren *et al.*, *supra*). Treatment of animals with two different doses of pyridoxine (either 400 mg/kg or 600 mg/kg daily, IP) for two weeks causes damage to the large neurons of the DRG. This damage can be detected by a decrease in the expression of several proteins known to be expressed either preferentially or exclusively by large neurons in the DRG. The expression level of these markers was assessed by measuring the level of the mRNA encoding them by use of the TAQMAN RT-PCR technique.

#### *Taqman RT-PCR for trkC agonist effects:*

##### A. Probes and Primers

###### NFL

F-CAGCAGAACAAGGTCCTGGAA 21MER (SEQ ID NO: 72)

R-AGCGGGAAGGCTCTGAGTG 19MER (SEQ ID NO: 73)

P-AGCTGTTGGTGCTGCCAGAA 22MER (SEQ ID NO: 74)

5	NSE	F-TCCATTGAAGACCCATTGAC	21MER	(SEQ ID NO: 75)
		R-GCCGACATTGGCTGTGAAC	19MER	(SEQ ID NO: 76)
		P-AGGATGACTGGGCAGCTTGGTCCA	24MER	(SEQ ID NO: 77)
10	TRKC	F-CAGCCCACTGCACCATATCA	20MER	(SEQ ID NO: 78)
		R-CTGTATCCGGCCCAGCAT	18MER	(SEQ ID NO: 79)
		P-CCATGGCATCACTACACCTTCATCGCT	27MER	(SEQ ID NO: 80)
15	CALRET	F-TGGGAAAATTGAGATGGCAGA	21MER	(SEQ ID NO: 81)
		R-GCTGCCTGAAGCACAAAAGG	20MER	(SEQ ID NO: 82)
		P-CGCAGATCCTGCCAACC GAAGAGA	24MER	(SEQ ID NO: 83)
20	PARVALB.	F-GACACCACTCTTCTGGAAAATGC	23MER	(SEQ ID NO: 84)
		R-TTGCCAAACCAACACCTACCA	21MER	(SEQ ID NO: 85)
		P-ATCGGACACCACCTGTAGGGAGGACC	26MER	(SEQ ID NO: 86)
25	GAPDH	F-CAGTGGCAAAGTGGAGATTGT	21MER	(SEQ ID NO: 87)
		R-AATTGCGGTGAGTGGAGTC	20MER	(SEQ ID NO: 88)
		P-CCATCAACGACCCCTTCATTGACCTC	26MER	(SEQ ID NO: 89)

Probes and primers were designed using Primer Express, (ABI-Perkin-Elmer). Guidelines for primer probe selection are included in Williams and Tucker (1999) PCR applications, pp. 365-75 (Academic Press).

### 30 B. Total RNA Preparation and Quantification

L4 and L5 were dissected from phosphate buffered saline perfused rats. Left and right sides were isolated in separate tubes. For total RNA used in standard curves, all DRG were dissected from control rats. Total RNA was isolated using the Qiagen Rneasy mini columns. Tissue was homogenized as per manufacturers instructions. Total RNA was quantified utilizing the Ribogreen Quantitaion Kit (Molecular Probes) and following the manufacturers instructions.

35

### C. RT-PCR

Twenty five nanograms of total RNA was used per 50ul reaction, except in standard curve reactions where 500, 250, 25 or 2.5 nanograms per reaction was used. Each reaction contained 25 pmol of each oligonucleotide primer, 0.2 mM of each dNTP, 100 nM flourescently labelled oligonucleotide probe, 1X RT-PCR buffer (PE biosystems), 2.0mM MgCl<sub>2</sub>, 20 U RNase inhibitor, 12.5 MuLV reverse transcriptase (RT, PE biosystems) and 2.5U Amplitaq Gold polymerase (PE biosystems). Reverse transcription was performed for 30 min at 48 degrees C followed by 95 degrees C for 10 min for Amplitaq Gold activation and RT inactivation, then PCR; 40 cycles of 95 degrees C for 15 sec and 60 degrees C for one and a half minutes.

#### D. Gene Expression Quantitation

Control RNA was used to generate standard curves for a housekeeping gene and the genes of interest with each tagman run. A standard curve was obtained by plotting the threshold cycle (Ct) value obtained from the Tagman run versus the log of the quantity of control total RNA added. The resultant linear equation was solved for the log RNA value. Plugging in the experimental Ct value produced the log of the experimental gene expression value. Ten raised to the power of this value gives the experimental gene expression in nanograms.

As can be seen from Figure 14, pyridoxine treatment for two weeks resulted in a dose dependent decrease in neurofilament light chain (NFL), neuron specific enolase (NSE), trkC, and calretinin expression. Both the dose dependency and magnitude of these decreases varies from marker to marker, indicating a differential sensitivity of these proteins as markers of the neuronal damage.

In Figure 15 the results of treating animals with two doses of Mab 2256 along with the low dose (400 mg/kg daily) of pyridoxine are shown. NFL and NSE show a significant decrease in expression at this level of pyridoxine treatment. Cotreatment of animals with 5 mg/kg of Mab 2256 (subQ weekly) completely blocked this decrease in expression. A Mab 2256 dose of 1 mg/kg had no appreciable effect on the expression of these proteins. Neither trkC nor calretinin expression is significantly affected by this low dose pyridoxine treatment, but treatment with 5 mg/kg Mab 2256 actually increases trkC expression over control level.

When animals are treated with the higher pyridoxine dose of 600mg/kg daily, the expression of NFL, NSE and calretinin falls to very low levels, while trkC expression falls to about 50% of control values (Figure 16). Cotreatment with Mab 2256 at either 1 mg/kg or 5mg/kg significantly but not completely blocks the decrease in expression seen in trkC and calretinin. There is a slight trend towards protection seen with NFL and NSE expression in animals treated with Mab 2256, but it did not attain statistical significance. Thus, using multiple biochemical markers of damage to large sensory neurons, Mab 2256 is seen to be capable of ameliorating the toxicity of pyridoxine treatment.

In order to examine the electrophysiological and behavioral effects of pyridoxine neuropathy, rats were treated with twice daily injections of 400mg/kg pyridoxine for 8 days. The function of their large diameter sensory afferents were tested electrophysiologically by recording the M-wave (direct motor) and H-wave (reflex sensory) response in the muscles of the foot after stimulation of the sciatic nerve at the thigh and the calf (Gao *et al.*, Ann. Neurol. 38(1):30-7 [1995]). Treatment with pyridoxine for 8 days resulted in a large decrease in the amplitude of the sensory response compared to the motor response as seen in Figure 18. Cotreatment with Mab 2256 significantly blocked the pyridoxine-induced decrease in the sensory amplitude. This is similar to effects published using very high doses (20mg/kg daily) of NT3 (Helgren *et al.*, *supra*).

Animals treated with this regime of pyridoxine were also behaviorally tested for their proprioceptive function. They were trained to walk across a horizontal ladder in order to escape a bright light and white noise stimulus into a dark box. The animals were videotaped from below, and the quality of the placement of their hindpaws on the rungs of the ladder was read by an observer blind to their treatment. Each paw placement was scored as a good placement

(paw lands on forward part of metatarsals, immediately behind toes, with toes wrapping the rung immediately), solid landing (paw hits other than immediately behind toes, but solidly on rung, toes often not wrapping), near footfault (paw barely hits rung, either on the extreme forward part of toes or rearward aspect of heel, but does support weight) or footfault (paw either misses rung entirely or poor enough placement that foot does not support weight and falls through ladder upon weight bearing). Normal rats very quickly learn to place their hindpaws correctly, which requires an excellent proprioceptive sense of where the hindpaw is in space. After treatment with pyridoxine (400mg/kg twice daily for 8 days), the performance on this task had declined, with an almost thirty percent decline in good placements and an increase in both footfaults and near footfaults (Figure 18). Cotreatment of the animals with Mab 2256 during this time, allowed the animals to maintain a much higher degree of performance, with a smaller decline in good placements and smaller increases in footfaults and near footfaults.

In summary, cotreatment with Mab 2256 ameliorates the toxic effects of pyridoxine as measured biochemically, electrophysiologically, and by performance on a behavioral task.

After establishing that the trkC Mabs were therapeutically at least as effective as NT-3, the observed adverse event of hyperalgesia was examined. This side effect of NT-3 administration has been seen in rodents (see Figure 19) and in humans (Chaudhary *et al.*, Muscle and Nerve 23:189-192 [2000]). Rats were trained and tested for thermal sensitivity of the hind paws using a Hargreaves device and then administered 1mg/kg of Mab 2256 IV, or 1mg/kg NT-3 subcutaneously in the scruff. At two, four, and six hours after administration, the rats were again tested for their thermal withdrawal times. As can be seen from Figure 19, NT-3 administration caused a significant heat hyperalgesia at four and six hours post dosing, while the trkC Mab 2256 was without any effect on thermal pain sensation. So, at doses known to be effective in reversing or preventing neuropathyy, NT-3 does cause an increase in sensitivity to pain, while the Mab 2256 does not.

Cisplatin, a widely used chemotherapeutic agent, induces a sensory neuropathy with selective loss of vibration sense and proprioception. Here we demonstrate that neurotrophin-3 (NT-3), a member of the nerve growth factor family of neurotrophic factors, restored to normal levels the reduced H-reflex-related sensory nerve conduction velocity induced by cisplatin in rats. NT-3 treatment corrected an abnormal cytoplasmic distribution of neurofilament protein in large sensory neurons in dorsal root ganglia and the reduction in the numbers of myelinated fibers in sural nerves caused by cisplatin. The NT-3-dependent reversal of cisplatin neurotoxicity thus suggests the possible use of NT-3 in the treatment of peripheral sensory neuropathy.

Chronic treatment of adult rats for 2-3 weeks with high doses of pyridoxine (Vitamin B6) produced a profound proprioceptive loss, similar to that found in humans overdosed with this vitamin or treated with the chemotherapeutic agent cisplatin. Pyridoxine toxicity was manifest as deficits in simple and precise locomotion and sensory nerve function and as degeneration of large-diameter/large-fiber spinal sensory neurons. As assessed quantitatively in a beam-walking task and by EMG recording of H waves evoked by peripheral nerve stimulation, coadministration of the neurotrophic factor neurotrophin-3 (NT-3; 5-20 mg/kg/day, s.c.) during chronic pyridoxine treatment largely attenuated the behavioral and electrophysiological sequelae associated with pyridoxine toxicity.

Furthermore, NT-3 administration prevented degeneration of sensory fibers in the dorsal column of the spinal cord. These data are consistent with the evidence that NT-3 is a target-derived neurotrophic factor for muscle sensory afferents and suggest that pharmacological doses of NT-3 may be beneficial in the treatment of large-fiber sensory neuropathies.

5

#### Deposit of Biological Material

The following hybridoma cell lines and plasmids have been deposited with the American Type Culture Collection, 10801 University Boulevard, Manassas, VA 20110-2209, USA (ATCC) on June 21, 2000:

	Hybridoma/Plasmid Designation	ATCC No.
10	2.5.1	PTA-2151
	6.1.2	PTA-2148
	6.4.1	PTA-2150
	2344	PTA-2144
	2345	PTA-2146
15	2349	PTA-2153
	2248	PTA-2147
	2250	PTA-2149
	2253	PTA-2145
	2256	PTA-2152
20	DNA pXCA-2250HL	PTA-2136
	DNA pXCA-2253HL	PTA-2137
	DNA pXCA-2256HL	PTA-2138
	DNA pXCA-6.1.2H	PTA-2141
	DNA pXCA-6.4.1H	PTA-2143
25	DNA pXCA-2345H	PTA-2142
	DNA pXCA-2349H	PTA-2133
	DNA vegf4chim-6.1.2L	PTA-2134
	DNA vegf4chim-6.4.1L	PTA-2135
	DNA vegf4chim-2345L	PTA-2139
30	DNA vegf4chim-2349L	PTA-2140

This deposit was made under the provisions of the Budapest Treaty on the International Recognition of the Deposit of Microorganisms for the Purpose of Patent Procedure and the Regulations thereunder (Budapest Treaty). This assures maintenance of viable cultures for 30 years from the date of the deposit. The organisms will be made available by ATCC under the terms of the Budapest Treaty, and subject to an agreement between Genentech, Inc. and

ATCC, which assures permanent and unrestricted availability of the progeny of the cultures to the public upon issuance of the pertinent U.S. patent or upon laying open to the public of any U.S. or foreign patent application, whichever comes first, and assures availability of the progeny to one determined by the U.S. Commissioner of Patents and Trademarks to be entitled thereto according to 35 USC §122 and the Commissioner's rules pursuant thereto (including 37 CFR §1.12 with particular reference to 886 OG 638).

In respect of those designations in which a European patent is sought, a sample of the deposited microorganism will be made available until the publication of the mention of the grant of the European patent or until the date on which the application has been refused or withdrawn or is deemed to be withdrawn, only by the issue of such a sample to an expert nominated by the person requesting the sample. (Rule 28(4) EPC)

The assignee of the present application has agreed that if the cultures on deposit should die or be lost or destroyed when cultivated under suitable conditions, they will be promptly replaced on notification with a viable specimen of the same culture. Availability of the deposited strain is not to be construed as a license to practice the invention in contravention of the rights granted under the authority of any government in accordance with its patent laws.

The foregoing written specification is considered to be sufficient to enable one skilled in the art to practice the invention. The present invention is not to be limited in scope by the constructs deposited, since the deposited embodiments are intended to illustrate only certain aspects of the invention and any constructs that are functionally equivalent are within the scope of this invention. The deposit of material herein does not constitute an admission that the written description herein contained is inadequate to enable the practice of any aspect of the invention, including the best mode thereof, nor is it to be construed as limiting the scope of the claims to the specific illustrations that they represent. Indeed, various modifications of the invention in addition to those shown and described herein will become apparent to those skilled in the art from the foregoing description and fall within the scope of the appended claims.

It is understood that the application of the teachings of the present invention to a specific problem or situation will be within the capabilities of one having ordinary skill in the art in light of the teachings contained herein. Examples of the products of the present invention and representative processes for their isolation, use, and manufacture appear below, but should not be construed to limit the invention.

All references cited throughout the specification and the references cited therein are hereby expressly incorporated by reference.

WHAT IS CLAIMED IS:

1. An agonist anti-trkC monoclonal antibody which
  - (a) shows no significant cross-reactivity with trkA or trkB; and
  - (b) recognizes an epitope in domain 5 of trkC.
2. The antibody of claim 1 which further recognizes an epitope in domain 4 of trkC.
3. The antibody of claim 1 which binds both human and rat trkC.
4. The antibody of claim 1 which is a human antibody.
5. The antibody of claim 1 which is a murine antibody.
6. The antibody of claim 5 which is humanized.
7. The antibody of claim 1 effective in the treatment of cisplatin- or pyridoxine-induced neuropathy.
8. The antibody of claim 1 effective in the treatment of diabetic neuropathy.
9. The antibody of claim 1 which does not cause hyperalgesia when administered to a patient.
10. The antibody of claim 1 which has increased bioavailability as compared to NT-3.
11. The antibody of claim 1 which has a higher specific activity than NT-3.
12. An anti-trkC antibody heavy chain comprising the following CDR's: a CDR1 selected from the group consisting of SEQ ID NOs: 1, 2, 3, 4 and 5; a CDR2 selected from the group consisting of SEQ ID NOs: 6, 7, 8, 9, 10 and 11; and a CDR3 selected from the group consisting of SEQ ID NOs: 12, 13, 14, 15, 16 and 17.
13. An anti-trkC antibody light chain comprising the following CDR's: a CDR1 selected from the group consisting of SEQ ID NOs: 18, 19, 20, 21, 22, 23 and 24; a CDR2 selected from the group consisting of SEQ ID NOs: 25, 26, 27, 28, 29 and 30; and a CDR3 selected from the group consisting of SEQ ID NOs: 31, 32, 33, 34, 35 and 36.
14. A murine anti-trkC antibody heavy chain comprising the following CDR's:
  - (a) a CDR1 of the formula XaaWXaaXaaWVK (SEQ ID NO: 37), wherein Xaa at position 1 is F or Y; Xaa at position 3 is I or M; and Xaa at position 4 is E or H;
  - (b) a CDR2 of the formula EIXaaPXaaXaaXaaXaaTNYNEKFKXaa (SEQ ID NO: 38), wherein Xaa at position 3 is L or Y; Xaa at position 5 is G or S; xaa at position 6 is S or N; Xaa at position 7 is D or G; Xaa at position 8 is N or R and Xaa at position 17 is G or S; and
  - (c) a CDR3 of the formula KNRNYYGNYYV (SEQ ID NO: 12) or KYYYGNYSYRSWYFDV (SEQ ID NO: 13).
15. A human anti-trkC antibody heavy chain comprising the following CDR's:
  - (a) a CDR1 of the formula XaaXaaXaaYYWXaa (SEQ ID NO: 39), wherein Xaa at position 1 is S or I; Xaa at position 2 is G or S; Xaa at position 3 is G, T or Y, and Xaa at position 7 is S or N;



(b) a CDR2 of the formula Xaa1XaaXaaSGSXaaTXaaNPSLKS (SEQ ID NO: 40), wherein Xaa at position 1 is Y or R; Xaa at position 3 is Y or F; Xaa at position 4 is Y or T; Xaa at position 8 is S or R; and Xaa at position 10 is N or Y; and

(c) a CDR3 of the formula selected from the group consisting of DRDYDSTGDYYSYGM DV (SEQ ID NO: 14); DGGYSNPF D (SEQ ID NO: 15); ERIAAAGXaaDYYYNGLXaaV (SEQ ID NO: 41), wherein Xaa at position 8 is A or T and Xaa at position 16 is D or A.

16. An anti-trkC agonist monoclonal antibody comprising a heavy chain comprising the CDR's of the murine anti-trkC antibody heavy chain of claim 14 associated with a light chain.

17. The antibody of claim 16 comprising human framework residues.

18. The antibody of claim 17 which shows no significant cross-reactivity with trkA or trkB.

19. The antibody of claim 17 having a homo-tetrameric structure composed of two disulfide-bonded antibody heavy chain-light chain pairs.

20. The antibody of claim 17 which is an antibody fragment selected from the group consisting of Fv, Fab, Fab' and F(ab')<sub>2</sub> fragments.

21. An anti-trkC agonist monoclonal antibody comprising a human anti-trkC antibody heavy chain of claim 15 associated with a human antibody light chain.

22. The antibody of claim 21 which shows no significant cross-reactivity with trkA or trkB.

23. The antibody of claim 22 having a homo-tetrameric structure composed of two disulfide-bonded antibody heavy chain-light chain pairs.

24. The antibody of claim 22 which is an antibody fragment selected from the group consisting of Fv, Fab, Fab' and F(ab')<sub>2</sub> fragments.

25. The antibody of claim 22 which is an IgG.

26. The antibody of claim 25 which is an IgG-2 or IgG-4.

27. A murine anti-trkC agonist antibody selected from the group consisting of antibodies 2248, 2250, 2253 and 2256.

28. A human anti-trkC agonist antibody selected from the group consisting of antibodies 6.1.2, 6.4.1, 2345, 2349, 2.5.1 and 2344.

29. The antibody of claim 28 selected from the group consisting of antibodies 6.1.2, 6.4.1, 2345 and 2349.

30. An isolated nucleic acid molecule encoding a murine anti-trkC agonist antibody of claim 27.

31. An isolated nucleic acid molecule encoding a human anti-trkC agonist antibody of claim 28.

32. A nucleic acid molecule deposited with ATCC on June 21, 2000 under an accession number selected from the group consisting of PTA-2136, PTA-2137 and PTA-2138.

33. A nucleic acid molecule deposited with ATCC on June 21, 2000 under an accession number selected from the group consisting of PTA-2133, PTA-2134, PTA-2135, PTA-2139, PTA-2140, PTA-2141, PTA-2142 and PTA-2143.

34. A vector comprising a nucleic acid molecule of any one of claims 30-33.

35. A host cell line transformed with a nucleic acid molecule of any one of claims 30-33.

36. A hybridoma cell line transformed with a nucleic acid molecule of any one of claims 30-33.

37. An antibody produced by a hybridoma cell line of claim 36.

38. An isolated nucleic acid molecule encoding a heavy chain of an anti-trkC agonist monoclonal antibody, said nucleic acid molecule selected from the group consisting of SEQ ID NO: 58, SEQ ID NO: 60, SEQ ID NO: 62, SEQ ID NO: 64, SEQ ID NO: 66, SEQ ID NO: 68 and SEQ ID NO: 70.

39. An isolated nucleic acid molecule encoding a light chain of an anti-trkC agonist monoclonal antibody, said nucleic acid molecule selected from the group consisting of SEQ ID NO: 59, SEQ ID NO: 61, SEQ ID NO: 63, SEQ ID NO: 65, SEQ ID NO: 67, SEQ ID NO: 69 and SEQ ID NO: 71.

40. A vector comprising a nucleic acid molecule of claim 38 or claim 39.

41. A host cell transformed with a nucleic acid molecule of claim 38 or claim 39.

42. A host cell transformed with both a nucleic acid molecule of claim 38 and a nucleic acid molecule of claim 39.

43. A hybridoma cell line transformed with a nucleic acid molecule of claim 38 or claim 39.

44. A hybridoma cell line transformed with a nucleic acid molecule of claim 38 and a nucleic acid molecule of claim 39.

45. An antibody produced by a hybridoma cell line of claim 43.

46. An antibody produced by a hybridoma cell line of claim 44.

47. A polypeptide encoded by a nucleic acid molecule of claim 38 or claim 39.

48. A polypeptide comprising polypeptide chains encoded by a nucleic acid molecule of claim 38 and a nucleic acid molecule of claim 39.

49. A pharmaceutical composition comprising an effective amount of an agonist anti-trkC monoclonal antibody of any one of claims 1, 16, and 21, in admixture with a pharmaceutically acceptable carrier.

50. A method for treating a neuropathy or neurodegenerative disease, or repairing a damaged nerve cell comprising administering to a mammal an effective amount of an antibody of claim 1.

51. The method of claim 50 wherein said neuropathy is selected from the group consisting of a peripheral neuropathy, diabetic neuropathy, and a large-fiber sensory neuropathy.

52. The method of claim 50 wherein said neurodegenerative disease is amyotrophic lateral sclerosis (ALS).

53. The method of claim 50 wherein said nerve cell is a sensory neuron or motor neuron.

54. The method of claim 53 wherein said sensory neuron is from dorsal root ganglia.
55. The method of claim 53 wherein said motor neuron is from the spinal cord.
56. The method of claim 50 wherein said administration is intravenous or subcutaneous.
57. The method of claim 50 wherein said administration is topical.
58. A method for enhancing the proliferation, maintenance or regeneration of peripheral neurons comprising contacting said neurons with an effective amount of an antibody of claim 1, claim 16, or claim 21.
59. A method for the treatment of a disease or condition involving cell degeneration in a mammalian subject by introducing nucleic acid encoding an anti-trkC antibody of claim 1 into a cell of such subject.
60. The method of claim 59 wherein the disease or condition selected from the group consisting of a neuropathy, a neurodegenerative disease, and nerve cell damage.
61. The method of claim 59 wherein said cell is a nerve cell.
62. The method of claim 59 wherein said nucleic acid is introduced into said cell *ex vivo*.
63. The method of claim 59 wherein said nucleic acid is introduced into said cell *in vivo*.
64. A method of inducing angiogenesis comprising delivering an anti-trkC antibody of claim 1 in an amount effective to induce angiogenesis.
65. A method of making an agonist anti-trkC antibody comprising preparing an antibody specifically binding trkC at a site overlapping with the binding site of a native NT-3 ligand of said trkC.
66. The method of claim 65 wherein said antibody binds trkC at essentially the same site as a native NT-3 ligand of said trkC.
67. The method of claim 65 wherein said antibody binds to an epitope within domain 5 of trk C.
68. The method of claim 67 wherein said trkC is a native human trkC polypeptide.
69. The method of claim 68 wherein said epitope includes amino acid residues L284, E287, and N335 of said native human trkC.

Figure 1A

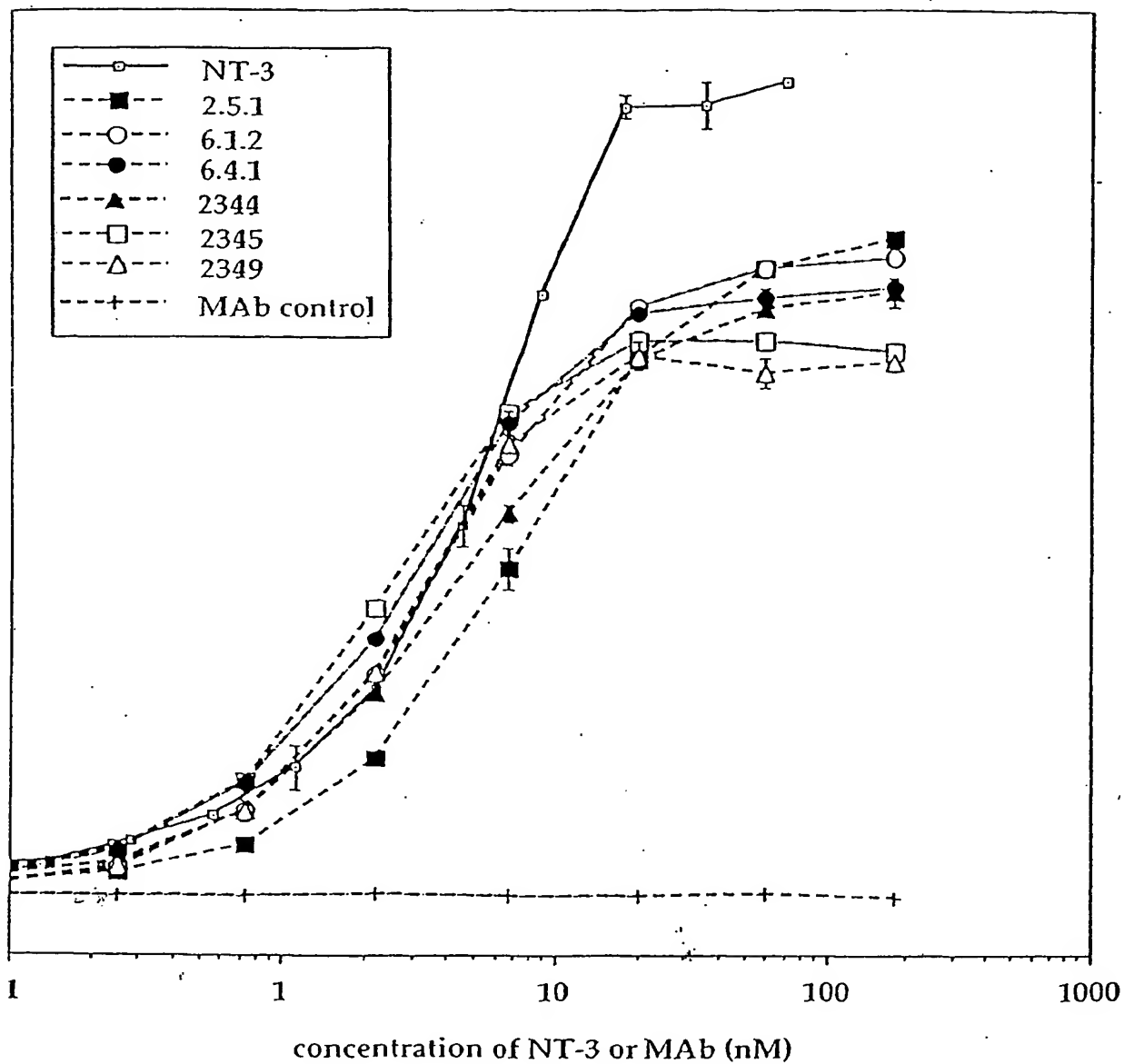


Figure 1B

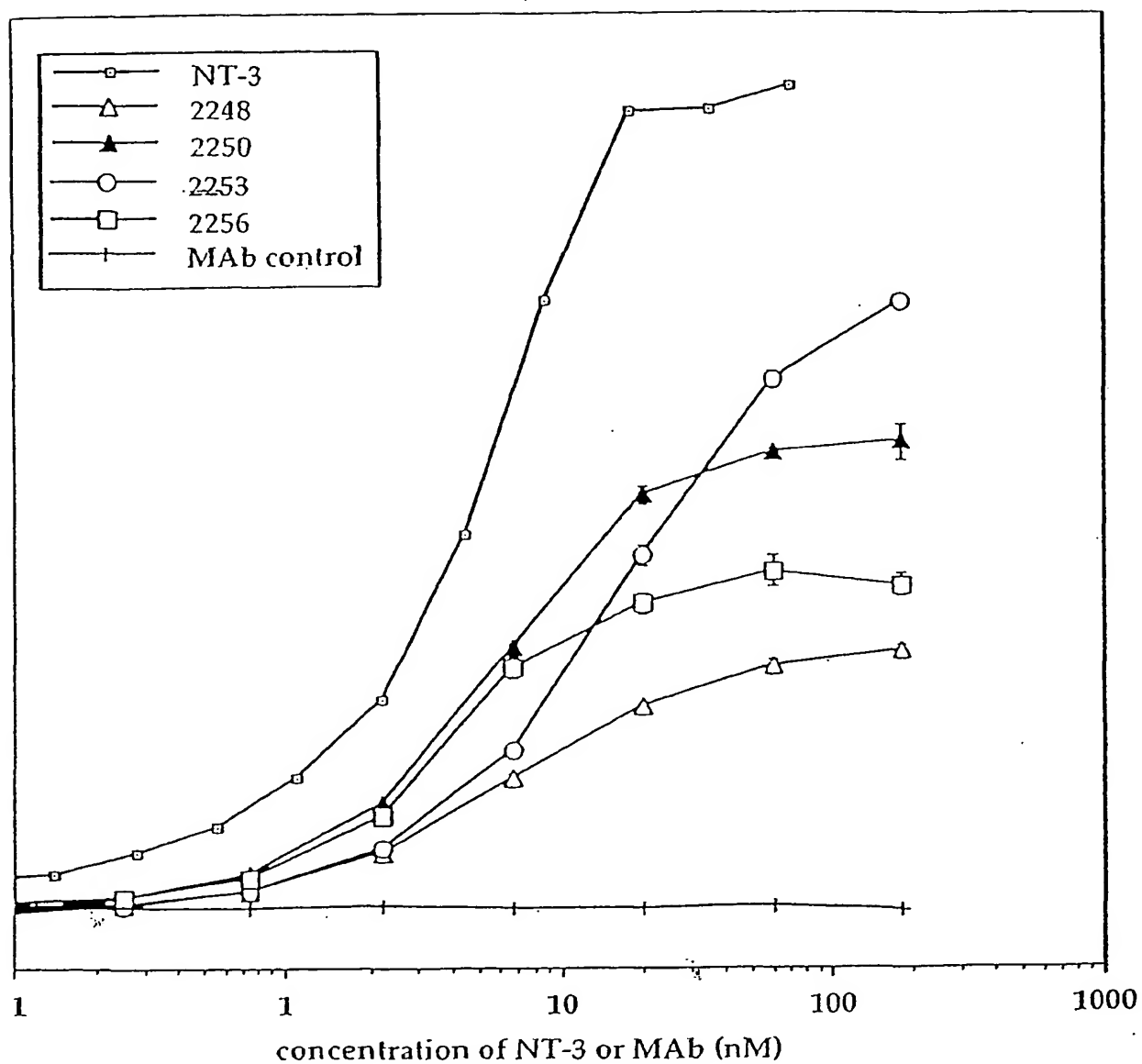


Figure 1C

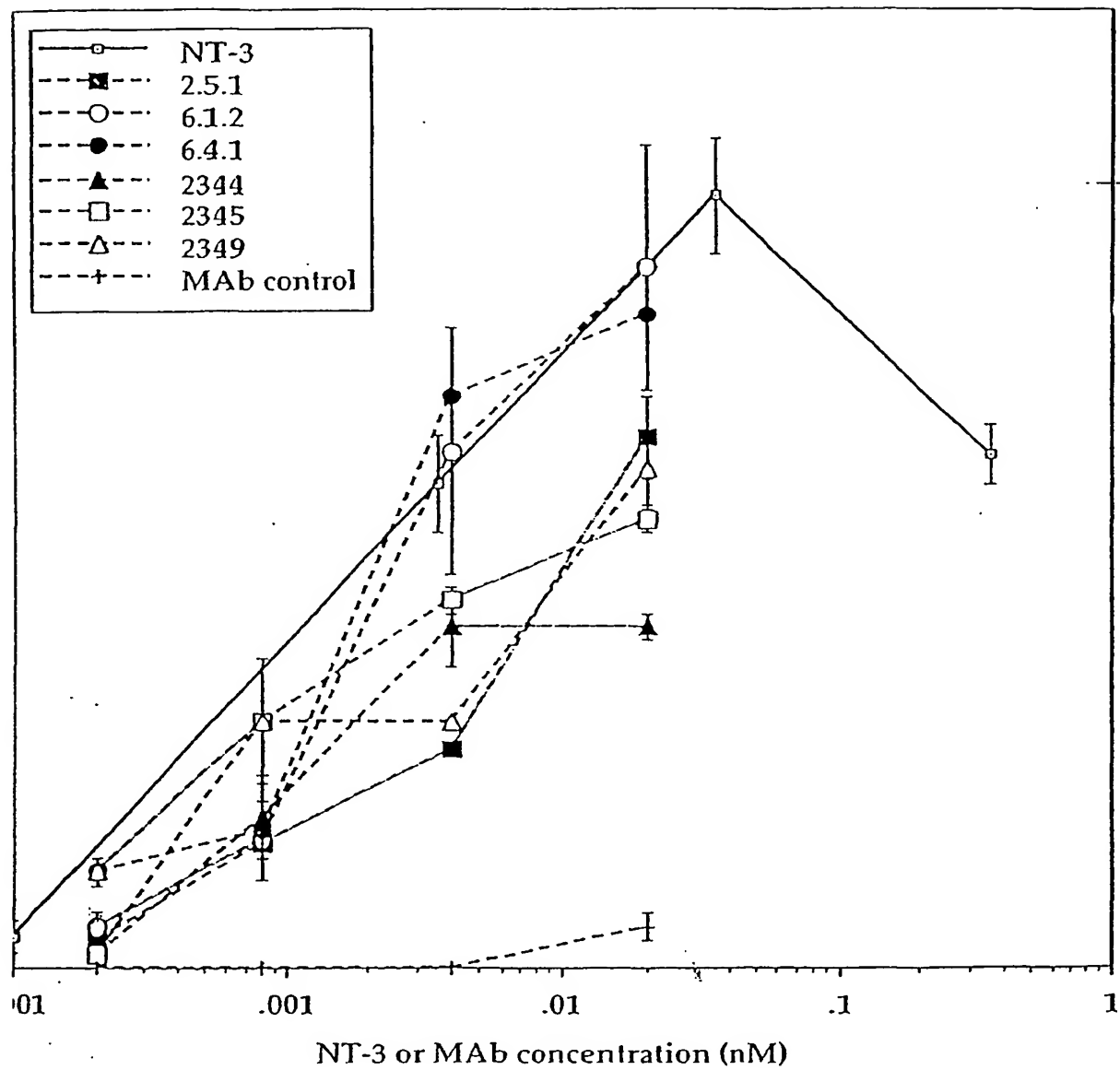


Figure 1D

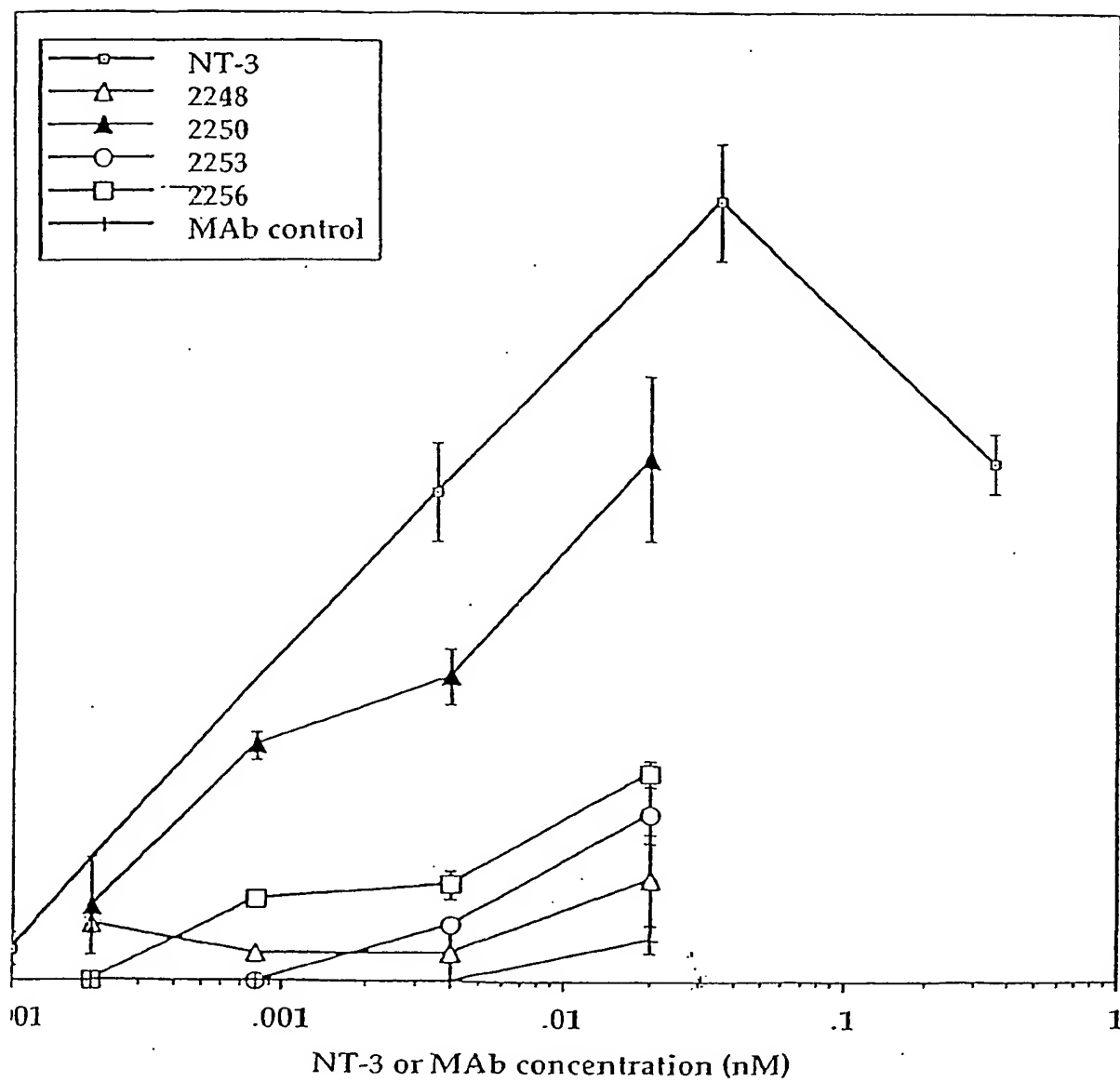
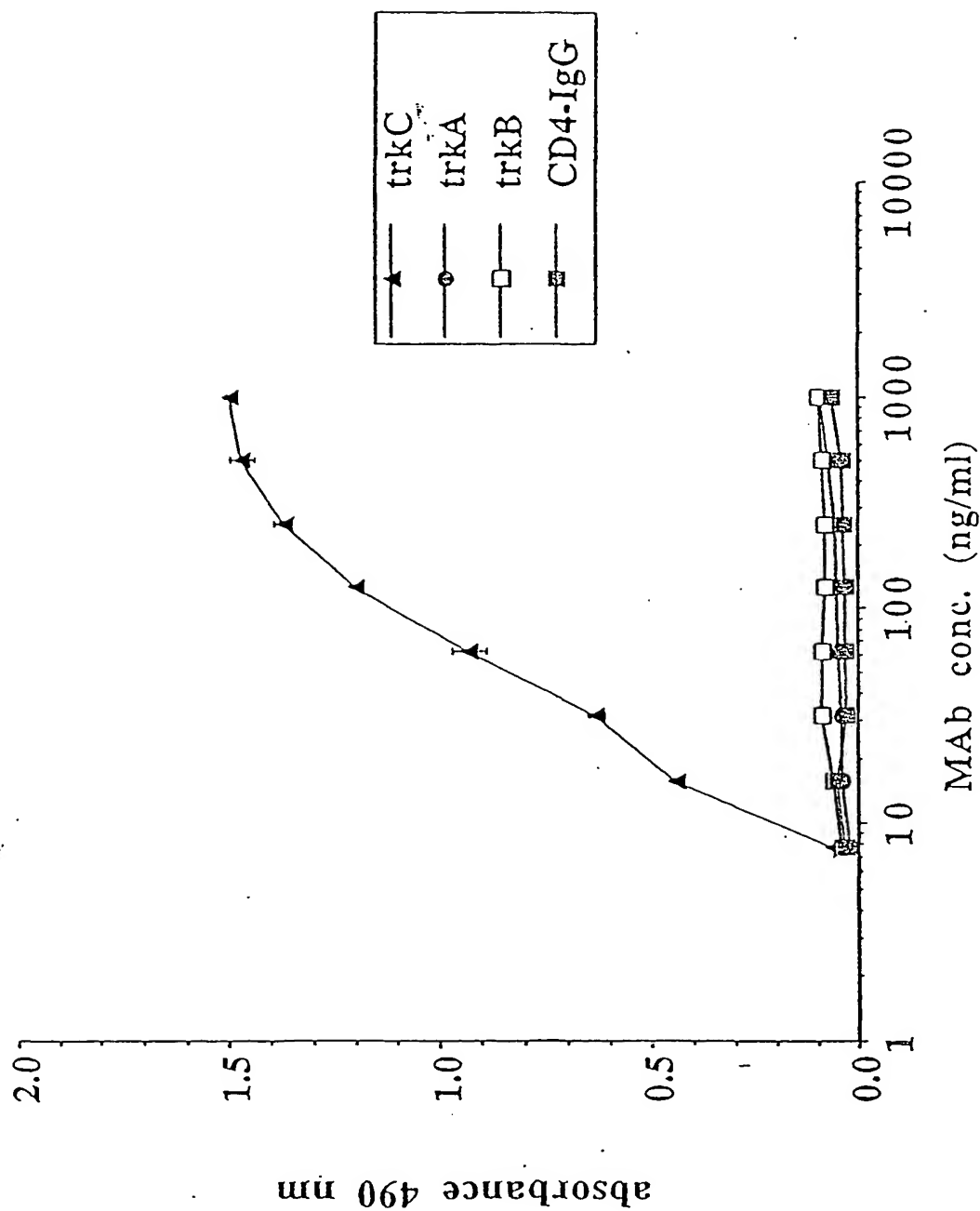


Fig. 2: The Agonist MABs Bind SPECIFICALLY to trkC  
(6.1.2 shown as a representative MAb)



Assay Format: trk-IgG or CD4-IgG + MAB + appropriate HRP conjugate



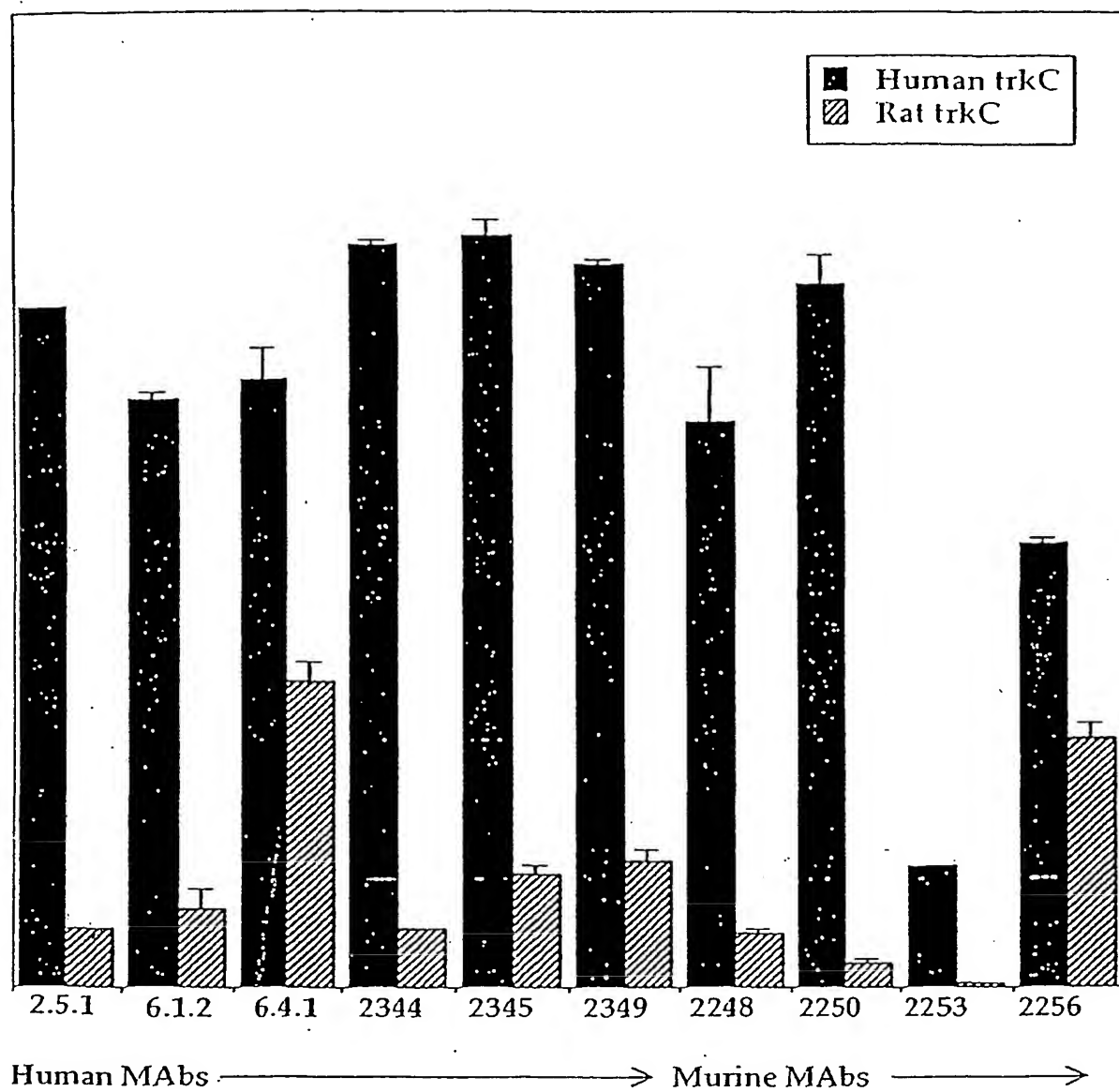
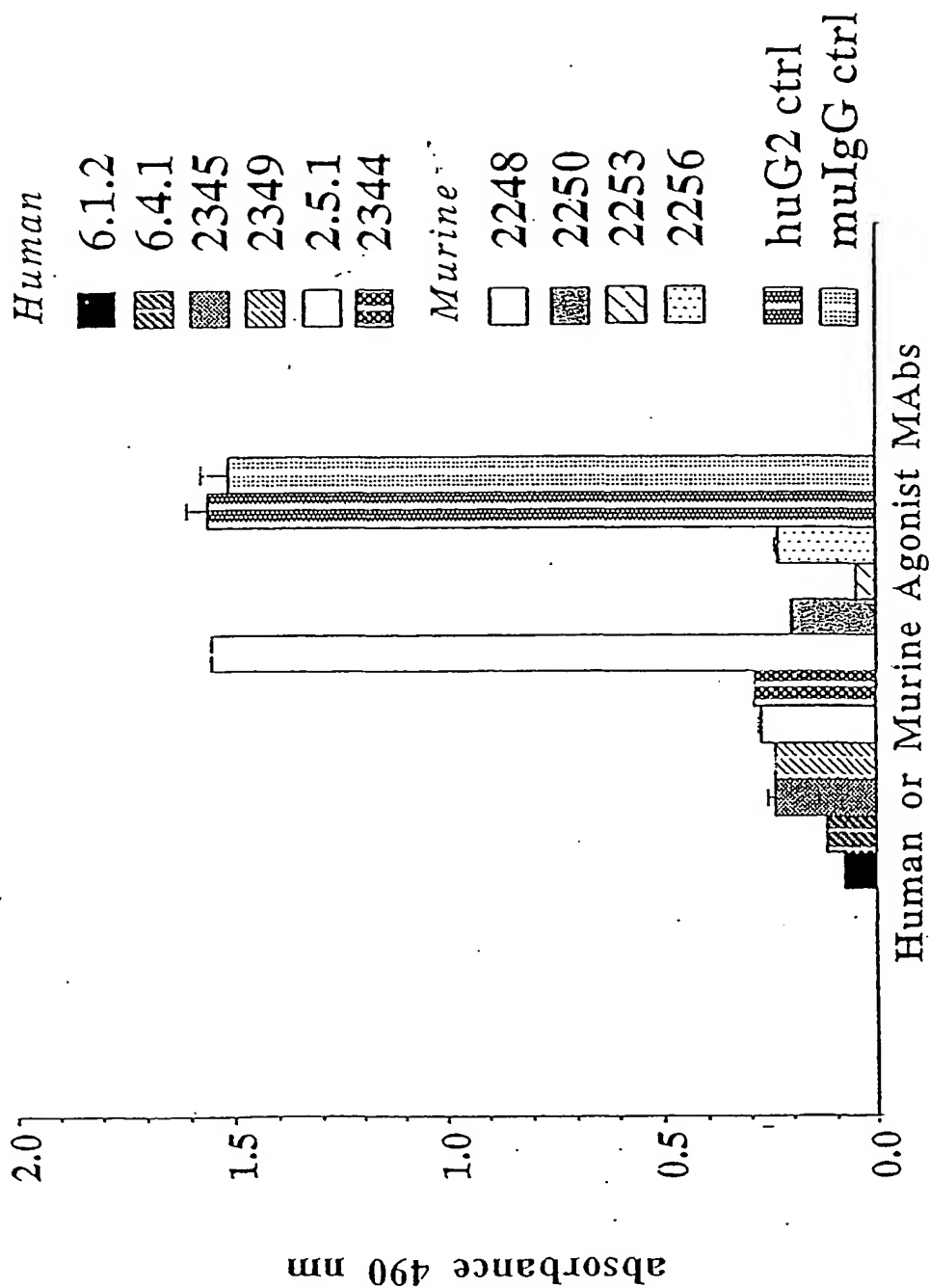


Fig. 3

# Crossblocking Analysis Reveals 2 Immunodominant Agonist Epitopes on trkC



Assay Format: trkC ECD + unlabelled MAb + biotinylated 6.1.2 + SA-HRP

Epitope Mapping Summary  
6/9/99

Point mutations suggest that the H2Bs is part of the epitope of Z350, Z355 and JZ350

Assay format: gp-urc + unlabeled mab + biotinylated mab + SA-HRP  
(1  $\mu$ g/ml) (50  $\mu$ g/ml) (1  $\mu$ g/ml) (1:1k)

PAGE 1

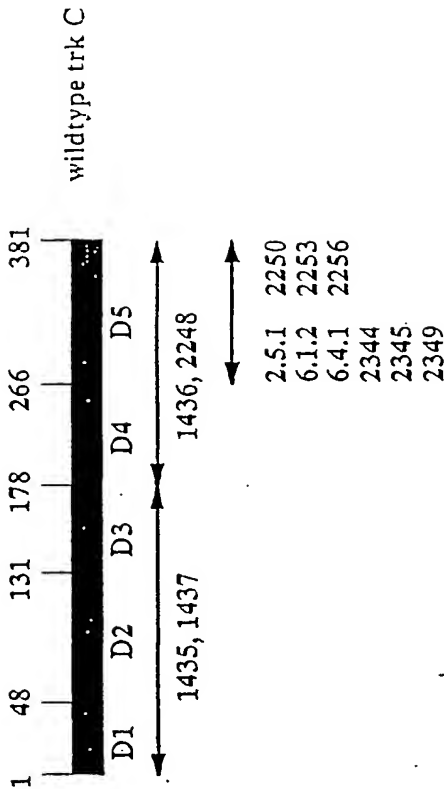
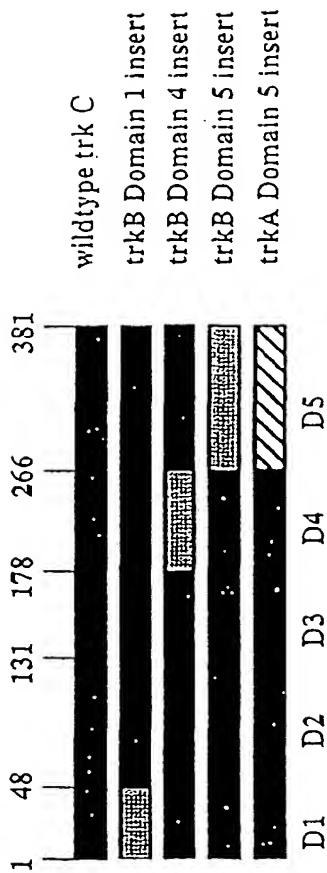
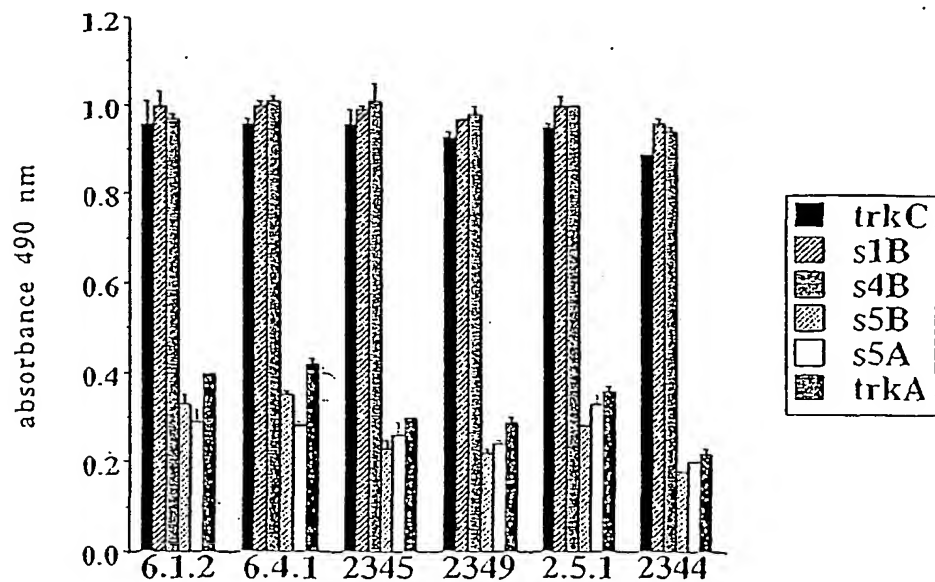


Fig. 6A

Fig. 6A: Gross epitope mapping using trkA and trkB domain-swap mutants. The domain binding specificity of the MABs was evaluated using whole domain replacement mutants. The following single domain substitutions into trkC were made: trkB domain 1, trkB domain 4, trkB domain 5 and trkA domain 5. Wildtype trkA was included as a negative control and previously epitope mapped non-agonist murine MABs (1435, 1436 and 1437) were included as MAB controls. Results of this mapping revealed Domain 5 binding specificity of all of the agonist MABs, with the exception of MAB 2248, which binds an epitope formed by Domains 4 and 5.

Fig. 5: Specific Binding of anti-trkC Agonist MABs to Domain 5 of trkC

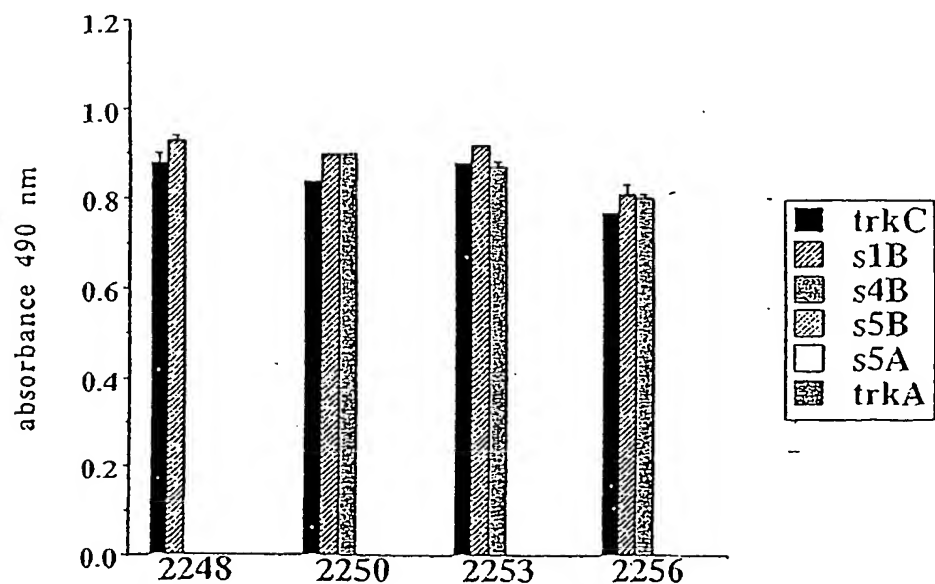


Assay Format:

goat F(ab')<sub>2</sub> anti-huIgG Fc + trkC + MAb + goat anti-hu kappa-HRP

Fig. 6B

Fig. 5: Specific Binding of Murine anti-trkC Agonist MABs to Either Domain 4 or 5 of trkC



Assay Format:

goat F(ab')<sub>2</sub> anti-huIgG Fc + trkC-IgG + MAb + goat anti-mouse IgG Fc-H

Fig. 6C

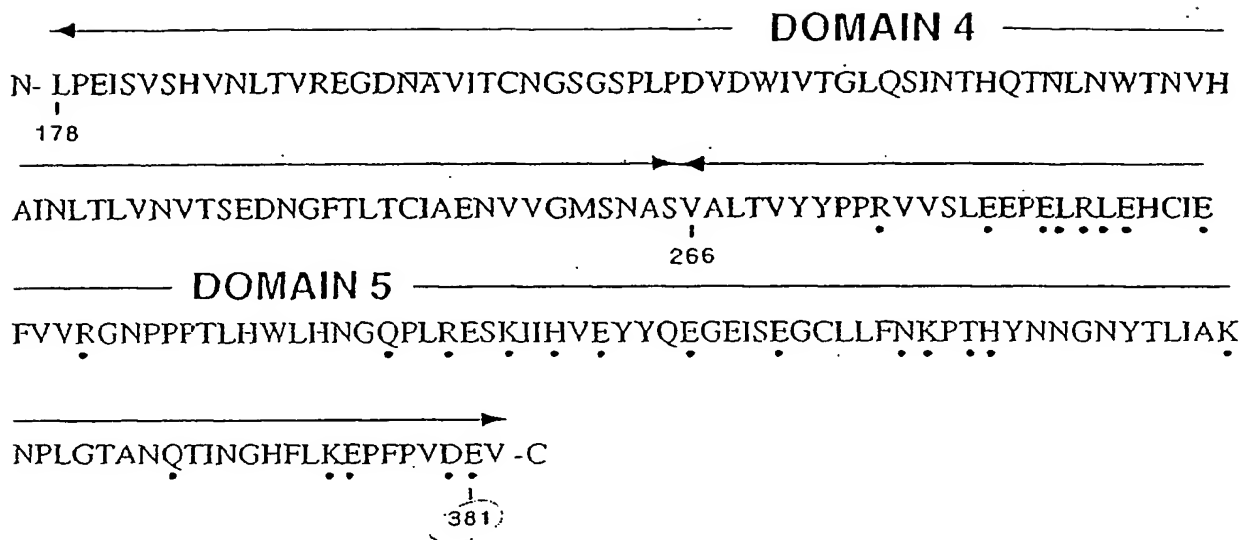


Figure 7: Sequence of hutrC domains 4 and 5. All dotted residues were mutagenized to alanine except residues L284, L286, E287 which were changed to E, H, and K, respectively (7).

Fig. 7



Fig. 8

Heavy chain sequences of anti-trkC agonist MAbsCDR1CDR2

2250 : N-QVQLQQSGAELMQPGASVKISCKSTGYTFSNFWIEWVKQRPQ--HGLEWIGEILPGSDNTNINEKFKG  
 2253 : N-QVQLQQSGAELMQPGASVKISCKSTGYTFSNFWIEWVKQRPQ--HGLEWIGEILPGSDNTNINEKFKG  
 2256 : N-QVQLQQSGAELVKPGASVKLSCKASGYTFTSYWHTWVKQRPQ--QGLEWIGEIYPSNGRTNINEKFKS  
  
 6.1.2: N-QVQLQESGPGLVKPSQTLSTCTVSGGSISSGGYYSWIRQHPGKGLEWIGYIYYSG-STNYPNPSLKS  
 6.4.1: N-QVQLQESGPGLVKPSQTLSTCTVSGG--SISTYWNWIRQHPGKGLEWIGRIYTSG-STNYPNPSLKS  
 2345 : N-QVQLQESGPGLVKPSQTLSTCTVSGGSISSGGYYSWIRQHPGKGLEWIGYIFYSG-RTYYPNPSLKS  
 2349 : N-QVQLQESGPGLVKPSQTLSTCTVSGGSISSGGYYSWIRQHPGKGLEWIGYIYYSG-STYYPNPSLKS

F.g. 9

CDR3

2250 : KATFTADTSSNTAYMQLSSLTSEDSAVYYCARKN---RN----YYGNVYVWGQGLTVTVSA-C  
 2253 : KATFTADTSSNTAYMQLSSLTSEDSAVYYCARKN---RN----YYGNVYVWGAGTTLTVSS-C  
 2256 : KATLTVDKSSSTAYMQLSSLTSEDSAVYYCARKY---YYGNSYRSWYFDVWGAGTTLTVSS-C  
  
 6.1.2: RVTISVDTSKNQFSLKLSVTAADTAVYYCTDRDRDYDSTGDYYSYYGMDVWGQGTTVTVSS-C  
 6.4.1: RVTMSVDTSKNQFSLKLSVTAADTAVYYCARDGGYSNPFD-----WGQGLTVTVSS-C  
 2345 : RVTISVDTSKNQFSLKLSVTAADTAVYYCARER-IAAAGADYYNGLDVGWQGTTVTVSS-C  
 2349 : RLTIISVDTSKNQFSLKLSVTAADTAVYYCARER-IAAAGTDYYNGLAVWGQGTTVTVTVSS-C



Light chain sequences of anti-trkC agonist MAbsCDR1CDR2

2250 : N-DIVMTQSPASLAVSLGQRATISYRAKSVSTSG--YSYMHWNQKPGQPPRLLIYLVSNLESGVPARF  
 2253 : N-QIVLTQSPAIMSASPGKVTITCSASSSVSY-----MYWFQKPGTSPKLLWIYSTSNLASGVPARF  
 2256 : N-DIVLTQSPASLAVSLGQRATISCRASESVDNYG--ISFMNWFQKPGQPPKLLIYAASNQGGSGVPARF  
 6.1.2: N-DIQMTQSPSSLSASVGDRTVTITCRASQGIRN-----DLGWYQQKPGKAPKRLIYAASSLQSGVPSRF  
 6.4.1: N-DIQMTQSPDLSLAVSLGERATINCKSSQSVSYSSNNKNYLAWYQQKPGQPPKLLIYWASTRESGVPDRI  
 2345 : N-EIVLTQSPGTLSSLSPGERATLSCRASQSVSS-----NYLTWYQQKPGQAPRLLIYGASSRATGIPDRF  
 2349 : N-GIVLTQSPGTLSSLSPGERATFSCRASQSGSS-----TYLAWYQQKPGQAPRLLIYGASSRATGIPDRF

CDR3

2250 : SGSGSGTDFTLNHPVEEEDAATYYCQHIRE-LTRSARGQSWKKR---C  
 2253 : SGSGSGTSYSLTISRMEAEADAATYYCQQRSS-YPLTFGAGTKLELKR-C  
 2256 : SGSGSGTDFSLNHPMEEDDTAMYFCQQSKE-VPRTFGGGTTKLEMKR-C  
 6.1.2: SGSGSGTEFTLTISLQPEDFATFYCLQHNS-LPLTFGGGTTKVEIKR-C  
 6.4.1: SGSGSGTDFTLTISLQAEADVAVYCCQHYN-TPLTFGGGTTKVEIKR-C  
 2345 : SGSGSGTDFTLTISRLEPEDFAVYCCQYGRSPPIITFGQGRLEIKR-C  
 2349 : SGSGSGTDFTLTISRLEPEDFAVYCCQYGRSPPIITFGQGRLEIKR-C

Fig. 10

Light chains

Antibody	Family	CDR1	CDR2	CDR3	Family	CDR1	CDR2	CDR3
Heavy chains								
Murine								
2250	VH1A	FWIEWVK	EILPGSDNTN <del>YNEK</del> FKG	KNRN----YYGN <del>YVV</del>	V Kappa I	RASKSVSTSGYSYMH	LVSNLES	QHIRELTRS
2253	VH1A	FWIEWVK	EILPGSDNTN <del>YNEK</del> FKG	KNRN----YYGN <del>YVV</del>	V Kappa IV	SASSSVS-----YMY	STSNLAS	QQRSSYP <del>LT</del>
2256	VH1A	Y <del>TMH</del> WVK	E <del>IY</del> PSNGRTN <del>YNEK</del> FKS	K <del>Y</del> YYGNSYRSWYFDV	V Kappa III	RASESV <del>VDNYGI</del> SFMN	AASNQGS	QQSKEV <del>PR</del> T
Human								
6.1.1.2	VHII	SGGYMS	YIYSGSTN <del>YNPS</del> LKS	DRDYDSTGDYYSYGM <del>DV</del>	V Kappa I	RASQGIRN-----DLG	AASSLQS	LQHNS-L <del>PLT</del>
6.4.1	VHII	ISTY <del>WN</del>	RIYTSGSTN <del>YNPS</del> LKS	DGGY <del>SNPFD</del> -----	V Kappa I	KSSQSVSYSSNNK <del>NYLA</del>	WASTRES	QQHYN-T <del>PLT</del>
2345	VHII	SGGYMS	YIFYSGRTY <del>YNPS</del> LKS	ER-IAAAGADY <del>YNG</del> L <del>DV</del>	V Kappa III	RASQSVSS-----NYLT	GASSRAT	QQYGRSP <del>PIT</del>
2349	VHII	SGY <del>YMS</del>	YIYSGSTY <del>YNPS</del> LKS	ER-IAAAGTDY <del>YNG</del> LAV	V Kappa III	RASQSGSS-----TYLA	GASSRAT	QQYGRSP <del>PIT</del>

Fig. 11

Figure 12. trkC agonist Mabs have improved half-life and bioavailability in vivo

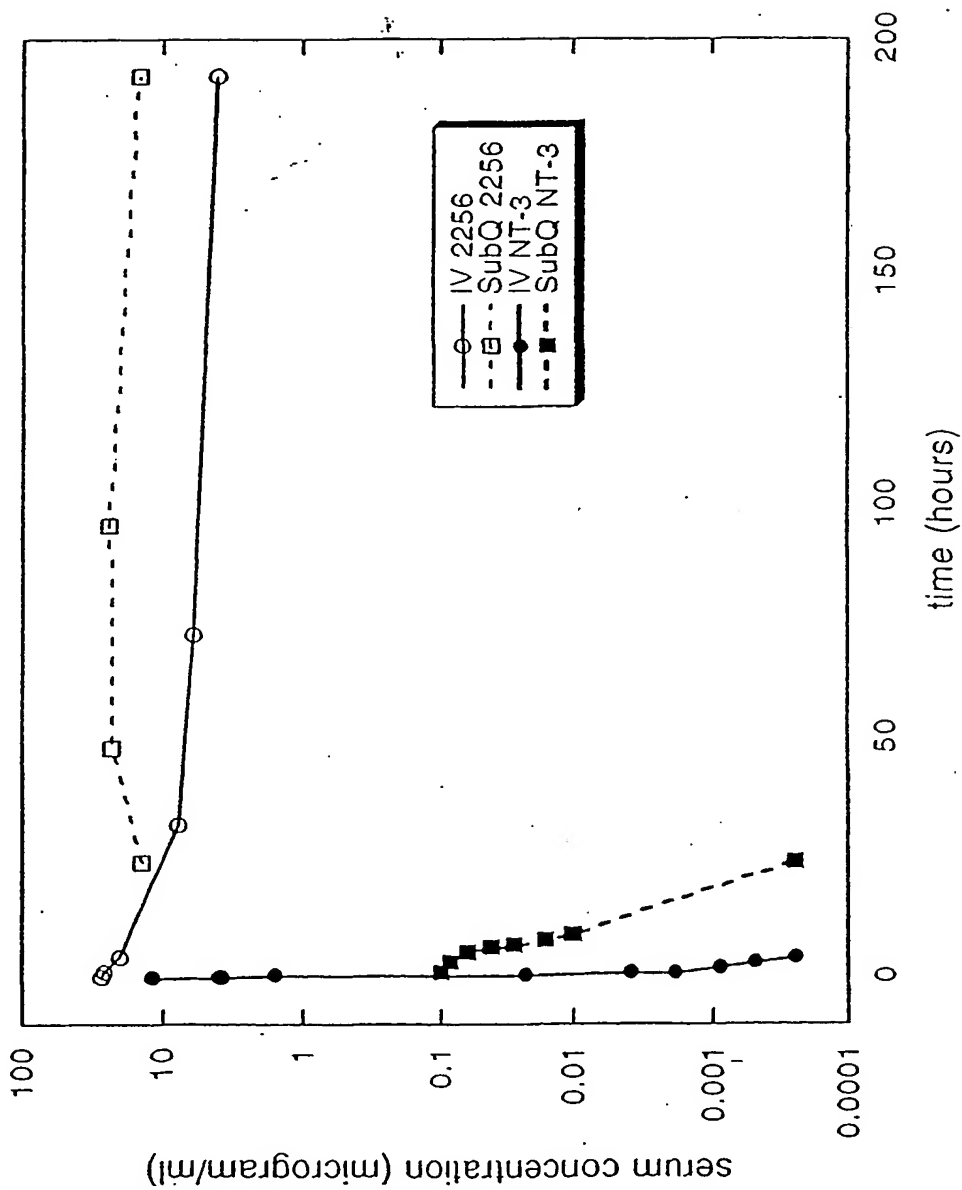


Figure 13. Treatment with trkC Agonists Reverses Cisplatin-Induced Sensory Neuropathy

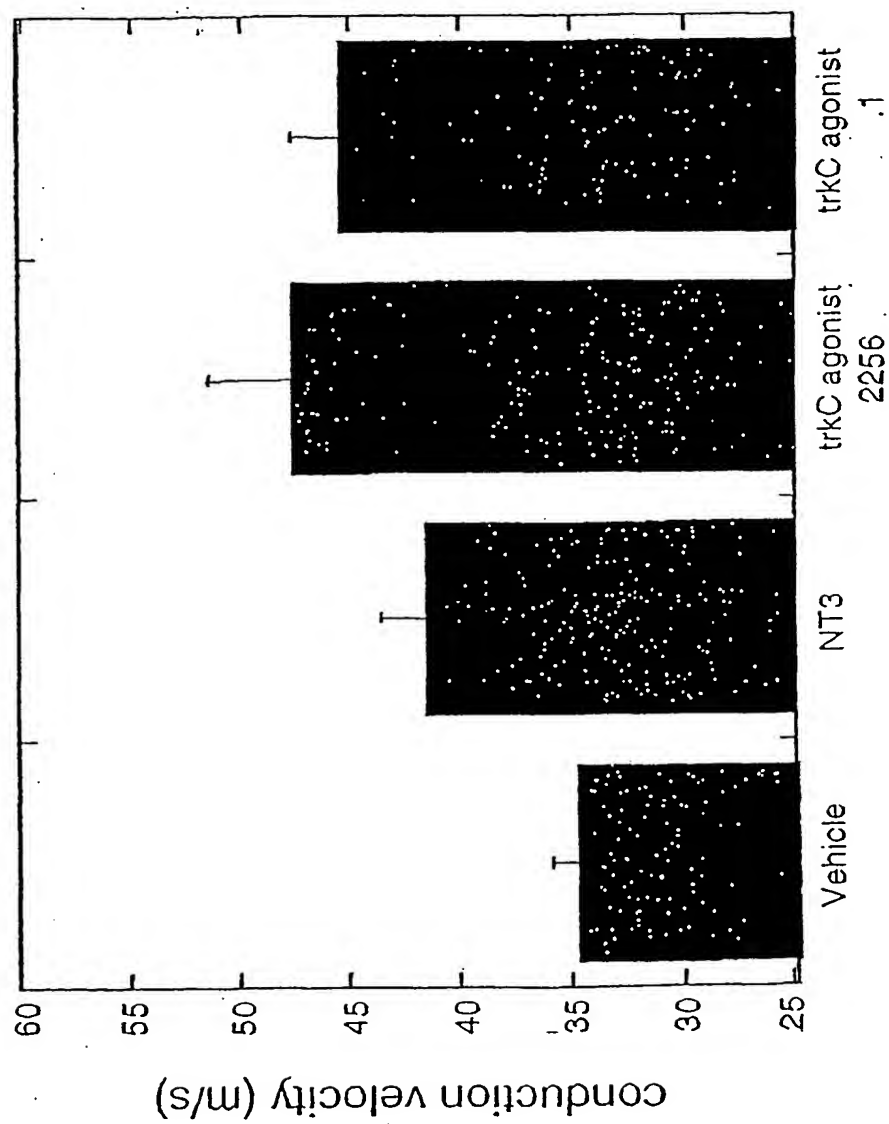
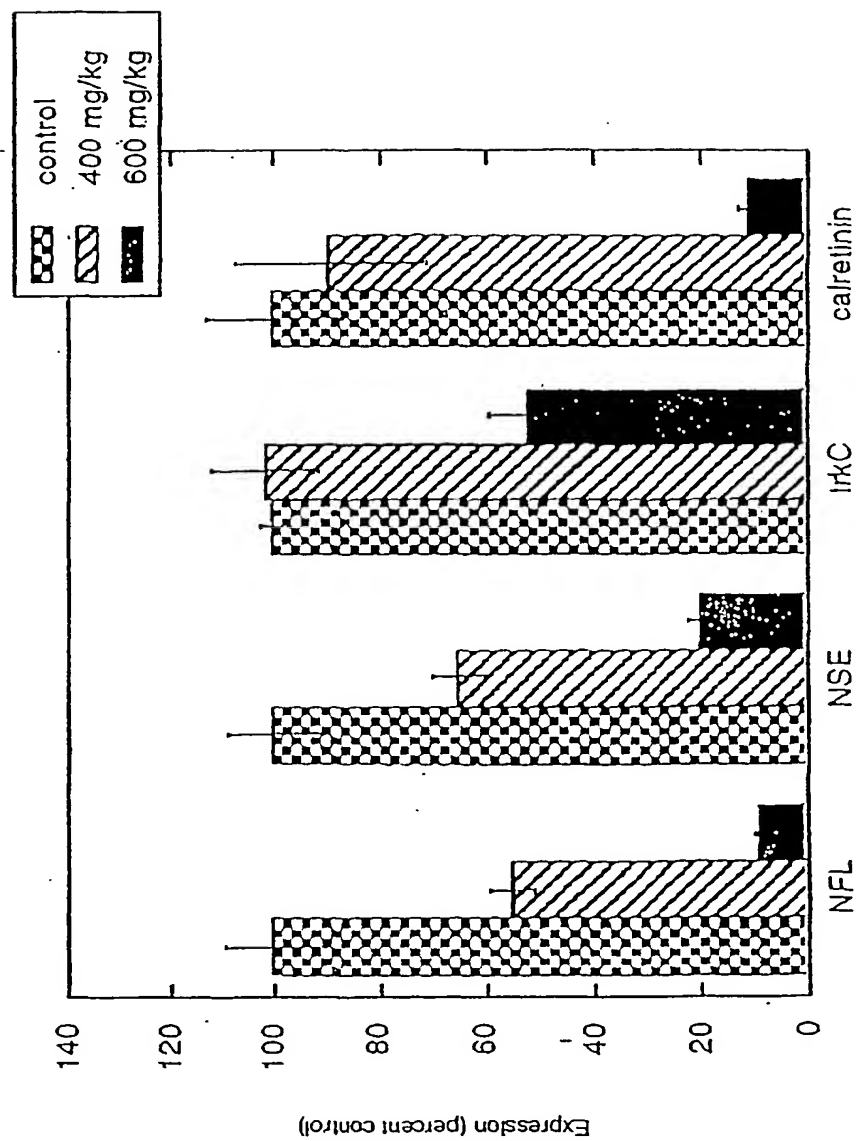
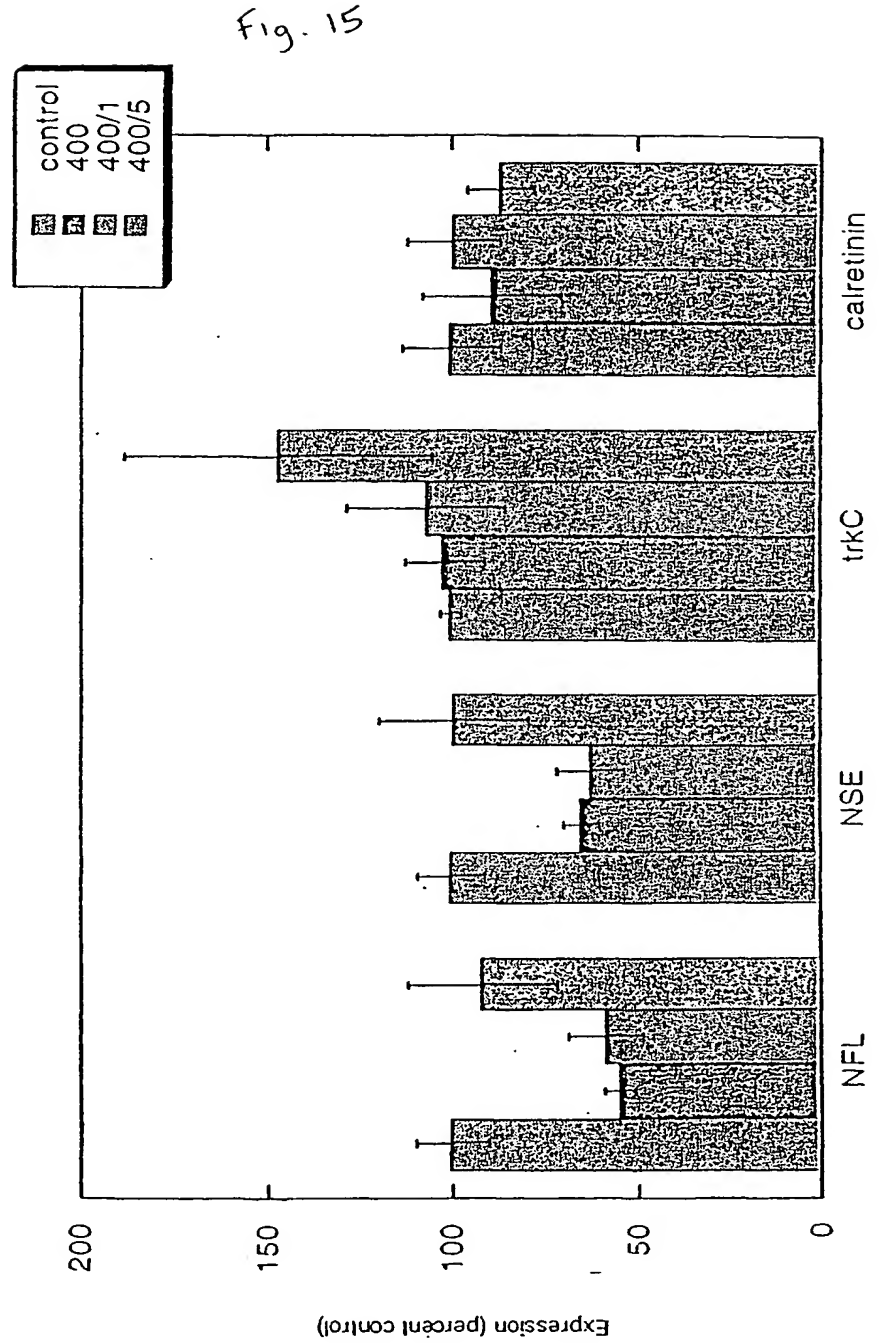


Figure 14. Pyridoxine neuropathy causes decreases in marker expression



# Agonist Mab ameliorates effects of low dose pyridoxine



# Agonist Mab ameliorates effects of high dose pyridoxine

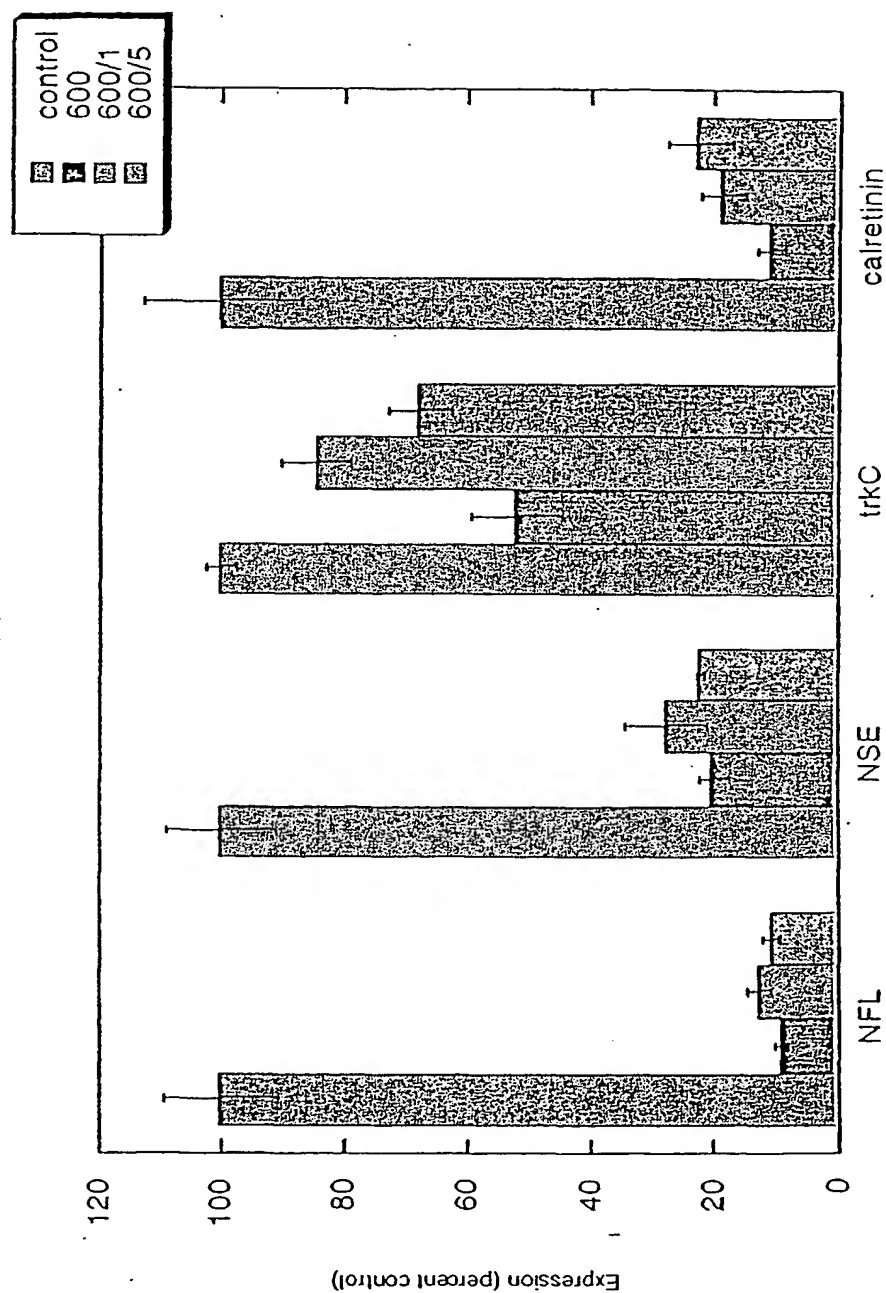
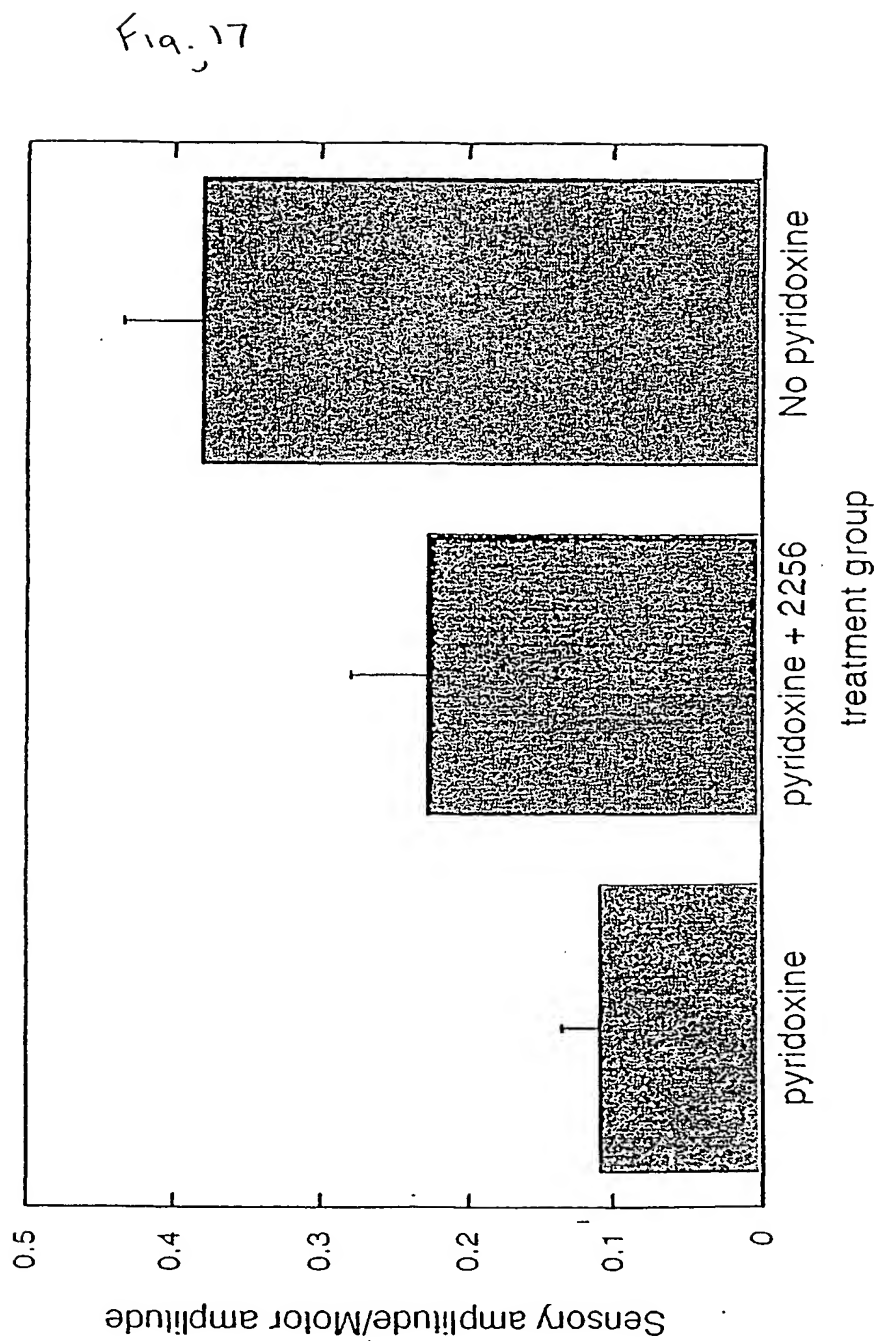


Fig. 16

# Agonist antibody ameliorates pyridoxine neuropathy





# Pyridoxine-induced deficit on ladder is attenuated by trkC Mab

Fig. 18

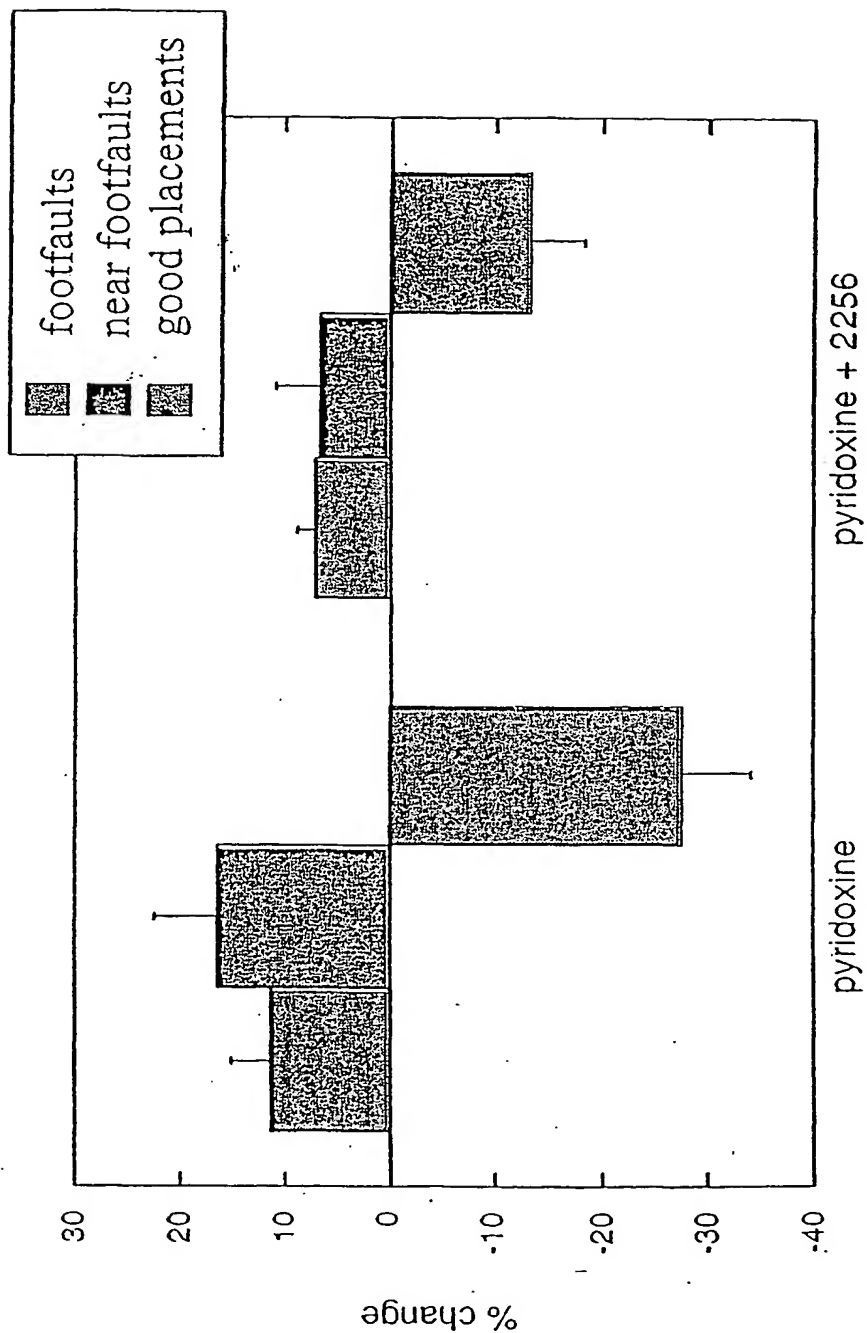


Figure 19. NT3, but not Mab, causes hyperalgesia at therapeutic doses

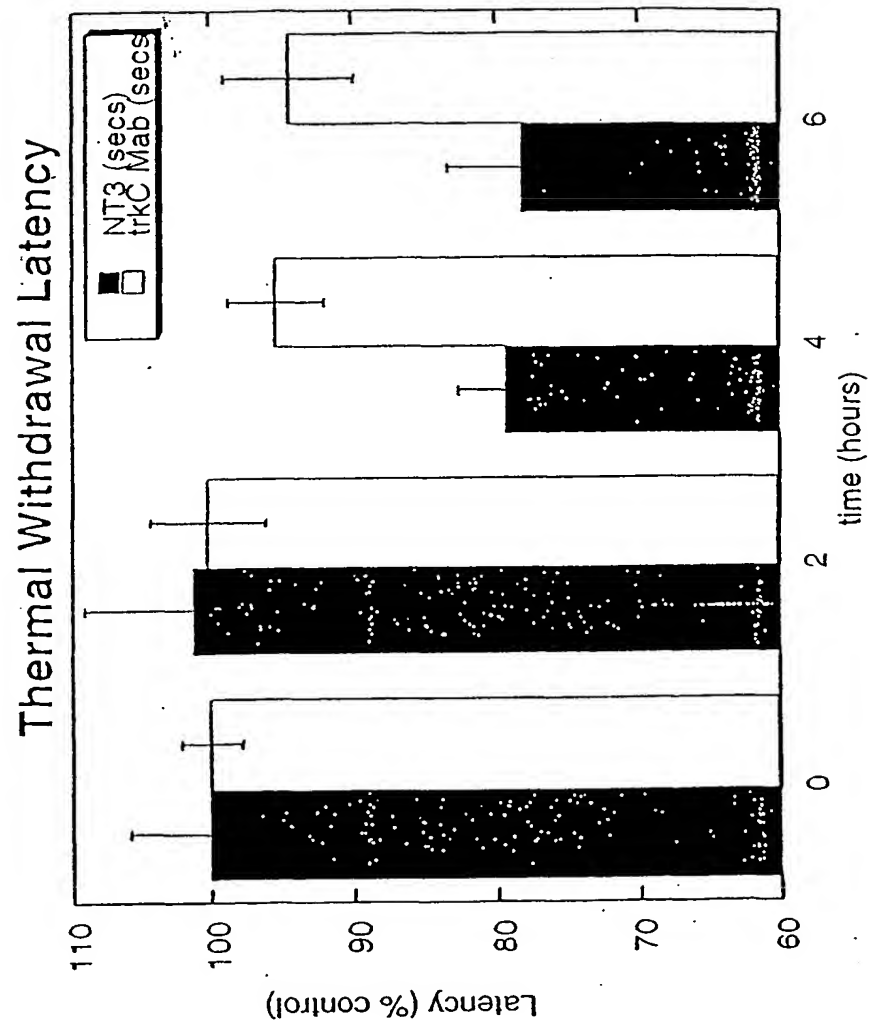


Fig. 20

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301 TLHMLHNGQP LRESKIIHVE YYQEGEISEG CLLENKPTHY NNGNYTLIAK NPLGTANQTI NGHFLKEFPF ESTDNFI LFD EVSPTTPTV THKPEEDTFG  
401 VSIAGLAAAF ACVLLVVL V MINKYGRRSK FGMKGPVAVI SGEEDSASPL HHINHGITTP SSLDAGPDTV VIGMTRIPVI ENQYFRQGH NCHKFDYVQ  
501 HIKRPDIVLK RELGEGAFGK VFLAECYNLS PTKDKMLVAV KALKDPTLAA RKDFQREAE LTNLQHEHIV KFYGVCGDGD PLINVF EYMK HGD LNKFLRA  
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Start Domain 4  
Start Domain 5  
End Domain 5

Fig. 21

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Start Domain 5

Start Domain 4

End Domain 5

v1.60 GseqEdit, ss.hutrkc, page 1

Fig. 21 (29.2)

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 2201 CCTATGGAAG GCAGCCATGG TTCCAACTCT CAACACGGA GGTCAATTGAG TGCATTACCC AAGTCTGTGT TTTGGAGCGG CCCCAGTCT GCCCCAAAGA  
 GGATACCTTT COTCGGTACC AAGGTTGAGA GTTGTGCTT CCACTAATC AGTAATGG TTCCAGCACA AAGCTCTGCC GGGCTCAGA CCGGTTTCT  
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 CCACATGCTA CAGTACGACC CCACGACCGT CTCCCTTGGT GTCTCGCCA ACTTGTAGT CCTCTAGATG TTTTAGAGAG TACGAAACCC CTTCGGGTGG  
 2401 CCAATCTACC TGGACATTCT TGGCTAGTGG TGGCTGGTGG TCATGAATTC ATACTGTGT GCTCTCTCTC TCCTGCTC ACATCTCCCT TCCACCTCAC  
 GGTTAGATGG ACCTGTAAAG ACCGATCACC ACCGACACC AGTACTTAAG TATGAGACAA CGGAGGAGAG AGGACCGAG TGTAGAGGGA AGSTGGAGTG  
 2501 AACTCTCTCC ATCTCTGACT GAAGCGAAAC TCTTCATATA AACTCAAAGT CTTGCTACAC ATACAACACT GAAGAAAGGA AAAAAAAGA AAAAAAAGA  
 TTGAGGAAGG TAGGAAGTGA CTTCGCTTGT AGAAGTATAT TTGAGTTTCA GAGCATGTG TATGTGTGTA CTTTTTCTCT TTTTTTTTTT  
 2601 AAGCCGC  
 TTTGGCG

&gt; length: 2607

not found:

v1.60 GSeqEdit, ss.hutxkC, page 2

A. MAb 2250 Heavy chain:

CAGGTCCAAC TGCAGCAGTCTGGGGCTGAGCTGATGCAGCCTGGGGCCTCAGTGAAGATA  
TCCTGCAAGTCTACTGGCTACACATTCAGTAACTTCTGGATAGAGTGGGTAAAGCAGAGG  
CCTGGACATGGCCTTGAGTGGATTGGAGAGATTTTACCTGGCAGTGATAATACTA ACTAC  
AATGAGAAGTTCAAGGGCAAGGCCACATTCAGTGCAGATACATCCTCCAACACAGCCTAC  
ATGCAACTCAGCAGCCTGACATCTGAGGACTCTGCCGTCTATTACTGTGCAAGAAAGAAT  
CGTAACTACTATGGTAACTACGTTGTATGGGGCCAAGGACTCTGGTCACTGTCTCTGCA

B. MAb 2250 Light chain:

GACATTGTGATGACCCAGTCTCCTGCTTCCTTAGCTGTATCTCTGGGGCAGAGGGCCACC  
ATCTCATA CAGGGCCAGCAAAAGTGT CAGTACATCTGGCTATAGTTATATGCACTGGAAC  
CAACAGAAACCAGGACAGCCACCCAGACTCCTCATCTATCTTGTATCCAACCTAG AATCT  
GGGGTCCCTGCCAGGTT CAGTGGCAGTGGGTCTGGGACAGACTTCACCCTCAACATCCAT  
CCTGTGGAGGAGGAGGATGCTGCAACCTATTACTGTCAGCACATTAGGGAGCTTACACGT  
TCGGCTCGGGGACAAAGTTGGAAAAAACGG

Fig 22

Mab 2253 Heavy chain:

A.

CAGGTCCAGCTGCAGCAGTCTGGAGCTGAGCTGATGCAGCCTGGGGCCTCAGTGAAGATA  
TCCTGCAAGTCTACTGGCTACACATTCAGTAACTTCTGGATAGAGTGGGTAAAGCAGAGG  
CCTGGACATGGCCTTGAGTGGATTGGAAGATTTTACCTGGCAGTGATAATACTAACTACA  
ATGAGAAGTTCAAGGGCAAGGCCACATTCAGTGCAGATACATCCTCCAACACAGCCTACA  
TGCAACTCAGCAGCCTGACATCTGAGGACTCTGCCGTCTATTACTGTGCAAGAAAGAATC  
GTAAGTACTATGGTAACTACGTTGTCTGGGGCGCAGGCACCACTCTCACAGTCTCCTCA

Mab 2253 Light chain:

B.

CAAATTGTGCTGACCCAGTCTCCAGCAATCATGTCTGCATCTCCAGGGGAGAAGGTCACC  
ATAACCTGCAGTGCCAGCTCAAGTGTAAGTTACATGTACTGGTT  
CCAGCAGAAGCCAGGCACTTCTCCCAAACCTCTGGATTTATAGTACATCCAACCTGGCTTC  
TGGAGTCCCTGCTCGCTTCAGTGGCAGTGGATCTGGGACCTCTTACTCTCTACAATCAG  
CCGAATGGAGGCTGAAGATGCTGCCACTTATTACTGCCAGCAAAGGAGTAGTTACCCGCT  
CACGTTCCGGTGCTGGGACCAAGCTGGAACATAAACGG

Fig 23

## A. MAb 2256 Heavy chain:

CAGGTCCAGCTGCAGCAGCCTGGGGCTGAACTGGTGAAGCCTGGGGCTTCAGTGAAGCTG  
TCCTGCAAGGCTTCTGGCTACACCTTCACCAGCTACTGGATGCACTGGGTGAAGCAGAGG  
CCTGGACAAGGCCTTGAGTGGATTGGAGAGATTTATCCTAGCAACGGTCGTACTAACTAC  
AATGAGAAGTTCAAGAGCAAGGCCACACG  
ACTGTAGACAAATCCTCCAGCACAGCCTACATGCAACTCAGCAGCCTGACATCTGAGGAC  
TCTGCGGTCTATTACTGTGCAAGAAAATATTACTACGGTAATAGCTATCGTTCCTGGTAC  
TTCGATGTCTGGGGCGCAGGCACCACTCTCACAGTCTCCTCA

## B. MAb 2256 Light chain:

GACATTGTGCTGACCCAGTCTCCAGCTTCTTTGGCTGTGTCTCTAGGGCAGAGGGCCAC  
ATCTCCTGCAGAGCCAGCGAAAGTGTTGATAATTATGGCATTAGTTTTATGAACTGGTTC  
CAACAGAAACCAGGACAGCCACCCAACTCCTCATCTATGCTGCATCCAACCAAGGATCC  
GGGGTCCCTGCCAGGTTTAGTGGCAGTGGGTCTGGGACAGACTTCAGCCTCAACATCCAT  
CCTATGGAGGAGGATGATACTGCAATGTATTTCTGTCAGCAAAGTAAGGAGGTTCTCGG  
ACGTTTCGGTGGAGGCACCAAGCTGGAGATGAAACGG

Fig 24



## A. MAb 2345 Heavy chain:

CAGGTGCAGCTGCAGGAGTCGGGCCCAGGACTGGTGAAGCCTTCACAGACCCTGTCCCTC  
ACCTGCACTGTCTCTGGTGGCTCCATCAGCAGTGGTGGTTACTACTGGAGCTGGATCCGC  
CAGCACCCAGAAAAGGGCCTGGAGTGGTTGGGTACATCTTTTACAGTGGGAGGACCTACT  
ACAACCCGTCCCTCAAGAGTCGAGTTACATATCAGTAGACACGTCTAAGAACCAGTTCTC  
CCTGAAGCTGAACTCTGTGACAGCCGCGGACACGGCCGTGTATTACTGTGCGAGAGAGCG  
GATAGCAGCAGCTGGTGC GGACTACTACTACAACGGTTTGGACGTCTGGGGCCAAGGGAC  
CACGGTCACCGTCTCCTCA

## B. MAb 2345 Light chain:

GAAATTGTGTTGACGCAGTCTCCAGGCACCCTGTCTTTGTCTCCAGGGGAAAGAGCCACC  
CTCTCCTGCAGGGCCAGTCAGAGTGTTAGCAGCAACTACTTAACCTGGTACCAGCAGAAA  
CCTGGCCAGGCTCCCAGGCTCCTCATCTATGGTGCATCCAGCAGGGCCACTGGCATCCCA  
GACAGGTTCA GTGGCAGTGGGTCTGGGACAGACTTCACTCTCACCATCAGCAGACTGGAG  
CCTGAAGATTTTGCAGTGTATTACTGTGTCAGCAGTATGGTCGCTCACCTCCGATCACCTTC  
GGCCAAGGGACACGACTGGAGATTAAACGA

Fig 25

## A. MAb 2349 Heavy chain:

CAGGTGCAGCTGCAGGAGTCGGGCCCAGGACTGGTGAAGCCTTCACAGACCCTGTCCCTC  
ACCTGCACTGTCTCTGGTGGCTCCATCAGCAGTGGTTATTATTATTGGAGCTGGATCCGC  
CAGCACCCAGGGAAGGGCCTGGAGTGGATTGGGTACATCTATTACAGTGGGAGCACCTAC  
TACAACCCGTCCCTCAAGAGTCGACTTACTATCAGTAGACACGTCTAAGAACCAGTTCTC  
CCTGAAGCTGAGCTCTGTGACTGCCGCGGACACGGCCGTGTATTACTGTGCGAGAGAGCG  
GATAGCAGCAGCTGGAACGGACTACTACTACAACGGTTTGGCCGTCTGGGGCCAAGGGAC  
CACGGTCACCGTCTCCTCA

## B. MAb 2349 Light chain:

GGAATTGTGTTGACGCAGTCTCCAGGCACCCTGTCTTTGTCTCCAGGGGAAAGAGCCACT  
TTCTCCTGCAGGGCCAGTCAGAGTGGTAGCAGCACCTACTTAGCTGGTACCAGCAGAAAC  
CTGGCCAGGCTCCCAGGCTCCTCATCTATGGTGCATCCAGCAGGGCCACTGGCATCCCAG  
ACAGGTTCAGTGGCAGTGGGTCTGGGACAGACTTCACTCTCACCATCAGCAGACTGGAGC  
CTGAAGATTTTGCAGTGTATTACTGTCAGCAGTATGGTAGGTCACCTCCGATCACCTTCG  
GCCAAGGGACACGACTGGAGATTAAACGA

Fig 26

## A. MAb 6.1.2 Heavy chain:

CAGGTGCAGCTGCAGGAGTCGGGCCCAGGACTGGTGAAGCCTTCACAGACCCTGTCCCTC  
ACCTGCACTGTCTCTGGTGGCTCCATCAGCAGTGGTGGTTACTACTGGAGCTGGATCCGC  
CAGCACCCAGGGAAGGGCCTGGAGTGGATTGGGTACATCTATTACAGTGGGAGCACCAAC  
TACAACCCGTCCCTCAAGAGTCGAGTTACCATATCAGTGGACACGTCTAAGAACCAGTTC  
TCCCTGAAGCTGAGCTCTGTGACTGCCGCGGACACGGCCGTGTATTACTGTACGAGAGAT  
CGGGACTATGATAGTACCGGGGATTACTACTCCTACTACGGTATGGACGTCTGGGGCCAA  
GGGACCACGGTCACCGTCTCCTCA

## B. MAb 6.1.2 Light chain:

GATATCCAGATGACCCAGTCTCCATCCTCCCTGTCTGCATCTGTAGGAGACAGAGTCACC  
ATCACTTGCCGGGCAAGTCAGGGCATTAGAAATGATTTAGGCTGGTATCAGCAGAAACCA  
GGGAAAGCCCCCTAAGCGCCTGATCTATGCTGCATCCAGTTTGCAAAGTGGGGTCCCATCA  
AGGTTTCAGCGGCAGTGGATCTGGGACAGAATTCACTCTCACAATCAGCAGCCTGCAGCCT  
GAAGATTTTGCAACTTTTTACTGTCTACAGCATAATAGTCTTCCGCTCACTTTCGGCGGA  
GGGACCAAGGTGGAGATCAAACGA

Fig 27

## A. MAb 6.4.1 Heavy chain:

CAGGTGCAGCTGCAGGAGTCGGGCCCAGGACTGGTGAGGCCTTCGGAGACCCTGTCCCTC  
ACCTGCACTGTCTCTGGTGGCTCCATCAGTACTTACTACTGGAAGTGGATCCGGCAGCCC  
GCCGGGAAGGGACTGGAGTGGATTGGGCGTATCTATACCAGTGGGAGCACC AACTACAAC  
CCCTCCCTCAAGAGTCGAGTCACCATGTCAGTAGACACGTCCAAGAACCAGTTCTCCCTG  
AAGCTGAGCTCTGTGACCGCCGCGGACACGGCCGTGTATTACTGTGCGAGAGATGGGGGC  
TACAGTAACCCTTTTGACTACTGGGGCCAGGGAACCCTGGTCACCGTCTCCTCA

## β. MAb 6.4.1 Light chain:

GATATCCAGATGACCCAGTCTCCAGACTCCCTGGCTGTGTCTCTGGGCGAGAGGGCCACC  
ATCAACTGCAAGTCCAGCCAGAGTGTTTCATACAGCTCCAACAATAAGAACTACTTAGCT  
TGGTACCAGCAGAAACCTGGACAGCCTCCTAAGCTG  
CTCATTTACTGGGCATCTACCCGGGAATCCGGGGTCCCTGACCGAATCAGTGGCAGCGGG  
TCTGGGACAGATTTCACTCTCACCATCAGCAGCCTGCAGGCTGAAGATGTGGCAGTTTAT  
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AAACGA

Fig 28

## SEQUENCE LISTING

<110> Genentech, Inc.  
Devaux, Brigitte  
Hongo, Jo-Anne S.  
Presta, Leonard G.  
Shelton, David L.

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&lt;212&gt; PRT

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Gly

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&lt;400&gt; 7

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&lt;212&gt; PRT

&lt;213&gt; Homo sapiens

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15

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Val															

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&lt;211&gt; 122

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Tyr	Met	Gln	Leu	Ser	Ser	Leu	Thr	Ser	Glu	Asp	Ser	Ala	Val	Tyr	Tyr
				85					90					95	
Cys	Ala	Arg	Lys	Asn	Arg	Asn	Tyr	Tyr	Gly	Asn	Tyr	Val	Val	Trp	Gly
			100					105					110		
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			115				120								

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			20					25					30		
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65					70					75					80
Tyr	Met	Gln	Leu	Ser	Ser	Leu	Thr	Ser	Glu	Asp	Ser	Ala	Val	Tyr	Tyr
				85					90					95	
Cys	Ala	Arg	Lys	Asn	Arg	Asn	Tyr	Tyr	Gly	Asn	Tyr	Val	Val	Trp	Gly
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 Tyr Trp Met His Trp Val Lys Gln Arg Pro Gly Gln Gly Leu Glu Trp  
 35 40 45  
 Ile Gly Glu Ile Tyr Pro Ser Asn Gly Arg Thr Asn Tyr Asn Glu Lys  
 50 55 60  
 Phe Lys Ser Lys Ala Thr Leu Thr Val Asp Lys Ser Ser Ser Thr Ala  
 65 70 75 80  
 Tyr Met Gln Leu Ser Ser Leu Thr Ser Glu Asp Ser Ala Val Tyr Tyr  
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 Glu Trp Ile Gly Tyr Ile Tyr Tyr Ser Gly Ser Thr Asn Tyr Asn Pro  
 50 55 60  
 Ser Leu Lys Ser Arg Val Thr Ile Ser Val Asp Thr Ser Lys Asn Gln  
 65 70 75 80  
 Phe Ser Leu Lys Leu Ser Ser Val Thr Ala Ala Asp Thr Ala Val Tyr  
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 Tyr Cys Thr Arg Asp Arg Asp Tyr Asp Ser Thr Gly Asp Tyr Tyr Ser  
 100 105 110  
 Tyr Tyr Gly Met Asp Val Trp Gly Gln Gly Thr Thr Val Thr Val Ser  
 115 120 125  
 Ser Cys  
 130

<210> 46  
 <211> 119  
 <212> PRT  
 <213> Homo sapiens

<400> 46  
 Asn Gln Val Gln Leu Gln Glu Ser Gly Pro Gly Leu Val Arg Pro Ser  
 1 5 10 15

Glu Thr Leu Ser Leu Thr Cys Thr Val Ser Gly Gly Ser Ile Ser Thr  
                   20                  25                  30  
 Tyr Tyr Trp Asn Trp Ile Arg Gln Pro Ala Gly Lys Gly Leu Glu Trp  
                   35                  40                  45  
 Ile Gly Arg Ile Tyr Thr Ser Gly Ser Thr Asn Tyr Asn Pro Ser Leu  
                   50                  55                  60  
 Lys Ser Arg Val Thr Met Ser Val Asp Thr Ser Lys Asn Gln Phe Ser  
 65                  70                  75                  80  
 Leu Lys Leu Ser Ser Val Thr Ala Ala Asp Thr Ala Val Tyr Tyr Cys  
                   85                  90                  95  
 Ala Arg Asp Gly Gly Tyr Ser Asn Pro Phe Asp Trp Gly Gln Gly Thr  
                   100                  105                  110  
 Leu Val Thr Val Ser Ser Cys  
                   115

<210> 47  
 <211> 129  
 <212> PRT  
 <213> Homo sapiens

<400> 47  
 Asn Gln Val Gln Leu Gln Glu Ser Gly Pro Gly Leu Val Lys Pro Ser  
 1                  5                  10                  15  
 Gln Thr Leu Ser Leu Thr Cys Thr Val Ser Gly Gly Ser Ile Ser Ser  
                   20                  25                  30  
 Gly Gly Tyr Trp Ser Trp Ile Arg Gln His Pro Glu Lys Gly Leu  
                   35                  40                  45  
 Glu Trp Ile Gly Tyr Ile Phe Tyr Ser Gly Arg Thr Tyr Tyr Asn Pro  
                   50                  55                  60  
 Ser Leu Lys Ser Arg Val Thr Ile Ser Val Asp Thr Ser Lys Asn Gln  
 65                  70                  75                  80  
 Phe Ser Leu Lys Leu Asn Ser Val Thr Ala Ala Asp Thr Ala Val Tyr  
                   85                  90                  95  
 Tyr Cys Ala Arg Glu Arg Ile Ala Ala Gly Ala Asp Tyr Tyr Tyr  
                   100                  105                  110  
 Asn Gly Leu Asp Val Trp Gly Gln Gly Thr Thr Val Thr Val Ser Ser  
                   115                  120                  125  
 Cys

<210> 48  
 <211> 129  
 <212> PRT  
 <213> Homo sapiens

<400> 48  
 Asn Gln Val Gln Leu Gln Glu Ser Gly Pro Gly Leu Val Lys Pro Ser  
 1                  5                  10                  15  
 Gln Thr Leu Ser Leu Thr Cys Thr Val Ser Gly Gly Ser Ile Ser Ser  
                   20                  25                  30  
 Gly Tyr Tyr Trp Ser Trp Ile Arg Gln His Pro Gly Lys Gly Leu  
                   35                  40                  45  
 Glu Trp Ile Gly Tyr Ile Tyr Tyr Ser Gly Ser Thr Tyr Tyr Asn Pro  
                   50                  55                  60  
 Ser Leu Lys Ser Arg Leu Thr Ile Ser Val Asp Thr Ser Lys Asn Gln  
 65                  70                  75                  80



Phe Ser Leu Lys Leu Ser Ser Val Thr Ala Ala Asp Thr Ala Val Tyr  
                   85                  90                  95  
 Tyr Cys Ala Arg Glu Arg Ile Ala Ala Ala Gly Thr Asp Tyr Tyr Tyr  
                   100                  105                  110  
 Asn Gly Leu Ala Val Trp Gly Gln Gly Thr Thr Val Thr Val Ser Ser  
                   115                  120                  125  
 Cys

<210> 49  
 <211> 112  
 <212> PRT  
 <213> Murine

<400> 49  
 Asn Asp Ile Val Met Thr Gln Ser Pro Ala Ser Leu Ala Val Ser Leu  
   1                  5                  10                  15  
 Gly Gln Arg Ala Thr Ile Ser Tyr Arg Ala Ser Lys Ser Val Ser Thr  
                   20                  25                  30  
 Ser Gly Tyr Ser Tyr Met His Trp Asn Gln Gln Lys Pro Gly Gln Pro  
                   35                  40                  45  
 Pro Arg Leu Leu Ile Tyr Leu Val Ser Asn Leu Glu Ser Gly Val Pro  
                   50                  55                  60  
 Ala Arg Phe Ser Gly Ser Gly Ser Gly Thr Asp Phe Thr Leu Asn Ile  
  65                  70                  75                  80  
 His Pro Val Glu Glu Glu Asp Ala Ala Thr Tyr Tyr Cys Gln His Ile  
                   85                  90                  95  
 Arg Glu Leu Thr Arg Ser Ala Arg Gly Gln Ser Trp Lys Lys Arg Cys  
                   100                  105                  110

<210> 50  
 <211> 109  
 <212> PRT  
 <213> Murine

<400> 50  
 Asn Gln Ile Val Leu Thr Gln Ser Pro Ala Ile Met Ser Ala Ser Pro  
   1                  5                  10                  15  
 Gly Glu Lys Val Thr Ile Thr Cys Ser Ala Ser Ser Ser Val Ser Tyr  
                   20                  25                  30  
 Met Tyr Trp Phe Gln Gln Lys Pro Gly Thr Ser Pro Lys Leu Trp Ile  
                   35                  40                  45  
 Tyr Ser Thr Ser Asn Leu Ala Ser Gly Val Pro Ala Arg Phe Ser Gly  
                   50                  55                  60  
 Ser Gly Ser Gly Thr Ser Tyr Ser Leu Thr Ile Ser Arg Met Glu Ala  
  65                  70                  75                  80  
 Glu Asp Ala Ala Thr Tyr Tyr Cys Gln Gln Arg Ser Ser Tyr Pro Leu  
                   85                  90                  95  
 Thr Phe Gly Ala Gly Thr Lys Leu Glu Leu Lys Arg Cys  
                   100                  105

<210> 51  
 <211> 114  
 <212> PRT  
 <213> Murine

&lt;400&gt; 51

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Asn Asp Ile Val Leu Thr Gln Ser Pro Ala Ser Leu Ala Val Ser Leu
 1           5           10           15
Gly Gln Arg Ala Thr Ile Ser Cys Arg Ala Ser Glu Ser Val Asp Asn
          20           25           30
Tyr Gly Ile Ser Phe Met Asn Trp Phe Gln Gln Lys Pro Gly Gln Pro
          35           40           45
Pro Lys Leu Leu Ile Tyr Ala Ala Ser Asn Gln Gly Ser Gly Val Pro
          50           55           60
Ala Arg Phe Ser Gly Ser Gly Ser Gly Thr Asp Phe Ser Leu Asn Ile
65           70           75           80
His Pro Met Glu Glu Asp Asp Thr Ala Met Tyr Phe Cys Gln Gln Ser
          85           90           95
Lys Glu Val Pro Arg Thr Phe Gly Gly Gly Thr Lys Leu Glu Met Lys
          100          105          110
Arg Cys

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&lt;210&gt; 52

&lt;211&gt; 110

&lt;212&gt; PRT

&lt;213&gt; Homo sapiens

&lt;400&gt; 52

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Asn Asp Ile Gln Met Thr Gln Ser Pro Ser Ser Leu Ser Ala Ser Val
 1           5           10           15
Gly Asp Arg Val Thr Ile Thr Cys Arg Ala Ser Gln Gly Ile Arg Asn
          20           25           30
Asp Leu Gly Trp Tyr Gln Gln Lys Pro Gly Lys Ala Pro Lys Arg Leu
          35           40           45
Ile Tyr Ala Ala Ser Ser Leu Gln Ser Gly Val Pro Ser Arg Phe Ser
          50           55           60
Gly Ser Gly Ser Gly Thr Glu Phe Thr Leu Thr Ile Ser Ser Leu Gln
65           70           75           80
Pro Glu Asp Phe Ala Thr Phe Tyr Cys Leu Gln His Asn Ser Leu Pro
          85           90           95
Leu Thr Phe Gly Gly Gly Thr Lys Val Glu Ile Lys Arg Cys
          100          105          110

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&lt;210&gt; 53

&lt;211&gt; 116

&lt;212&gt; PRT

&lt;213&gt; Homo sapiens

&lt;400&gt; 53

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Asn Asp Ile Gln Met Thr Gln Ser Pro Asp Ser Leu Ala Val Ser Leu
 1           5           10           15
Gly Glu Arg Ala Thr Ile Asn Cys Lys Ser Ser Gln Ser Val Ser Tyr
          20           25           30
Ser Ser Asn Asn Lys Asn Tyr Leu Ala Trp Tyr Gln Gln Lys Pro Gly
          35           40           45
Gln Pro Pro Lys Leu Leu Ile Tyr Trp Ala Ser Thr Arg Glu Ser Gly
          50           55           60
Val Pro Asp Arg Ile Ser Gly Ser Gly Ser Gly Thr Asp Phe Thr Leu
65           70           75           80

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Thr Ile Ser Ser Leu Gln Ala Glu Asp Val Ala Val Tyr Tyr Cys Gln  
                   85                  90                  95  
 Gln His Tyr Asn Thr Pro Leu Thr Phe Gly Gly Gly Thr Lys Val Glu  
                   100                  105                  110  
 Ile Lys Arg Cys  
                   115

<210> 54  
 <211> 112  
 <212> PRT  
 <213> Homo sapiens

<400> 54  
 Asn Glu Ile Val Leu Thr Gln Ser Pro Gly Thr Leu Ser Leu Ser Pro  
   1                  5                  10                  15  
 Gly Glu Arg Ala Thr Leu Ser Cys Arg Ala Ser Gln Ser Val Ser Ser  
                   20                  25                  30  
 Asn Tyr Leu Thr Trp Tyr Gln Gln Lys Pro Gly Gln Ala Pro Arg Leu  
                   35                  40                  45  
 Leu Ile Tyr Gly Ala Ser Ser Arg Ala Thr Gly Ile Pro Asp Arg Phe  
   50                  55                  60  
 Ser Gly Ser Gly Ser Gly Thr Asp Phe Thr Leu Thr Ile Ser Arg Leu  
   65                  70                  75                  80  
 Glu Pro Glu Asp Phe Ala Val Tyr Tyr Cys Gln Gln Tyr Gly Arg Ser  
                   85                  90                  95  
 Pro Pro Ile Thr Phe Gly Gln Gly Thr Arg Leu Glu Ile Lys Arg Cys  
                   100                  105                  110

<210> 55  
 <211> 112  
 <212> PRT  
 <213> Homo sapiens

<400> 55  
 Asn Gly Ile Val Leu Thr Gln Ser Pro Gly Thr Leu Ser Leu Ser Pro  
   1                  5                  10                  15  
 Gly Glu Arg Ala Thr Phe Ser Cys Arg Ala Ser Gln Ser Gly Ser Ser  
                   20                  25                  30  
 Thr Tyr Leu Ala Trp Tyr Gln Gln Lys Pro Gly Gln Ala Pro Arg Leu  
                   35                  40                  45  
 Leu Ile Tyr Gly Ala Ser Ser Arg Ala Thr Gly Ile Pro Asp Arg Phe  
   50                  55                  60  
 Ser Gly Ser Gly Ser Gly Thr Asp Phe Thr Leu Thr Ile Ser Arg Leu  
   65                  70                  75                  80  
 Glu Pro Glu Asp Phe Ala Val Tyr Tyr Cys Gln Gln Tyr Gly Arg Ser  
                   85                  90                  95  
 Pro Pro Ile Thr Phe Gly Gln Gly Thr Arg Leu Glu Ile Lys Arg Cys  
                   100                  105                  110

<210> 56  
 <211> 808  
 <212> PRT  
 <213> Homo sapiens

<400> 56

Cys	Pro	Ala	Asn	Cys	Val	Cys	Ser	Lys	Thr	Glu	Ile	Asn	Cys	Arg	Arg
1				5					10					15	
Pro	Asp	Asp	Gly	Asn	Leu	Phe	Pro	Leu	Leu	Glu	Gly	Gln	Asp	Ser	Gly
			20					25					30		
Asn	Ser	Asn	Gly	Asn	Ala	Asn	Ile	Asn	Ile	Thr	Asp	Ile	Ser	Arg	Asn
		35					40					45			
Ile	Thr	Ser	Ile	His	Ile	Glu	Asn	Trp	Arg	Ser	Leu	His	Thr	Leu	Asn
	50					55					60				
Ala	Val	Asp	Met	Glu	Leu	Tyr	Thr	Gly	Leu	Gln	Lys	Leu	Thr	Ile	Lys
65					70					75					80
Asn	Ser	Gly	Leu	Arg	Ser	Ile	Gln	Pro	Arg	Ala	Phe	Ala	Lys	Asn	Pro
				85					90					95	
His	Leu	Arg	Tyr	Ile	Asn	Leu	Ser	Ser	Asn	Arg	Leu	Thr	Thr	Leu	Ser
			100					105					110		
Trp	Gln	Leu	Phe	Gln	Thr	Leu	Ser	Leu	Arg	Glu	Leu	Gln	Leu	Glu	Gln
	115						120					125			
Asn	Phe	Phe	Asn	Cys	Ser	Cys	Asp	Ile	Arg	Trp	Met	Gln	Leu	Trp	Gln
	130					135					140				
Glu	Gln	Gly	Glu	Ala	Lys	Leu	Asn	Ser	Gln	Asn	Leu	Tyr	Cys	Ile	Asn
145					150					155					160
Ala	Asp	Gly	Ser	Gln	Leu	Pro	Leu	Phe	Arg	Met	Asn	Ile	Ser	Gln	Cys
				165					170					175	
Asp	Leu	Pro	Glu	Ile	Ser	Val	Ser	His	Val	Asn	Leu	Thr	Val	Arg	Glu
			180					185					190		
Gly	Asp	Asn	Ala	Val	Ile	Thr	Cys	Asn	Gly	Ser	Gly	Ser	Pro	Leu	Pro
		195					200					205			
Asp	Val	Asp	Trp	Ile	Val	Thr	Gly	Leu	Gln	Ser	Ile	Asn	Thr	His	Gln
	210					215					220				
Thr	Asn	Leu	Asn	Trp	Thr	Asn	Val	His	Ala	Ile	Asn	Leu	Thr	Leu	Val
225					230					235					240
Asn	Val	Thr	Ser	Glu	Asp	Asn	Gly	Phe	Thr	Leu	Thr	Cys	Ile	Ala	Glu
				245					250					255	
Asn	Val	Val	Gly	Met	Ser	Asn	Ala	Ser	Val	Ala	Leu	Thr	Val	Tyr	Tyr
			260					265					270		
Pro	Pro	Arg	Val	Val	Ser	Leu	Glu	Glu	Pro	Glu	Leu	Arg	Leu	Glu	His
		275				280						285			
Cys	Ile	Glu	Phe	Val	Val	Arg	Gly	Asn	Pro	Pro	Pro	Thr	Leu	His	Trp
	290					295					300				
Leu	His	Asn	Gly	Gln	Pro	Leu	Arg	Glu	Ser	Lys	Ile	Ile	His	Val	Glu
305					310					315					320
Tyr	Tyr	Gln	Glu	Gly	Glu	Ile	Ser	Glu	Gly	Cys	Leu	Leu	Phe	Asn	Lys
			325						330					335	
Pro	Thr	His	Tyr	Asn	Asn	Gly	Asn	Tyr	Thr	Leu	Ile	Ala	Lys	Asn	Pro
			340					345					350		
Leu	Gly	Thr	Ala	Asn	Gln	Thr	Ile	Asn	Gly	His	Phe	Leu	Lys	Glu	Pro
		355					360					365			
Phe	Pro	Glu	Ser	Thr	Asp	Asn	Phe	Ile	Leu	Phe	Asp	Glu	Val	Ser	Pro
		370				375					380				
Thr	Pro	Pro	Ile	Thr	Val	Thr	His	Lys	Pro	Glu	Glu	Asp	Thr	Phe	Gly
385					390					395					400
Val	Ser	Ile	Ala	Val	Gly	Leu	Ala	Ala	Phe	Ala	Cys	Val	Leu	Leu	Val
			405						410					415	
Val	Leu	Phe	Val	Met	Ile	Asn	Lys	Tyr	Gly	Arg	Arg	Ser	Lys	Phe	Gly
			420					425					430		
Met	Lys	Gly	Pro	Val	Ala	Val	Ile	Ser	Gly	Glu	Glu	Asp	Ser	Ala	Ser
		435					440					445			
Pro	Leu	His	His	Ile	Asn	His	Gly	Ile	Thr	Thr	Pro	Ser	Ser	Leu	Asp
	450					455					460				

Ala Gly Pro Asp Thr Val Val Ile Gly Met Thr Arg Ile Pro Val Ile  
 465 470 475 480  
 Glu Asn Pro Gln Tyr Phe Arg Gln Gly His Asn Cys His Lys Pro Asp  
 485 490 495  
 Thr Tyr Val Gln His Ile Lys Arg Arg Asp Ile Val Leu Lys Arg Glu  
 500 505 510  
 Leu Gly Glu Gly Ala Phe Gly Lys Val Phe Leu Ala Glu Cys Tyr Asn  
 515 520 525  
 Leu Ser Pro Thr Lys Asp Lys Met Leu Val Ala Val Lys Ala Leu Lys  
 530 535 540  
 Asp Pro Thr Leu Ala Ala Arg Lys Asp Phe Gln Arg Glu Ala Glu Leu  
 545 550 555 560  
 Leu Thr Asn Leu Gln His Glu His Ile Val Lys Phe Tyr Gly Val Cys  
 565 570 575  
 Gly Asp Gly Asp Pro Leu Ile Met Val Phe Glu Tyr Met Lys His Gly  
 580 585 590  
 Asp Leu Asn Lys Phe Leu Arg Ala His Gly Pro Asp Ala Met Ile Leu  
 595 600 605  
 Val Asp Gly Gln Pro Arg Gln Ala Lys Gly Glu Leu Gly Leu Ser Gln  
 610 615 620  
 Met Leu His Ile Ala Ser Gln Ile Ala Ser Gly Met Val Tyr Leu Ala  
 625 630 635 640  
 Ser Gln His Phe Val His Arg Asp Leu Ala Thr Arg Asn Cys Leu Val  
 645 650 655  
 Gly Ala Asn Leu Leu Val Lys Ile Gly Asp Phe Gly Met Ser Arg Asp  
 660 665 670  
 Val Tyr Ser Thr Asp Tyr Tyr Arg Leu Phe Asn Pro Ser Gly Asn Asp  
 675 680 685  
 Phe Cys Ile Trp Cys Glu Val Gly Gly His Thr Met Leu Pro Ile Arg  
 690 695 700  
 Trp Met Pro Pro Glu Ser Ile Met Tyr Arg Lys Phe Thr Thr Glu Ser  
 705 710 715 720  
 Asp Val Trp Ser Phe Gly Val Ile Leu Trp Glu Ile Phe Thr Tyr Gly  
 725 730 735  
 Lys Gln Pro Trp Phe Gln Leu Ser Asn Thr Glu Val Ile Glu Cys Ile  
 740 745 750  
 Thr Gln Gly Arg Val Leu Glu Arg Pro Arg Val Cys Pro Lys Glu Val  
 755 760 765  
 Tyr Asp Val Met Leu Gly Cys Trp Gln Arg Glu Pro Gln Gln Arg Leu  
 770 775 780  
 Asn Ile Lys Glu Ile Tyr Lys Ile Leu His Ala Leu Gly Lys Ala Thr  
 785 790 795 800  
 Pro Ile Tyr Leu Asp Ile Leu Gly  
 805

&lt;210&gt; 57

&lt;211&gt; 2607

&lt;212&gt; DNA

&lt;213&gt; Homo sapiens

&lt;400&gt; 57

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 aacctcttcc cctctctgga agggcaggat tcagggaaca gcaatgggaa cgccaatata 120  
 aacatcacgg acatctcaag gaatatcact tccatacaca tagagaactg gcgcagtctt 180  
 cacacgctca acgccgtgga catggagctc tacaccggac ttcaaaagct gaccatcaag 240  
 aactcaggac ttccggagcat tcagcccaga gcctttgcc aagaacccca tttgcgttat 300  
 ataaacctgt caagtaaccg gctcaccaca ctctcgtggc agctcttcca gacgctgagt 360

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cttcgggaat tgcagttgga gcagaacttt ttcaactgca gctgtgacat ccgctggatg 420
cagctctggc aggagcaggg ggaggccaag ctcaacagcc agaacctcta ctgcatcaat 480
gctgatggct cccagcttcc tctcttcgc atgaacatca gtcagtgtga ccttcctgag 540
atcagcgtga gccacgtcaa cctgaccgta cgagaggggtg acaatgctgt tatcacttgc 600
aatggctctg gatcaccctt tcctgatgtg gactggatag tcaactgggtg gcagtccatc 660
aacactcacc agaccaatct gaactggacc aatgttcatg ccatcaactt gacgctgggtg 720
aatgtgacga gtgaggacaa tggcttcacc ctgacgtgca ttgcagagaa cgtgggtgggc 780
atgagcaatg ccagtgtgc cctcactgtc tactatcccc cacgtgtggt gagcctggag 840
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acgctgcact ggctgcacaa tgggcagcct ctgcgggagt ccaagatcat ccatgtggaa 960
tactaccaag agggagagat ttccgagggc tgctgtctt tcaacaagcc caccactac 1020
aacaatggca actataccct cattgccaaa aaccactgg gcacagccaa ccagaccatc 1080
aatggccact tcctcaagga gccctttcca gagagcacgg ataactttat cttgtttgac 1140
gaagtgaagt ccacacctcc tatcactgtg acccacaac cagaagaaga cacttttggg 1200
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agtgtgagg aggactcagc cagcccactg caccacatca acccggcat caccacgccc 1380
tcgtcactgg atgcccggcc cgacactgtg gtcatggca tgactcgcat ccctgtcatt 1440
gagaaccccc agtacttccg tcagggacac aactgccaca agccggacac gtatgtgcag 1500
cacattaaga ggagagacat cgtgctgaag cgagaactgg gtgagggagc ctttggaaag 1560
gtcttccctg ccgagtgtca caacctcagc ccgaccaagg acaagatgct tgtggctgtg 1620
aaggccctga aggatccac cctggctgcc cggaaggatt tccagaggga ggccgagctg 1680
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ctccccattc gctggatgac tcctgaaagc atcatgtacc ggaagttcac tacagagagt 2160
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ccccgagtct gccccaaaga ggtgtacgat gtcatgctgg ggtgctggca gagggaaaca 2340
cagcagcgyt tgaacatcaa ggagatctac aaaatcctcc atgctttggg gaaggccacc 2400
ccaatctacc tggacattct tggctagtgg tggctggtgg tcatgaattc atactctgtt 2460
gcctcctctc tcctgcctc acatctcctt tccacctcac aactccttcc atccttgact 2520
gaagcgaaca tcttcatata aactcaagt cctgtcacac atacaacact gaaaaaagga 2580
aaaaaaaaa aaaaaaaaaa aaaccgc 2607

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<210> 58  
<211> 360  
<212> DNA  
<213> Murine

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<400> 58
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cctggacatg gccttgagtg gattggagag attttacctg gcagtataa tactaactac 180
aatgagaagt tcaagggcaa ggccacattc actgcagata catcctcaa cacagcctac 240
atgcaactca gcagcctgac atctgaggac tctgccgtct attactgtgc aagaaagaat 300
cgtaactact atggtaacta cgttgtatgg ggccaaggga ctctggtcac tgtctctgca 360

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<210> 59  
<211> 330  
<212> DNA  
<213> Murine

<400> 59  
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atctcatata gggccagcaa aagtgtcagt acatctggct atagttatat gcaactggaac 120  
caacagaaac caggacagcc acccagactc ctcatctatc ttgtatccaa cctagaatct 180  
ggggtccctg ccagggtcag tggcagtgagg tctgggacag acttcaccct caacatccat 240  
cctgtggagg agggagatgc tgcaacctat tactgtcagc acattaggga gcttacacgt 300  
tcggctcggg gacaaagtgc gaaaaaacgg 330

<210> 60  
<211> 360  
<212> DNA  
<213> Murine

<400> 60  
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tcctgcaagt ctactggcta cacattcagt aacttctgga tagagtgggt aaagcagagg 120  
cctggacatg gccttgagtg gattggagag attttacctg gcagtgataa tactaactac 180  
aatgagaagt tcaagggcaa ggccacattc actgcagata catcctccaa cacagcctac 240  
atgcaactca gcagcctgac atctgaggac tctgccgtct attactgtgc aagaaagaat 300  
cgtaactact atggtaacta cgttgtctgg ggcgcaggca ccactctcac agtctcctca 360

<210> 61  
<211> 321  
<212> DNA  
<213> Murine

<400> 61  
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ataacctgca gtgccagctc aagtgttaagt tacatgtact ggttccagca gaagccaggc 120  
acttctccca aactctggat ttatagtaca tccaacctgg cttctggagt cctgtctcgc 180  
ttcagtggca gtggatctgg gacctcttac tctctcaca tcagccgaat ggaggctgaa 240  
gatgctgcca cttattactg ccagcaaagg agtagttacc cgctcacgtt cgggtgctggg 300  
accaagctgg aactaaaacg g 321

<210> 62  
<211> 372  
<212> DNA  
<213> Murine

<400> 62  
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tcctgcaagg cttctggcta caccttcacc agctactgga tgcaactggg gaagcagagg 120  
cctggacaag gccttgagtg gattggagag atttatccta gcaacggctc tactaactac 180  
aatgagaagt tcaagagcaa ggccacactg actgtagaca aatcctccag cacagcctac 240  
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C07K 16/28, C12N 5/10, 15/63, A61K 39/395, A61P  
25/00, 25/28, 9/00

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(30) Priority Data:  
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(US). SHELTON, David, L. [US/US]: 5845 Clover Drive,  
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(81) Designated States (national): AE, AG, AL, AM, AT, AU,  
AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CR, CU, CZ,  
CZ (utility model), DE, DE (utility model), DK, DK (utility  
model), DM, DZ, EE, EE (utility model), ES, FI, FI (utility  
model), GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP,  
KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA,  
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RU, SD, SE, SG, SI, SK, SK (utility model), SL, TJ, TM,  
TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZW.

(84) Designated States (regional): ARIPO patent (GH, GM,  
KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW), Eurasian  
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patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE,  
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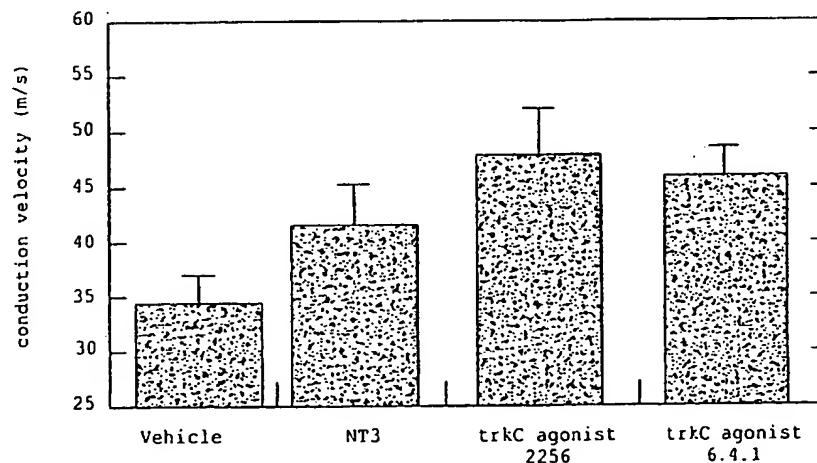
**Published:**

- with international search report
- before the expiration of the time limit for amending the  
claims and to be republished in the event of receipt of  
amendments

[Continued on next page]

(54) Title: AGONIST ANTI-TRK-C MONOCLONAL ANTIBODIES

**Treatment with trkC Agonists Reverses Cisplatin-Induced Sensory Neuropathy**



(57) Abstract: The invention concerns agonist anti-trkC monoclonal antibodies which mimic certain biological activities of NT-3, the native ligand of trkC. The invention further concerns the use of such antibodies in the prevention and/or treatment of cellular degeneration, including nerve cell damage associated with acute nervous cell system injury and chronic neurodegenerative diseases, including peripheral neuropathy.

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(88) Date of publication of the international search report:  
2 May 2002

*For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.*

# INTERNATIONAL SEARCH REPORT

International Application No.

PCT/US 01/20153

## A. CLASSIFICATION OF SUBJECT MATTER

IPC 7 C12N15/13 C07K16/28 C12N5/10 C12N15/63 A61K39/395  
A61P25/00 A61P25/28 A61P9/00

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 C07K

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

BIOSIS, EMBASE, WPI Data, PAJ, EPO-Internal

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	WO 97 21732 A (MCGILL UNIVERSITY) 19 June 1997 (1997-06-19) examples claims	1-69
A	WO 98 49308 A (GENENTECH INC.) 5 November 1998 (1998-11-05) examples claims	1-69

☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

### \* Special categories of cited documents:

- \*A\* document defining the general state of the art which is not considered to be of particular relevance
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- \*L\* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- \*O\* document referring to an oral disclosure, use, exhibition or other means
- \*P\* document published prior to the international filing date but later than the priority date claimed

- \*T\* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- \*X\* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- \*Y\* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
- \*&\* document member of the same patent family

Date of the actual completion of the international search

18 February 2002

Date of mailing of the international search report

01/03/2002

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Authorized officer

Nooij, F



# INTERNATIONAL SEARCH REPORT

International Application No

PCT/US 01/20153

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	<p>R. URFER ET AL.: "High resolution mapping of the binding site of TrkA for nerve growth factor and TrkC for neurotrophin-3 on the second immunoglobulin-like domain of the Trk receptors."  THE JOURNAL OF BIOLOGICAL CHEMISTRY,  vol. 273, no. 10,  6 March 1998 (1998-03-06), pages  5829-5840, XP002116515  Baltimore, MD, USA  page 5835, left-hand column, line 31  -right-hand column, line 14  table IV</p>	1-69
A	<p>M. MENDEZ ET AL.: "Functional transplant of megabase human immunoglobulin loci recapitulates human antibody response in mice."  NATURE GENETICS,  vol. 15, no. 2, February 1997 (1997-02),  pages 146-156, XP002067603  New York, NY, USA  cited in the application  abstract</p>	1-69
T	<p>J. HONGO ET AL.: "Agonist monoclonal antibodies to human trkC receptor map to epitopes overlapping with NT-3 binding site."  SOCIETY FOR NEUROSCIENCE ABSTRACTS,  vol. 27, no. 1, 2001, page 356 XP001053704  abstract 137.4</p>	1-69

FURTHER INFORMATION CONTINUED FROM PCT/SA/ 210

Continuation of Box I.1

Although claims 50-57 and 59-63 (all completely) and claims 58 and 64 (both partially, as far as an in vivo method is concerned) are directed to a method of treatment of the human/animal body, the search has been carried out and based on the alleged effects of the compound/composition.

FURTHER INFORMATION CONTINUED FROM PCT/SA/ 210

This International Searching Authority found multiple (groups of) inventions in this international application, as follows:

1. Claims: 1-11, 27-48, 50-57, 59-69 (all completely), 21-26, 49, 58 (all partially)

Agonist monoclonal antibody against trkC, its encoding nucleic acid, its manufacture, and its therapeutic use.

2. Claims: 12-20 (all completely), 21-26, 49, 58 (all partially)

Antibodies against trkC with specified CDR amino acid sequences.

# INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/US 01/20153

Patent document cited in search report		Publication date		Patent family member(s)		Publication date
WO 9721732	A	19-06-1997	AU	7689496 A		03-07-1997
			WO	9721732 A1		19-06-1997
			EP	0869976 A1		14-10-1998
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WO 9849308	A	05-11-1998	US	6333310 B1		25-12-2001
			AU	7154698 A		24-11-1998
			EP	0977860 A1		09-02-2000
			JP	2001527402 T		25-12-2001
			WO	9849308 A1		05-11-1998
			ZA	9803289 A		20-10-1999
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International Bureau



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(10) International Publication Number  
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(51) International Patent Classification<sup>7</sup>: C12N 15/13,  
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25/00, 25/28, 9/00

Redwood City, CA 94061 (US). PRESTA, Leonard, G.  
[US/US]; 19 Gough Street, #206, San Francisco, CA 94109  
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(21) International Application Number: PCT/US01/20153

(74) Agent: ALTMAN, Daniel, E.; Knobbe, Martens, Olson &  
Bear, LLP, 620 Newport Center Drive, 16th Floor, Newport  
Beach, CA 92660 (US).

(22) International Filing Date: 22 June 2001 (22.06.2001)

(25) Filing Language: English

(81) Designated States (*national*): AE, AG, AL, AM, AT, AU,  
AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CR, CU,  
CZ (utility model), CZ, DE (utility model), DE, DK (utility  
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IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV,  
MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, PL, PT,  
RO, RU, SD, SE, SG, SI, SK (utility model), SK, SL, TJ,  
TM, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZW.

(26) Publication Language: English

(30) Priority Data:  
60/213,141 22 June 2000 (22.06.2000) US  
60/238,319 5 October 2000 (05.10.2000) US

(71) Applicant (*for all designated States except US*): GENEN-  
TECH, INC. [US/US]; One DNA Way, South San Fran-  
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(84) Designated States (*regional*): ARIPO patent (GH, GM,  
KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW), Eurasian  
patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European  
patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE,  
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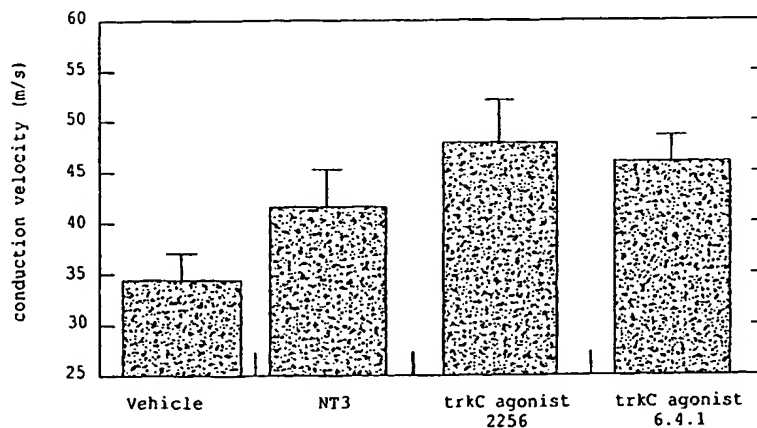
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(75) Inventors/Applicants (*for US only*): DEVAUX, Brigitte  
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(US). HONGO, Jo-Anne, S. [US/US]; 31 Shaw Court,

[Continued on next page]

(54) Title: AGONIST ANTI-TRK-C MONOCLONAL ANTIBODIES

Treatment with trkC Agonists Reverses Cisplatin-Induced Sensory Neuropathy



(57) Abstract: The invention concerns agonist anti-trkC monoclonal antibodies which mimic certain biological activities of NT-3, the native ligand of trkC. The invention further concerns the use of such antibodies in the prevention and/or treatment of cellular degeneration, including nerve cell damage associated with acute nervous cell system injury and chronic neurodegenerative diseases, including peripheral neuropathy.

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## AGONIST ANTI-TRK-C MONOCLONAL ANTIBODIES

Background of the InventionField of the Invention

5 This invention concerns agonist anti-trkC monoclonal antibodies. It further concerns the use of the agonist antibodies in the prevention and/or treatment of cellular degeneration, including nerve cell damage associated with acute nervous cell system injury and chronic neurodegenerative diseases, including peripheral neuropathy.

Description of the Related Art

10 Neurotrophins are a family of small, basic proteins, which play a crucial role in the development and maintenance of the nervous system. The first identified and probably best understood member of this family is nerve growth factor (NGF), which has prominent effects on developing sensory and sympathetic neurons of the peripheral nervous system (Levi-Montalcini, R. and Angeletti, P.U., Physiol. Rev. **48**, 534-569 [1968]; Thoenen, H. *et al.*, Rev. Physiol. Biochem. Pharmacol. **109**, 145-178 [1987]). Although NGF had been known for a long time, including a  
15 homolog from the mouse submandibular gland, the mature, active form of which is often referred to as - or 2.5S NGF, it was only many years later that sequentially related but distinct polypeptides with similar functions were identified.

The first in line was a factor called brain-derived neurotrophic factor (BDNF), which was cloned and sequenced by Leibrock, J. *et al.* (Nature **341**, 149-152 [1989]). This factor was originally purified from pig brain (Barde, Y.A. *et al.*, EMBO J. **1**, 549-553 [1982]), but it was not until its cDNA was cloned and sequenced that its  
20 homology with NGF became apparent. The overall amino acid sequence identity between NGF and BDNF is about 50%. In view of this finding, Leibrock *et al.* speculated that there was no reason to think that BDNF and NGF should be the only members of a family of neurotrophins having in common structural and functional characteristics.

Indeed, further neurotrophins closely related to -NGF and BDNF have since been discovered. Several groups identified a neurotrophin originally called neuronal factor (NF), and now referred to as neurotrophin-3 (NT-3) (Ernfors *et al.*, Proc. Natl. Acad. Sci. USA **87**, 5454-5458 (1990); Höhn *et al.*, Nature **344**, 339 [1990]; Maisonpierre *et al.*,  
25 Science **247**, 1446 [1990]; Rosenthal *et al.*, Neuron **4**, 767 [1990]; Jones and Reichardt, Proc. Natl. Acad. Sci. USA **87**, 8060-8064 (1990); Kaisho *et al.*, FEBS Lett. **266**, 187 [1990]. NT-3 shares about 50% of its amino acids with both -NGF and BDNF (NT-2). Neurotrophins-4 and -5 (NT-4 and NT-5), have been added to the family (U.S. Patent No. 5,364,769 issued November 15, 1994; Hallbook, F. *et al.*, Neuron **6**, 845-858 [1991]; Berkmeier, L.R. *et al.*, Neuron **7**,  
30 857-866 [1991]; Ip *et al.*, Proc. Natl. Acad. Sci. USA **89**, 3060-3064 [1992]). The mammalian molecule initially described by Berkmeier *et al. supra*, which was subsequently seen to be the homolog of *Xenopus* NT-4, is usually referred to as NT-4/5. In addition, there is an acidic homologous protein described in mammals which is referred to as NT-6 (Berkmeier, *et al.*, Somat. Cell Mol. Genet. **18**(3):233-245 [1992]). More recently, another homologous protein from the fish, *Xiphophorus* has also been labeled NT-6 (Gotz *et al.*, Nature **372**:266-269 [1994]). There are two  
35 proteins described in the literature as NT-7, one cloned from the carp, *Cyprinus*, (Lai, *et al.*, Mol. Cell. Neurosci. **11**(1-

2):64-76 [1998]) and one from the zebrafish, *Danio* (Nilsson *et al.*, *FEBS Letters* 424(3):285-90 [1998]). None of these last three described fish neurotrophins has been described outside fish, and their relationship to any mammalian neurotrophins is unclear. The amino acid sequence of zebrafish neurotrophin-7 (zNT-7) is more closely related to that of fish nerve growth factor (NGF) and neurotrophin-6 (NT-6) than to that of any other neurotrophin. zNT-7 is, however, equally related to fish NGF and NT-6 (65% and 63% amino acid sequence identity, respectively) indicating that it represents a distinct neurotrophin sequence. zNT-7 contains a 15 amino acid residue in a beta-turn region in the middle of the mature protein. Recombinant zNT-7 was able to bind to the human p75 neurotrophin receptor and to induce tyrosine phosphorylation of the rat trkA receptor tyrosine kinase, albeit less efficiently than rat NGF. zNT-7 did not interact with rat trkB or trkC, indicating a similar receptor specificity as NGF. We propose that a diversification of the NGF subfamily in the neurotrophin evolutionary tree occurred during the evolution of teleost fishes which in the appearance of several additional members, such as zNT-7 and NT-6, is structurally and functionally related to NGF.

Neurotrophins, similarly to other polypeptide growth factors, affect their target cells through interaction with cell surface receptors. According to our current knowledge, two kinds of transmembrane glycoproteins serve as receptors for neurotrophins. Equilibrium binding studies have shown that neurotrophin-responsive neurons possess a common low molecular weight (65-80 kDa), low affinity receptor (LNGFR), also termed as p75<sup>NTR</sup> or p75, which binds NGF, BDNF, and NT-3 with a  $K_D$  of  $2 \times 10^{-8}$  M, and large molecular weight (130-150 kDa), high affinity ( $K_D$  in the  $10^{-11}$  M) receptors, which are members of the trk family of the receptor tyrosine kinases.

The first member of the trk receptor family, trkA, was initially identified as the result of an oncogenic transformation caused by the translocation of tropomyosin sequences onto its catalytic domain (Martin-Zanca *et al.*, *Mol. Cell. Biol.* 9(1):24-33 [1989]). Later work identified trkA as a signal transducing receptor for NGF. Subsequently, two other related receptors, mouse and rat trkB (Klein *et al.*, *EMBO J.* 8, 3701-3709 [1989]; Middlemas *et al.*, *Mol. Cell. Biol.* 11, 143-153 [1991]; EP 455,460 published 6 November 1991) and porcine, mouse and rat trkC (Lamballe *et al.*, *Cell* 66, 967-979 [1991]; EP 522,530 published 13 January 1993), were identified as members of the trk receptor family. The structures of the trk receptors are quite similar, but alternate splicing increases the complexity of the family by giving rise to two known forms of trkA, three known forms of trkB (two without functional tyrosine kinase domains) and at least four forms of trkC (several without functional tyrosine kinase domain, and two with small inserts in the tyrosine kinase domain).

The role of the p75 and trk receptors is controversial. It is generally accepted that trk receptor tyrosine kinases play an important role in conferring binding specificity to a particular neurotrophin, however, cell lines expressing trkA bind not only NGF but also NT-3 and NT-4/5 (but not BDNF), trkB expressing cells bind BDNF, NT-3, NT-4, and NT-4/5 (but not NGF), in contrast to trkC-expressing cells which have been reported to bind NT-3 alone (but not the other neurotrophins). Furthermore, it has been shown in model systems that the various forms of trk receptors, arising from alternate splicing events, can activate different intracellular signalling pathways, and therefore presumably mediate different physiological functions *in vivo*. It is unclear whether cells expressing a given trk receptor



in the absence of p75 bind neurotrophins with low or high affinity (Meakin and Shooter, Trends Neurosci. **15**, 323-331 [1992]).

Published results of studies using various cell lines are confusing and suggest that p75 is either essential or dispensable for neurotrophin responsiveness. Cell lines that express p75 alone bind NGF, BDNF, NT-3, and NT-4 with similar low affinity at equilibrium, but the binding rate constants are remarkably different. As a result, although p75-binding is a common property of all neurotrophins, it has been suggested the p75 receptor may also play a role in ligand discrimination (Rodriguez-Tebar et al., EMBO J. **11**, 917-922 [1992]). While the trk receptors have been traditionally thought of as the biologically significant neurotrophin receptors, it has recently been demonstrated that in melanoma cells devoid of trkA expression, NGF can still elicit profound changes in biological behavior presumably through p75 (Herrmann et al., Mol. Biol. Cell **4**, 1205-1216 [1993]). Davies et al. (Neuron **11**, 565-574 [1993]) reported the results of studies investigating the role of p75 in mediating the survival response of embryonic neurons to neurotrophins in a model of transgenic mice carrying a null mutation in the p75 gene. They found that p75 enhances the sensitivity of NGF-dependent cutaneous sensory neurons to NGF. There have now been many studies showing that p75 is capable of mediating at least some of the biological effects of the neurotrophins. The field is still somewhat controversial, but p75 signaling has been implicated in controlling cell death, and neurite outgrowth. (Barker, PA, Cell Death Diff. **5**:346-356 [1998]; Bredesen et al., Cell Death Diff. **5**:357-364 [1998]; Casaccia-Bonnel, et al., Cell Death Diff. **5**:357-364 [1998]; Raoul et al., Curr. Op. Neurobiol. **10**:111-117 [2000]; Davies, AM, Curr. Biol. **10**:R198-R200 [2000]). Importantly, stimulation of p75 has been shown to modify the effects of stimulating trkC (Hapner, et al., Developm. Biol. **201**:90-100 [1998]).

The extracellular domains of full-length native trkA, trkB and trkC receptors have five functional domains, that have been defined with reference to homologous or otherwise similar structures identified in various other proteins. The domains have been designated starting at the N-terminus of the amino acid sequence of the mature trk receptors as 1) a first cysteine-rich domain extending from amino acid position 1 to about amino acid position 32 of human trkA, from amino acid position 1 to about amino acid position 36 of human trkB, and from amino acid position 1 to about amino acid position 48 of human trkC; 2) a leucine-rich domain stretching from about amino acid 33 to about amino acid to about amino acid 104 in trkA; from about amino acid 37 to about amino acid 108 in trkB, and from about amino acid 49 to about amino acid 120 in trkC; 3) a second cysteine-rich domain from about amino acid 105 to about amino acid 157 in trkA; from about amino acid 109 to about amino acid 164 in trkB; and from about amino acid 121 to about amino acid 177 in trkC; 4) a first immunoglobulin-like domain stretching from about amino acid 176 to about amino acid 234 in trkA; from about amino acid 183 to about amino acid 239 in trkB; and from about amino acid 196 to about amino acid 257 in trkC; and 5) a second immunoglobulin-like domain extending from about amino acid 264 to about amino acid 330 in trkA; from about amino acid 270 to about amino acid 334 in trkB; and from about amino acid 288 to about amino acid 351 in trkC.

Neurotrophins exhibit actions on distinct, but overlapping, sets of peripheral and central neurons. These effects range from playing a crucial role in ensuring the survival of developing neurons (NGF in sensory and

sympathetic neurons) to relatively subtle effects on the morphology of neurons (NT-3 on purkinje cells). These activities have led to interest in using neurotrophins as treatments of certain neurodegenerative diseases. NT-3 has also been found to promote proliferation of peripheral blood leukocytes and, as a result, it has been suggested that NT-3 can be used in the treatment of neutropenia, infectious disease and tumors (U.S. Patent No. 6,015,552 issued on June 18, 2000).

The roles of neurotrophins in regulating cardiovascular development and modulating the vascular response to injury have also been investigated (Donovan *et al.*, Nature Genetics 14:210-213 [1996]; Donovan *et al.*, A.J. Path. 147:309-324 [1995]; Kraemer *et al.*, Arteriol. Thromb. and Vasc. Biol. 19:1041-1050 [1999]). Neurotrophins have been described as potential therapeutics for regulating angiogenesis and vascular integrity (PCT Publication WO 00/24415, published May 4, 2000).

Despite their promise in the treatment of cellular degeneration, such as occurs due to neurodegenerative disease and acute neuronal injuries, and potentially angiogenesis, neurotrophins have several shortcomings. One significant shortcoming is the lack of specificity. Most neurotrophins cross-react with more than one receptor. For example NT-3, the preferred ligand of the trkC receptor tyrosine kinase, also binds to and activates trkA and trkB (Barbacid, J. Neurobiol. 25:1386-1403 [1994]; Barbacid, Ann. New York Acad. Sci. 766:442-458 [1995]; Ryden and Ibanez, J. Biol. Chem. 271:5623-5627 [1996]; Belliveau *et al.*, J. Cell. Biol. 136:375-388 [1997]; Farinas *et al.*, Neuron 21:325-334 [1998]). As a result, it is difficult to devise therapies that target a specific population of neurons. Another limitation of neurotrophin therapy is that neurotrophins, including NT-3 are known to elicit hyperalgesia (Chaudhry, *et al.*, Muscle and Nerve 23:189-192 [2000]). In addition, some neurotrophins such as NT-3 have poor pharmacokinetic and bioavailability properties in rodents, which raise serious questions about their human clinical applications (Haase *et al.*, J. Neurol. Sci. 160:S97-S105 [1998], dosages used in Helgren *et al.*, J. Neurosci. 17(1):372-82 [1997], and data below).

Accordingly, there is a great need for the development of new therapeutic agents for the treatment of neurodegenerative disorders and acute nerve cell injuries that are devoid of the known shortcomings of neurotrophins.

#### Summary of the Invention

The current invention is based on the development and characterization of agonist anti-trkC monoclonal antibodies, directed against epitopes in the extracellular domain of trkC receptor, which mimic the biological activities of NT-3, the natural ligand of trkC receptor but are free of some of the known detriments of NT-3. The invention also demonstrates the usefulness of these agonist antibodies in the treatment of neuropathy in an experimental animal model. Anti-trkC agonist antibodies offer numerous advantages over NT-3 in prophylactic or therapeutic treatment of cellular degeneration, such as nerve cell damage, in particular nerve cell injury associated with neurodegenerative diseases, such as peripheral neuropathies or due to external factors, such as trauma, toxic agents, surgery, just to mention a few.

In one aspect, the invention concerns an agonist anti-trkC monoclonal antibody which

- (a) shows no significant cross-reactivity with trkA or trkB; and
- (b) recognizes an epitope in domain 5 of trkC.

Certain agonist antibodies of the present invention may additionally recognize an epitope in domain 4 of trkC. In a preferred embodiment, the antibodies bind both human and rodent (e.g. rat or mouse) trkC, and may be murine, chimeric (including humanized) or human antibodies. The antibodies mimic at least one activity of the native trkC ligand, NT-3, and may thus be effective in the prevention and/or treatment of various diseases involving cellular degeneration, including, for example, neuropathies, such as cisplatin- or pyridoxine-induced neuropathy, or diabetic neuropathy, and (where cellular degeneration involves bone marrow cell degeneration) disorders of insufficient blood cells, such as leukopenias including eosinopenia and/or basopenia, lymphopenia, monocytopenia, and neutropenia. In a particularly preferred embodiment, the agonist antibodies of the present invention show superior properties over NT-3, for example, do not cause hyperalgesia when administered to a patient, have increased bioavailability and/or higher specific activity as compared to NT-3.

In another aspect, the invention concerns an anti-trkC antibody heavy chain comprising the following CDR's: a CDR1 selected from the group consisting of SEQ ID NOs: 1, 2, 3, 4 and 5; a CDR2 selected from the group consisting of SEQ ID NOs: 6, 7, 8, 9, 10 and 11; and a CDR3 selected from the group consisting of SEQ ID NOs: 12, 13, 14, 15, 16 and 17.

In yet another aspect, the invention concerns an anti-trkC antibody light chain comprising the following CDR's: a CDR1 selected from the group consisting of SEQ ID NOs: 18, 19, 20, 21, 22, 23 and 24; a CDR2 selected from the group consisting of SEQ ID NOs: 25, 26, 27, 28, 29 and 30; and a CDR3 selected from the group consisting of SEQ ID NOs: 31, 32, 33, 34, 35 and 36.

In a further aspect, the invention concerns a murine anti-trkC antibody heavy chain comprising the following CDR's:

- (a) a CDR1 of the formula XaaWXaaXaaWVK (SEQ ID NO: 37), wherein Xaa at position 1 is F or Y; Xaa at position 3 is I or M; and Xaa at position 4 is E or H;
- (b) a CDR2 of the formula EIXaaPXaaXaaXaaTNYNEKFKXaa (SEQ ID NO: 38), wherein Xaa at position 3 is L or Y; Xaa at position 5 is G or S; Xaa at position 6 is S or N; Xaa at position 7 is D or G; Xaa at position 8 is N or R and Xaa at position 16 is G or S; and
- (c) a CDR3 of the formula KNRNYYGNYVV (SEQ ID NO: 12) or KYYYGNSYRSWYFDV (SEQ ID NO: 13).

In a still further aspect, the invention relates to a human anti-trkC antibody heavy chain comprising the following CDR's:

- (a) a CDR1 of the formula XaaXaaXaaYYWXaa (SEQ ID NO: 39), wherein Xaa at position 1 is S or I; Xaa at position 2 is G or S; Xaa at position 3 is G, T or Y, and Xaa at position 7 is S or N;
- (b) a CDR2 of the formula XaaXaaXaaSGSXaaTXaaNPSLKS (SEQ ID NO: 40), wherein Xaa at position 1 is Y or R; Xaa at position 3 is Y or F; Xaa at position 4 is Y or T; Xaa at position 8 is S or R; and Xaa at position 10 is N or Y; and

(c) a CDR3 of the formula selected from the group consisting of DRDYDSTGDYYSYGM DV (SEQ ID NO: 14); DGGYSNPFD (SEQ ID NO: 15); ERIAAAGXaaDYYYNGLXaaV (SEQ ID NO: 41), wherein Xaa at position 8 is A or T and Xaa at position 16 is D or A.

5 In another aspect, the invention concerns an anti-trkC agonist monoclonal antibody comprising a heavy chain comprising the CDR's of the murine anti-trkC antibody heavy chain of claim 14 associated with a light chain. The antibody preferably is human or comprises human framework residues, and preferably shows no significant cross-reactivity with trkA or trkB. Throughout the application, antibodies are defined in the broadest sense, and specifically include antibody fragment, such as an Fv fragment, Fab fragment, Fab' or F(ab')<sub>2</sub> fragment. Antibodies of all classes and isotypes are included, but IgG, in particular IgG-2 and IgG-4 are preferred.

10 In yet another aspect, the invention concerns isolated nucleic acid encoding a murine or human anti-trkC agonist antibody heavy or light chain, or a fragment thereof. In a specific embodiment, the nucleic acid is a nucleic acid molecule deposited with ATCC on June 21, 2000 under an accession number selected from the group consisting of PTA-2133, PTA-2134, PTA-2135, PTA-2136, PTA-2137, PTA-2138, PTA-2139, PTA-2140, PTA-2141, PTA-2142 and PTA-2143.

15 In a further aspect, the invention concerns a vector comprising a nucleic acid molecule encoding an antibody heavy and/or light chain as hereinabove defined. The invention also concerns cells transformed with such nucleic acid. The invention further concerns hybridoma cell lines transformed with such nucleic acid and antibodies produced by such hybridoma cells.

20 In a still further aspect, the invention concerns a pharmaceutical composition comprising an effective amount of an agonist anti-trkC monoclonal antibody as hereinabove defined in admixture with a pharmaceutically acceptable carrier.

In another aspect, the invention concerns a method for treating a disease or condition involving cell degeneration, comprising administering to a mammal an effective amount of an agonist anti-trkC antibody disclosed herein.

25 In yet another aspect, the invention concerns a method for treating a neuropathy or neurodegenerative disease, or repairing a damaged nerve cell comprising administering to a mammal an effective amount of an agonist anti-trkC antibody disclosed herein. The neuropathy may, for example, be a peripheral neuropathy, including, without limitation, diabetic neuropathy and large-fiber sensory neuropathies. The neurodegenerative disease may, for example, be amyotrophic lateral sclerosis (ALS), Alzheimer's disease, Parkinson's disease, Huntington's disease. The damaged  
30 neurons may be peripheral, such as sensory, e.g. dorsal root ganglia neurons, motor neurons, e.g. neurons from the spinal cord, or central neurons, and the injury may be due to a variety of external and internal factors, including trauma, exposure to neurotoxins, metabolic diseases, infectious agents, etc.

In a further aspect, the invention concerns a method for promoting the development, proliferation, maintenance or regeneration of peripheral neurons, comprising contacting such neurons with an effective amount of an  
35 antibody of the present invention.

In a still further aspect, the invention concerns a method for the treatment (including prevention) of a disease or condition involving cell degeneration in a mammalian subject by introducing nucleic acid encoding an anti-trkC antibody herein into a cell of such subject. The method (gene therapy) preferably concerns the treatment of a neuropathy or neurodegenerative disease, or reparation of a damaged nerve cell. Accordingly, the recipient cells preferably are nerve cells.

In yet another aspect, the invention concerns delivery vehicles containing genetic material (nucleic acid) encoding an anti-trkC antibody suitable for gene therapy use.

In an additional aspect, the invention concerns a method of inducing angiogenesis by delivering an anti-trkC antibody of the present invention in an amount effective to induce angiogenesis. The delivery specifically includes the administration of the antibodies and the delivery of nucleic acid encoding the antibodies (e.g. in gene therapy).

In yet another aspect, the invention concerns an isolated nucleic acid molecule encoding a murine or human anti-trkC agonist antibody heavy or light chain selected from the group consisting of SEQ ID NO: 58, SEQ ID NO: 59, SEQ ID NO: 60, SEQ ID NO: 61, SEQ ID NO: 62, SEQ ID NO: 63, SEQ ID NO: 64, SEQ ID NO: 65; SEQ ID NO: 66; SEQ ID NO: 67, SEQ ID NO: 68, SEQ ID NO: 69, SEQ ID NO: 70 and SEQ ID NO: 71. The present invention also concerns a polypeptide encoded by one or more of the isolated nucleic acid molecules.

In another aspect, the invention concerns a whole cell transformed with nucleic acid encoding murine or human anti-trkC agonist antibody heavy chain, light chain or both heavy and light chain.

#### Brief Description of the Drawings

Figures 1A-D show agonist activity of various human (A and C) and murine (B and D) monoclonal antibodies against trkC receptor demonstrated using KIRA (A and B) and PC12 neurite outgrowth assay (C and D). Protein A purified monoclonal antibodies were diluted to 27 µg/ml in KIRA stimulation buffer (F12/DMEM 50:50 containing 2% bovine serum albumin (BSA, Interger Co., Purchase, NY) and 25 mM Hepes, 0.2 µm filtered). The monoclonal antibodies were then diluted 1:3 (8 dilutions total; concentrations ranged from 0.01-180 nM Nab) in stimulation media. GD-transfected CHO cells (5 x 10<sup>4</sup> cells/well) were then stimulated with either NT-3 or Mab (dilutions assayed in duplicate) for 6 hours and the assay was completes as described in the examples (Fig. 1A, human Mabs; Fig. 1B, murine Mabs). The purified Mabs were assayed for agonist activity in the PC12 neurite outgrowth assay as described in the examples. Rat PC12 cells were transfected with full-length human trkC and the cells plated at a density of 1000 cells/well. Three days following transfection, the Mabs were added in triplicate (concentrations ranging from 0.0002 to 2.7 nM) to the wells containing the trkC transfectants and incubated for an additional 3 days at 37 °C. The cells were then analyzed by phase contrast microscopy and cells with neurites exceeding two-times the diameter of the cell were counted.

Figure 2 shows that agonist anti-trkC monoclonal antibodies bind specifically to trkC using 6.1.2 antibody as a representative example.

Figure 3 demonstrates that agonist anti-trkC monoclonal antibodies recognize human trkC more efficiently than rat trkC. The ability of the monoclonal antibodies to bind rat trkC was determined using an immunoadhesin construct of the receptor. TrkC (human trkC-gD or rat trkC-IgG) was immobilized on microtiter plates (100  $\mu$ l of a 1  $\mu$ g/ml solution diluted in 50 mM carbonate buffer, pH 9.5) overnight. The plates were washed and blocked. The Mabs  
 5 were then diluted to 1  $\mu$ g/ml in PBS containing 0.5% BSA and 0.05% Tween 20, added to the appropriate wells (100  $\mu$ l/well), and incubated for one hour at room temperature. The plates were washed and the appropriate HRP conjugate was added (human Mabs: goat anti-human  $\kappa$ -HRP, 1:5K; murine Mabs: goat anti-molIgG (Fc)-HRP, 1:5 K) and incubated for one hour at room temperature. The plates were then washed, developed and read.

Figure 4 shows a representative example of epitope mapping using competition ELISA. A biotinylated human  
 10 anti-trkC 6.1.2 monoclonal antibody was incubated with immobilized trkC in the absence or presence of excess of various unlabeled anti-trkC monoclonal antibodies.

Figure 5 summarizes the results of epitope mapping using competition ELISA.

Figures 6A-C show a schematic diagram of various trkC chimera (A) and their use in mapping of epitopes on trkC recognized by various agonist human (B) and murine (C) anti-trkC monoclonal antibodies.

15 Figure 7 shows amino acid sequence of human trkC domain 4 and 5 showing residues that were targeted for mutagenesis to decipher their roles in recognition by agonist anti-trkC monoclonal antibodies.

Figure 8 shows 3-dimensional ribbon diagram of trkC in complex with anti-trkC monoclonal antibodies. Specifically shown are the amino acid residues of trkC that are likely to play an important role in recognition by CDRs of anti-trkC antibodies.

20 Figure 9 shows the amino acid sequence of the heavy chain variable ( $V_H$ ) region from murine and human anti-trkC agonist monoclonal antibodies. In addition, the three CDR regions (CDR1, CDR2 and CDR3) are highlighted in bold. The amino acid sequence of CDR1 of the 2250 and 2253 heavy chain is SEQ ID NO: 1. The amino acid sequence of CDR1 of the 2256 heavy chain is SEQ ID NO: 2. The amino acid sequence of CDR1 of the 6.1.2 and 2345 heavy chain is SEQ ID NO: 3. The amino acid sequence of CDR1 of the 6.4.1 heavy chain is SEQ ID NO: 4. The amino  
 25 acid sequence of CDR1 of the 2349 heavy chain is SEQ ID NO: 5. The amino acid sequence of CDR2 of the 2250 and 2253 heavy chain is SEQ ID NO: 6. The amino acid sequence of CDR2 of the 2256 heavy chain is SEQ ID NO: 7. The amino acid sequence of CDR2 of the 6.1.2 heavy chain is SEQ ID NO: 8. The amino acid sequence of CDR2 of the 6.4.1 heavy chain is SEQ ID NO: 9. The amino acid sequence of CDR2 of the 2345 heavy chain is SEQ ID NO: 10. The amino acid sequence of CDR2 of the 2349 heavy chain is SEQ ID NO: 11. The amino acid sequence of CDR3 of the  
 30 2250 and 2253 heavy chain is SEQ ID NO: 12. The amino acid sequence of CDR3 of the 2256 heavy chain is SEQ ID NO: 13. The amino acid sequence of CDR3 of the 6.1.2 heavy chain is SEQ ID NO: 14. The amino acid sequence of CDR3 of the 6.4.1 heavy chain is SEQ ID NO: 15. The amino acid sequence of CDR3 of the 2345 heavy chain is SEQ ID NO: 16. The amino acid sequence of CDR3 of the 2349 heavy chain is SEQ ID NO: 17.

Figure 10 shows the amino acid sequence of the light chain variable ( $V_L$ ) region from murine and human anti-trkC agonist monoclonal antibodies. In addition, the three CDR regions (CDR1, CDR2 and CDR3) are highlighted in bold. The amino acid sequence of CDR1 of the 2250 light chain is SEQ ID NO: 18. The amino acid sequence of CDR1 of the 2253 light chain is SEQ ID NO: 19. The amino acid sequence of CDR1 of the 2256 light chain is SEQ ID NO: 20.

5 The amino acid sequence of CDR1 of the 6.1.2 light chain is SEQ ID NO: 21. The amino acid sequence of CDR1 of the 6.4.1 light chain is SEQ ID NO: 22. The amino acid sequence of CDR1 of the 2345 light chain is SEQ ID NO: 23. The amino acid sequence of CDR1 of the 2349 light chain is SEQ ID NO: 24. The amino acid sequence of CDR2 of the 2250 light chain is SEQ ID NO: 25. The amino acid sequence of CDR2 of the 2253 light chain is SEQ ID NO: 26. The amino acid sequence of CDR2 of the 2256 light chain is SEQ ID NO: 27. The amino acid sequence of CDR2 of the

10 6.1.2 light chain is SEQ ID NO: 28. The amino acid sequence of CDR2 of the 6.4.1 light chain is SEQ ID NO: 29. The amino acid sequence of CDR2 of the 2345 and 2349 light chain is SEQ ID NO: 30. The amino acid sequence of CDR3 of the 2250 light chain is SEQ ID NO: 31. The amino acid sequence of CDR3 of the 2253 light chain is SEQ ID NO: 32. The amino acid sequence of CDR3 of the 2256 light chain is SEQ ID NO: 33. The amino acid sequence of CDR3 of the 6.1.2 light chain is SEQ ID NO: 34. The amino acid sequence of CDR3 of the 6.4.1 light chain is SEQ ID NO: 35. The

15 amino acid sequence of CDR3 of the 2345 and 2349 light chain is SEQ ID NO: 36.

Figure 11 shows amino acid sequence of CDRs of heavy and light variable chains of murine and human anti-trkC agonist monoclonal antibodies. Also shown are the families to which these sequences belong based on homology with CDR sequences available in databases.

Figure 12 shows that anti-trkC agonist monoclonal antibodies have improved half-life and bioavailability *in vivo*.

20

Figure 13 shows effect of anti-trkC agonist monoclonal antibodies on cisplatin-induced neuropathy.

Figure 14 shows decrease in marker expression caused by pyridoxine neuropathy.

Figure 15 shows amelioration of the effects of low doses of pyridoxine by agonist anti-trkC monoclonal antibodies.

25 Figure 16 shows amelioration of the effects of high doses of pyridoxine by agonist anti-trkC monoclonal antibodies.

Figure 17 shows amelioration of pyridoxine neuropathy by an anti-trkC agonist monoclonal antibody.

Figure 18 shows attenuation of pyridoxine-induced deficit of ladder by agonist anti-trkC monoclonal antibodies.

30 Figure 19 shows that NT3, but not anti-trkC agonist monoclonal antibodies, causes hyperalgesia at therapeutic doses.

Figure 20 shows the amino acid sequence of human trkC receptor (SEQ ID NO: 56) where the boundaries of domains 4 and 5 are indicated.

Figure 21 (in 2 pages) shows the nucleotide sequence of human trkC receptor (SEQ ID NO: 57).

Figure 22 shows the nucleotide sequence of the heavy chain (A; SEQ ID NO: 58) and light chain (B; SEQ ID NO: 59) of the anti-trkC agonist monoclonal antibody 2250.

Figure 23 shows the nucleotide sequence of the heavy chain (A; SEQ ID NO: 60) and light chain (B; SEQ ID NO: 61) of the anti-trkC agonist monoclonal antibody 2253.

5        Figure 24 shows the nucleotide sequence of the heavy chain (A; SEQ ID NO: 62) and light chain (B; SEQ ID NO: 63) of the anti-trkC agonist monoclonal antibody 2256.

Figure 25 shows the nucleotide sequence of the heavy chain (A; SEQ ID NO: 64) and light chain (B; SEQ ID NO: 65) of the anti-trkC agonist monoclonal antibody 2345.

10       Figure 26 shows the nucleotide sequence of the heavy chain (A; SEQ ID NO: 66) and light chain (B; SEQ ID NO: 67) of the anti-trkC agonist monoclonal antibody 2349.

Figure 27 shows the nucleotide sequence of the heavy chain (A; SEQ ID NO: 68) and light chain (B; SEQ ID NO: 69) of the anti-trkC agonist monoclonal antibody 6.1.2.

Figure 28 shows the nucleotide sequence of the heavy chain (A; SEQ ID NO: 70) and light chain (B; SEQ ID NO: 71) of the anti-trkC agonist monoclonal antibody 6.4.1.



### Detailed Description of the Preferred Embodiment

#### A. Definitions

The term "neurotrophin" and its grammatical variants are used interchangeably, and refer to a family of polypeptides comprising nerve growth factor (NGF) and sequentially related homologs. NGF, brain-derived growth factor (BDNF, a.k.a. NT-2), neurotrophin-3 (NT-3), neurotrophins-4 and -5 (NT-4/5), neurotrophin-6 (NT-6), and neurotrophin-7 (NT-7) have so far been identified as members of this family.

The term "neurotrophin" includes native neurotrophins of any (human or non-human) animal species, and their functional derivatives, whether purified from a native source, prepared by methods of recombinant DNA technology, or chemical synthesis, or any combination of these or other methods. "Native" or "native sequence" neurotrophins have the amino acid sequence of a neurotrophin occurring in nature in any human or non-human animal species, including naturally-occurring truncated and variant forms, and naturally-occurring allelic variants.

The terms "trk", "trk polypeptide", "trk receptor" and their grammatical variants are used interchangeably and refer to polypeptides of the receptor tyrosine kinase superfamily, which are capable of binding at least one native neurotrophin. Currently identified members of this family are trkA (p140<sup>trkA</sup>), trkB, and trkC.

The expression "extracellular domain" or "ECD" when used herein refers to any polypeptide sequence that shares a ligand binding function of the extracellular domain of a naturally occurring receptor. Ligand binding function of the extracellular domain refers to the ability of the polypeptide to bind to a ligand. Accordingly, it is not necessary to include the entire extracellular domain since smaller segments have been found to be adequate for ligand binding. The truncated extracellular domain is generally soluble. The term ECD encompasses polypeptide sequences in which the hydrophobic transmembrane sequence (and, optionally, 1-20 amino acids C-terminal and/or N-terminal to the transmembrane domain) of the mature receptor has been deleted.

The term "agonist anti-trkC antibody" refers to an antibody, which is able to bind to and activate a native sequence trkC receptor and/or downstream pathways mediated by the trkC signaling function thereby mimicking a biological activity of a native ligand of the receptor, in particular NT-3. For example, the agonist antibody may bind to the ECD domain of a trkC receptor and thereby cause dimerization of the receptor, resulting in activation of the intracellular catalytic kinase domain. Consequently, this may result in stimulation of growth and/or differentiation of cells expressing the receptor *in vitro* and/or *in vivo*. The agonist antibodies of the present invention preferably recognize an epitope that includes at least part of domain 5 (amino acid positions from about 266 to about 381) and/or domain 4 (amino acid position from about 178 to about 265) of the human trkC receptor or a corresponding epitope on a non-human, e.g. murine trkC receptor.

"Biological activity", when used in conjunction with the agonist anti-trkC antibodies of the present invention, generally refers to having an effector function in common with NT-3, the native ligand of trkC. The effector function preferably is the ability to bind and activate the trkC receptor tyrosine kinase and/or downstream pathways mediated by the trkC signaling function. Without limitation, preferred biological activities include the ability to promote the development, proliferation, maintenance and/or regeneration of damaged cells, in particular neurons *in vitro* or *in vivo*,

including peripheral (sympathetic, parasympathetic, sensory, and enteric) neurons, motoneurons, and central (brain and spinal cord) neurons, and non-neuronal cells, e.g. peripheral blood leukocytes. A particularly preferred biological activity is the ability to treat (including prevention) a neuropathy, e.g. peripheral neuropathy or other neurodegenerative disease, or repair a damaged nerve cell. The damaged neurons may be sensory, sympathetic, parasympathetic, or enteric, e.g. dorsal root ganglia neurons, motoneurons, and central neurons, e.g. neurons from the spinal cord, and the damage may be of any cause, including trauma, toxic agents, surgery, stroke, ischemia, infection, metabolic disease, nutritional deficiency, and various malignancies. Another specific biological activity is the ability to induce angiogenesis.

As used herein, "treatment" is an approach for obtaining beneficial or desired clinical results. For purposes of this invention, beneficial or desired clinical results include, but are not limited to, alleviation of symptoms, diminishment of extent of disease, stabilized (i.e., not worsening) state of disease, delay or slowing of disease progression, amelioration or palliation of the disease state, and remission (whether partial or total), whether detectable or undetectable. "Treatment" can also mean prolonging survival as compared to expected survival if not receiving treatment. "Treatment" is an intervention performed with the intention of preventing the development or altering the pathology of a disorder. Accordingly, "treatment" refers to both therapeutic treatment and prophylactic or preventative measures. Those in need of treatment include those already with the disorder as well as those in which the disorder is to be prevented. Specifically, the treatment may directly prevent, slow down or otherwise decrease the pathology of cellular degeneration or damage, such as the pathology of nerve cells, or may render the cells, e.g. neurons more susceptible to treatment by other therapeutic agents. In a preferred embodiment, the treatment reduces or slows down the decline and/or stimulates the restoration of the function of target neurons.

The "pathology" of a (chronic) neurodegenerative disease or acute nervous system injury includes all phenomena that affect the well being of the patient including, without limitation, neuronal disfunction, degeneration, injury and/or death.

The terms "neurodegenerative disease" and "neurodegenerative disorder" are used in the broadest sense to include all disorders the pathology of which involves neuronal degeneration and/or disfunction, including, without limitation, peripheral neuropathies; motoneuron disorders, such as amyotrophic lateral sclerosis (ALS, Lou Gehrig's disease), Bell's palsy, and various conditions involving spinal muscular atrophy or paralysis; and other human neurodegenerative diseases, such as Alzheimer's disease, Parkinson's disease, epilepsy, multiple sclerosis, Huntington's chorea, Down's Syndrome, nerve deafness, and Meniere's disease.

"Peripheral neuropathy" is a neurodegenerative disorder that affects the peripheral nerves, most often manifested as one or a combination of motor, sensory, sensorimotor, or autonomic dysfunction. Peripheral neuropathies may, for example, be genetically acquired, can result from a systemic disease, or can be induced by a toxic agent, such as a neurotoxic drug, e.g. antineoplastic agent, or industrial or environmental pollutant. "Peripheral sensory neuropathy" is characterized by the degeneration of peripheral sensory neurons, which may be idiopathic, may occur, for example, as a consequence of diabetes (diabetic neuropathy), cytostatic drug therapy in cancer (e.g.

treatment with chemotherapeutic agents such as vincristine, cisplatin, methotrexate, 3'-azido-3'-deoxythymidine, or taxanes, e.g. paclitaxel (TAXOL®, Bristol-Myers Squibb Oncology, Princeton, NJ) and doxorubicin (DOXIL®, Rhône-Poulenc Rorer, Antony, France)), alcoholism, acquired immunodeficiency syndrome (AIDS), or genetic predisposition. Genetically acquired peripheral neuropathies include, for example, Refsum's disease, Krabbe's disease, Metachromatic leukodystrophy, Fabry's disease, Dejerine-Sottas syndrome, Abetalipoproteinemia, and Charcot-Marie-Tooth (CMT) Disease (also known as Proneural Muscular Atrophy or Hereditary Motor Sensory Neuropathy (HMSN)). Most types of peripheral neuropathy develop slowly, over the course of several months or years. In clinical practice such neuropathies are called chronic. Sometimes a peripheral neuropathy develops rapidly, over the course of a few days, and is referred to as acute. Peripheral neuropathy usually affects sensory and motor nerves together so as to cause a mixed sensory and motor neuropathy, but pure sensory and pure motor neuropathy are also known.

The term "toxic agent", as used in the context of the present invention, is meant to refer to a substance that, through its chemical action, injures, impairs, or inhibits the activity of a component of the nervous system. The long list of toxic agents (also referred to as "neurotoxic agents") includes, without limitation, chemotherapeutic agents, such as those listed above, alcohol, metals, industrial toxins, contaminants of food and medicines, etc.

"Mammal" for purpose of treatment refers to any animal classified as a mammal, including humans, domestic and farm animals, and zoo, sport or pet animals, such as dogs, horses, sheep, cats, cows, etc. Preferably, the mammal is human.

The term "trkC immunoadhesin" is used interchangeably with the expression "trkC-immunoglobulin chimera" and refers to a chimeric molecule that combines a portion of trkC (generally the extracellular domain thereof) with an immunoglobulin sequence. The immunoglobulin sequence preferably, but not necessarily, is an immunoglobulin constant domain. Chimeras constructed from a receptor sequence linked to an appropriate immunoglobulin constant domain sequence (immunoadhesins) are known in the art. Immunoadhesins reported in the literature include fusions of the T cell receptor\* (Gascoigne *et al.*, *Proc. Natl. Acad. Sci. USA*, 84: 2936-2940 [1987]); CD4\* (Capon *et al.*, *Nature* 337: 525-531 [1989]; Trautwein *et al.*, *Nature*, 339: 68-70 [1989]; Zettmeissl *et al.*, *DNA Cell Biol.* 9: 347-353 [1990]; Byrn *et al.*, *Nature*, 344: 667-670 [1990]; L-selectin (homing receptor) (Watson *et al.*, *J. Cell. Biol.*, 110:2221-2229 [1990]; Watson *et al.*, *Nature*, 349: 164-167 [1991]); CD44\* (Aruffo *et al.*, *Cell*, 61: 1303-1313 [1990]); CD28\* and B7\* (Linsley *et al.*, *J. Exp. Med.*, 173: 721-730 [1991]); CTLA-4\* (Lisley *et al.*, *J. Exp. Med.* 174: 561-569 [1991]); CD22\* (Stamenkovic *et al.*, *Cell*, 66:1133-1144 [1991]); TNF receptor (Ashkenazi *et al.*, *Proc. Natl. Acad. Sci. USA*, 88: 10535-10539 [1991]; Lesslauer *et al.*, *Eur. J. Immunol.*, 27:2883-2886 [1991]; Poppel *et al.*, *J. Exp. Med.*, 174:1483-1489 [1991]); NP receptors (Bennett *et al.*, *J. Biol. Chem.* 266:23060-23067 [1991]); and IgE receptor  $\alpha$ \* (Ridgway *et al.*, *J. Cell. Biol.*, 115:abstr. 1448 [1991]), where the asterisk (\*) indicates that the receptor is member of the immunoglobulin superfamily.

"Isolated" nucleic acid or polypeptide in the context of the present invention is a nucleic acid or polypeptide that is identified and separated from contaminant nucleic acids or polypeptides present in the animal or human source

of the nucleic acid or polypeptide. The nucleic acid or polypeptide may be labeled for diagnostic or probe purposes, using a label as described and defined further below in discussion of diagnostic assays.

In general, the term "amino acid sequence variant" refers to molecules with some differences in their amino acid sequences as compared to a reference (e.g. native sequence) polypeptide. The amino acid alterations may be substitutions, insertions, deletions or any desired combinations of such changes in a native amino acid sequence.

The terms "DNA sequence encoding", "DNA encoding" and "nucleic acid encoding" refer to the order or sequence of deoxyribonucleotides along a strand of deoxyribonucleic acid. The order of these deoxyribonucleotides determines the order of amino acids along the polypeptide chain. The DNA sequence thus codes for the amino acid sequence.

The terms "replicable expression vector" and "expression vector" refer to a piece of DNA, usually double-stranded, which may have inserted into it a piece of foreign DNA. Foreign DNA is defined as heterologous DNA, which is DNA not naturally found in the host cell. The vector is used to transport the foreign or heterologous DNA into a suitable host cell. Once in the host cell, the vector can replicate independently of the host chromosomal DNA, and several copies of the vector and its inserted (foreign) DNA may be generated. In addition, the vector contains the necessary elements that permit translating the foreign DNA into a polypeptide. Many molecules of the polypeptide encoded by the foreign DNA can thus be rapidly synthesized.

The term "control sequences" refers to DNA sequences necessary for the expression of an operably linked coding sequence in a particular host organism. The control sequences that are suitable for prokaryotes, for example, include a promoter, optionally an operator sequence, a ribosome binding site, and possibly, other as yet poorly understood sequences. Eukaryotic cells are known to utilize promoters, polyadenylation signals, and enhancer.

Nucleic acid is "operably linked" when it is placed into a functional relationship with another nucleic acid sequence. For example, DNA for a presequence or a secretory leader is operably linked to DNA for a polypeptide if it is expressed as a preprotein that participates in the secretion of the polypeptide; a promoter or enhancer is operably linked to a coding sequence if it affects the transcription of the sequence; or a ribosome binding site is operably linked to a coding sequence if it is positioned so as to facilitate translation. Generally, "operably linked" means that the DNA sequences being linked are contiguous and, in the case of a secretory leader, contiguous and in reading phase. However, enhancers do not have to be contiguous. Linking is accomplished by ligation at convenient restriction sites. If such sites do not exist, then synthetic oligonucleotide adaptors or linkers are used in accord with conventional practice.

In the context of the present invention the expressions "cell", "cell line", and "cell culture" are used interchangeably, and all such designations include progeny. Thus, the words "transformants" and "transformed (host) cells" include the primary subject cell and cultures derived therefrom without regard for the number of transfers. It is also understood that all progeny may not be precisely identical in DNA content, due to deliberate or inadvertent mutations. Mutant progeny that have the same function or biological activity as screened for in the originally transformed cell are included. Where distinct designations are intended, it will be clear from the context.

An "exogenous" element is defined herein to mean nucleic acid sequence that is foreign to the cell, or homologous to the cell but in a position within the host cell nucleic acid in which the element is ordinarily not found.

"Antibodies" (Abs) and "immunoglobulins" (Igs) are glycoproteins having the same structural characteristics. While antibodies exhibit binding specificity to a specific antigen, immunoglobulins include both antibodies and other antibody-like molecules that lack antigen specificity. Polypeptides of the latter kind are, for example, produced at low levels by the lymph system and at increased levels by myelomas.

"Native antibodies" and "native immunoglobulins" are usually heterotetrameric glycoproteins of about 150,000 daltons, composed of two identical light (L) chains and two identical heavy (H) chains. Each light chain is linked to a heavy chain by one covalent disulfide bond, while the number of disulfide linkages varies among the heavy chains of different immunoglobulin isotypes. Each heavy and light chain also has regularly spaced intrachain disulfide bridges. Each heavy chain has at one end a variable domain ( $V_H$ ) followed by a number of constant domains. Each light chain has a variable domain at one end ( $V_L$ ) and a constant domain at its other end; the constant domain of the light chain is aligned with the first constant domain of the heavy chain, and the light-chain variable domain is aligned with the variable domain of the heavy chain. Particular amino acid residues are believed to form an interface between the light- and heavy-chain variable domains.

The term "variable" refers to the fact that certain portions of the variable domains differ extensively in sequence among antibodies and are used in the binding and specificity of each particular antibody for its particular antigen. However, the variability is not evenly distributed throughout the variable domains of antibodies. It is concentrated in three segments called hypervariable regions both in the light chain and the heavy chain variable domains. The more highly conserved portions of variable domains are called the framework region (FR). The variable domains of native heavy and light chains each comprise four FRs (FR1, FR2, FR3 and FR4, respectively), largely adopting a  $\beta$ -sheet configuration, connected by three hypervariable regions, which form loops connecting, and in some cases forming part of, the  $\beta$ -sheet structure. The hypervariable regions in each chain are held together in close proximity by the FRs and, with the hypervariable regions from the other chain, contribute to the formation of the antigen-binding site of antibodies (see Kabat *et al.*, *Sequences of Proteins of Immunological Interest*, 5th Ed. Public Health Service, National Institutes of Health, Bethesda, MD. (1991), pages 647-669). The constant domains are not involved directly in binding an antibody to an antigen, but exhibit various effector functions, such as participation of the antibody in antibody-dependent cellular toxicity.

The term "hypervariable region" when used herein refers to the amino acid residues of an antibody which are responsible for antigen-binding. The hypervariable region comprises amino acid residues from a "complementarity determining region" or "CDR" (*i.e.* residues 24-34 (L1), 50-56 (L2) and 89-97 (L3) in the light chain variable domain and 31-35 (H1), 50-65 (H2) and 95-102 (H3) in the heavy chain variable domain; Kabat *et al.*, *Sequences of Proteins of Immunological Interest*, 5th Ed. Public Health Service, National Institutes of Health, Bethesda, MD. (1991)) and/or those residues from a "hypervariable loop" (*i.e.* residues 26-32 (L1), 50-52 (L2) and 91-96 (L3) in the light chain variable domain and 26-32 (H1), 53-55 (H2) and 96-101 (H3) in the heavy chain variable domain; Chothia and Lesk J.

*Mol. Biol.* 196:901-917 (1987)). "Framework" or "FR" residues are those variable domain residues other than the hypervariable region residues as herein defined.

Papain digestion of antibodies produces two identical antigen-binding fragments, called "Fab" fragments, each with a single antigen-binding site, and a residual "Fc" fragment, whose name reflects its ability to crystallize readily. Pepsin treatment yields an  $F(ab')_2$  fragment that has two antigen-combining sites and is still capable of cross-linking antigen.

"Fv" is the minimum antibody fragment which contains a complete antigen-recognition and -binding site. This region consists of a dimer of one heavy chain and one light chain variable domain in tight, non-covalent association. It is in this configuration that the three hypervariable regions of each variable domain interact to define an antigen-binding site on the surface of the  $V_H-V_L$  dimer. Collectively, the six hypervariable regions confer antigen-binding specificity to the antibody. However, even a single variable domain (or half of an Fv comprising only three hypervariable regions specific for an antigen) has the ability to recognize and bind antigen, although at a lower affinity than the entire binding site.

The Fab fragment also contains the constant domain of the light chain and the first constant domain (CH1) of the heavy chain. Fab' fragments differ from Fab fragments by the addition of a few residues at the carboxyl terminus of the heavy chain CH1 domain including one or more cysteine(s) from the antibody hinge region. Fab'-SH is the designation herein for Fab' in which the cysteine residue(s) of the constant domains bear a free thiol group.  $F(ab')_2$  antibody fragments originally were produced as pairs of Fab' fragments which have hinge cysteines between them. Other chemical couplings of antibody fragments are also known.

The "light chains" of antibodies (immunoglobulins) from any vertebrate species can be assigned to one of two clearly distinct types, called kappa (  $\kappa$  ) and lambda (  $\lambda$  ), based on the amino acid sequences of their constant domains.

Depending on the amino acid sequence of the constant domain of their heavy chains, immunoglobulins can be assigned to different classes. There are five major classes of immunoglobulins: IgA, IgD, IgE, IgG, and IgM, and several of these may be further divided into subclasses (isotypes), e.g., IgG1, IgG2, IgG3, IgG4, IgA1, and IgA2. The heavy-chain constant domains that correspond to the different classes of immunoglobulins are called  $\mu$ ,  $\delta$ ,  $\epsilon$ ,  $\gamma$ , and  $\alpha$ , respectively. The subunit structures and three-dimensional configurations of different classes of immunoglobulins are well known.

The term "antibody" herein is used in the broadest sense and specifically covers human, non-human (e.g. murine) and humanized monoclonal antibodies (including full length monoclonal antibodies), polyclonal antibodies, multispecific antibodies (e.g., bispecific antibodies), and antibody fragments so long as they exhibit the desired biological activity.

"Antibody fragments" comprise a portion of a full length antibody, generally the antigen binding or variable domain thereof. Examples of antibody fragments include Fab, Fab',  $F(ab')_2$ , and Fv fragments; diabodies; linear antibodies; single-chain antibody molecules; and multispecific antibodies formed from antibody fragments.

The term "monoclonal antibody" as used herein refers to an antibody obtained from a population of substantially homogeneous antibodies, *i.e.*, the individual antibodies comprising the population are identical except for possible naturally occurring mutations that may be present in minor amounts. Monoclonal antibodies are highly specific, being directed against a single antigenic site. Furthermore, in contrast to conventional (polyclonal) antibody preparations which typically include different antibodies directed against different determinants (epitopes), each monoclonal antibody is directed against a single determinant on the antigen. The modifier "monoclonal" indicates the character of the antibody as being obtained from a substantially homogeneous population of antibodies, and is not to be construed as requiring production of the antibody by any particular method. For example, the monoclonal antibodies to be used in accordance with the present invention may be made by the hybridoma method first described by Kohler *et al.*, *Nature* 256:495 (1975), or may be made by recombinant DNA methods (see, *e.g.*, U.S. Patent No. 4,816,567). The "monoclonal antibodies" may also be isolated from phage antibody libraries using the techniques described in Clackson *et al.*, *Nature* 352:624-628 (1991) and Marks *et al.*, *J. Mol. Biol.* 222:581-597 (1991), for example.

The monoclonal antibodies herein specifically include "chimeric" antibodies (immunoglobulins) in which a portion of the heavy and/or light chain is identical with or homologous to corresponding sequences in antibodies derived from a particular species or belonging to a particular antibody class or subclass, while the remainder of the chain(s) is identical with or homologous to corresponding sequences in antibodies derived from another species or belonging to another antibody class or subclass, as well as fragments of such antibodies, so long as they exhibit the desired biological activity (U.S. Patent No. 4,816,567; and Morrison *et al.*, *Proc. Natl. Acad. Sci. USA* 81:6851-6855 (1984)).

"Humanized" forms of non-human (*e.g.*, murine) antibodies are chimeric antibodies which contain minimal sequence derived from non-human immunoglobulin. For the most part, humanized antibodies are human immunoglobulins (recipient antibody) in which hypervariable region residues of the recipient are replaced by hypervariable region residues from a non-human species (donor antibody) such as mouse, rat, rabbit or nonhuman primate having the desired specificity, affinity, and capacity. In some instances, framework region (FR) residues of the human immunoglobulin are replaced by corresponding non-human residues. Furthermore, humanized antibodies may comprise residues which are not found in the recipient antibody or in the donor antibody. These modifications are made to further refine antibody performance. In general, the humanized antibody will comprise substantially all of at least one, and typically two, variable domains, in which all or substantially all of the hypervariable regions correspond to those of a non-human immunoglobulin and all or substantially all of the FRs are those of a human immunoglobulin sequence. The humanized antibody optionally also will comprise at least a portion of an immunoglobulin constant region (Fc), typically that of a human immunoglobulin. For further details, see Jones *et al.*, *Nature* 321:522-525 (1986); Reichmann *et al.*, *Nature* 332:323-329 (1988); and Presta, *Curr. Op. Struct. Biol.* 2:593-596 (1992).

"Single-chain Fv" or "sFv" antibody fragments comprise the  $V_H$  and  $V_L$  domains of antibody, wherein these domains are present in a single polypeptide chain. Generally, the Fv polypeptide further comprises a polypeptide linker between the  $V_H$  and  $V_L$  domains which enables the sFv to form the desired structure for antigen binding. For a review

of sFv see Pluckthun in *The Pharmacology of Monoclonal Antibodies*, vol. 113, Rosenberg and Moore eds. Springer-Verlag, New York, pp. 269-315 (1994).

5 The term "diabodies" refers to small antibody fragments with two antigen-binding sites, which fragments comprise a heavy chain variable domain ( $V_H$ ) connected to a light chain variable domain ( $V_L$ ) in the same polypeptide chain ( $V_H - V_L$ ). By using a linker that is too short to allow pairing between the two domains on the same chain, the domains are forced to pair with the complementary domains of another chain and create two antigen-binding sites. Diabodies are described more fully in, for example, EP 404,097; WO 93/11161; and Hollinger *et al.*, *Proc. Natl. Acad. Sci. USA* 90:6444-6448 (1993).

10 The expression "linear antibodies" when used throughout this application refers to the antibodies described in Zapata *et al.* *Protein Eng.* 8(10):1057-1062 (1995). Briefly, these antibodies comprise a pair of tandem Fd segments ( $V_H-C_H1-V_H-C_H1$ ) which form a pair of antigen binding regions. Linear antibodies can be bispecific or monospecific.

The term "epitope" is used to refer to binding sites for (monoclonal or polyclonal) antibodies on protein antigens.

15 Antibodies which bind to domain 5 and/or 4 within the amino acid sequence of native sequence human trkC, or to an equivalent epitope in a native sequence non-human trkC receptor, are identified by "epitope mapping." There are many methods known in the art for mapping and characterizing the location of epitopes on proteins, including solving the crystal structure of an antibody-antigen complex, competition assays, gene fragment expression assays, and synthetic peptide-based assays, as described, for example, in Chapter 11 of Harlow and Lane, *Using Antibodies, a Laboratory Manual*, Cold Spring Harbor Laboratory Press, Cold Spring Harbor, New York, 1999. A competition ELISA  
20 assay is specifically described in Example 1. According to the gene fragment expression assays, the open reading frame encoding the protein is fragmented either randomly or by specific genetic constructions and the reactivity of the expressed fragments of the protein with the antibody to be tested is determined. The gene fragments may, for example, be produced by PCR and then transcribed and translated into protein *in vitro*, in the presence of radioactive amino acids. The binding of the antibody to the radioactively labeled protein fragments is then determined by  
25 immunoprecipitation and gel electrophoresis. Certain epitopes can also be identified by using large libraries of random peptide sequences displayed on the surface of phage particles (phage libraries). Alternatively, a defined library of overlapping peptide fragments can be tested for binding to the test antibody in simple binding assays. The latter approach is suitable to define linear epitopes of about 5 to 15 amino acids.

30 An antibody binds "essentially the same epitope" as a reference antibody, when the two antibodies recognize identical or sterically overlapping epitopes. The most widely used and rapid methods for determining whether two epitopes bind to identical or sterically overlapping epitopes are competition assays, which can be configured in all number of different formats, using either labeled antigen or labeled antibody. Usually, the antigen is immobilized on a 96-well plate, and the ability of unlabeled antibodies to block the binding of labeled antibodies is measured using radioactive or enzyme labels. A competition ELISA assay is disclosed in Example 1.



The term amino acid or amino acid residue, as used herein, refers to naturally occurring L amino acids or to D amino acids as described further below with respect to variants. The commonly used one- and three-letter abbreviations for amino acids are used herein (Bruce Alberts et al., *Molecular Biology of the Cell*, Garland Publishing, Inc., New York (3d ed. 1994)).

5 Hybridization is preferably performed under "stringent conditions" which means (1) employing low ionic strength and high temperature for washing, for example, 0.015 sodium chloride/0.0015 M sodium citrate/0.1% sodium dodecyl sulfate at 50 C, or (2) employing during hybridization a denaturing agent, such as formamide, for example, 50% (vol/vol) formamide with 0.1% bovine serum albumin/0.1% Ficoll/0.1% polyvinylpyrrolidone/50 mM sodium phosphate buffer at pH 6.5 with 750 mM sodium chloride, 75 mM sodium citrate at 42 C. Another example is use of 10 50% formamide, 5 x SSC (0.75 M NaCl, 0.075 M sodium citrate), 50 mM sodium phosphate (pH 6/8), 0.1% sodium pyrophosphate, 5 x Denhardt's solution, sonicated salmon sperm DNA (50 g/ml), 0.1% SDS, and 10% dextran sulfate at 42 C, with washes at 42 C in 0.2 x SSC and 0.1% SDS.

#### B. Methods for carrying out the invention

15 The present invention concerns agonist human and non-human monoclonal antibodies (including humanized forms of the latter), which mimic certain biological properties of NT-3, the native ligand of the trkC receptor. General techniques for the production of murine and human anti-trkC antibodies are well known in the art and are described hereinbelow. Further details, including the selection of agonist antibodies, are provided in Example 1.

##### 1. Antibody preparation

###### (i) Polyclonal antibodies

20 Methods of preparing polyclonal antibodies are known in the art. Polyclonal antibodies can be raised in a mammal, for example, by one or more injections of an immunizing agent and, if desired, an adjuvant. Typically, the immunizing agent and/or adjuvant will be injected in the mammal by multiple subcutaneous or intraperitoneal injections. It may be useful to conjugate the immunizing agent to a protein known to be immunogenic in the mammal being immunized, such as serum albumin, or soybean trypsin inhibitor. Examples of adjuvants which may be employed 25 include Freund's complete adjuvant and MPL-TDM.

###### (ii) Monoclonal antibodies

Monoclonal antibodies may be made using the hybridoma method first described by Kohler *et al.*, *Nature*, 256:495 (1975), or may be made by recombinant DNA methods (U.S. Patent No. 4,816,567).

30 In the hybridoma method, a mouse or other appropriate host animal, such as a hamster or macaque monkey, is immunized as hereinabove described to elicit lymphocytes that produce or are capable of producing antibodies that will specifically bind to the protein used for immunization. Alternatively, lymphocytes may be immunized *in vitro*. Lymphocytes then are fused with myeloma cells using a suitable fusing agent, such as polyethylene glycol, to form a hybridoma cell (Goding, *Monoclonal Antibodies: Principles and Practice*, pp.59-103, [Academic Press, 1986]).

35 The hybridoma cells thus prepared are seeded and grown in a suitable culture medium that preferably contains one or more substances that inhibit the growth or survival of the unfused, parental myeloma cells. For

example, if the parental myeloma cells lack the enzyme hypoxanthine guanine phosphoribosyl transferase (HGPRT or HPRT), the culture medium for the hybridomas typically will include hypoxanthine, aminopterin, and thymidine (HAT medium), conditions under which the growth of HGPRT-deficient cells is prevented.

Preferred myeloma cells are those that fuse efficiently, support stable high-level production of antibody by the selected antibody-producing cells, and are sensitive to a medium such as HAT medium. Among these, preferred myeloma cell lines are murine myeloma lines, such as those derived from MOP-21 and M.C.-11 mouse tumors available from the Salk Institute Cell Distribution Center, San Diego, California USA, and SP-2 or X63-Ag8-653 cells available from the American Type Culture Collection, Rockville, Maryland USA. Human myeloma and mouse-human heteromyeloma cell lines also have been described for the production of human monoclonal antibodies (Kozbor, *J. Immunol.*, 133:3001 (1984); Brodeur *et al.*, *Monoclonal Antibody Production Techniques and Applications*, pp. 51-63, Marcel Dekker, Inc., New York, [1987]).

Culture medium in which hybridoma cells are growing is assayed for production of monoclonal antibodies directed against the antigen. Preferably, the binding specificity of monoclonal antibodies produced by hybridoma cells is determined by immunoprecipitation or by an *in vitro* binding assay, such as radioimmunoassay (RIA) or enzyme-linked immunoabsorbent assay (ELISA).

The binding affinity of the monoclonal antibody can, for example, be determined by the Scatchard analysis of Munson *et al.*, *Anal. Biochem.*, 107:220 (1980).

After hybridoma cells are identified that produce antibodies of the desired specificity, affinity, and/or activity, the cells may be subcloned by limiting dilution procedures and grown by standard methods (Goding, *Monoclonal Antibodies: Principles and Practice*, pp.59-103 (Academic Press, 1986)). Suitable culture media for this purpose include, for example, DMEM or RPMI-1640 medium. In addition, the hybridoma cells may be grown *in vivo* as ascites tumors in an animal.

The monoclonal antibodies secreted by the subclones are suitably separated from the culture medium, ascites fluid, or serum by conventional immunoglobulin purification procedures such as, for example, protein A-Sepharose, hydroxylapatite chromatography, gel electrophoresis, dialysis, or affinity chromatography.

DNA encoding the monoclonal antibodies is readily isolated and sequenced using conventional procedures (*e.g.*, by using oligonucleotide probes that are capable of binding specifically to genes encoding the heavy and light chains of the monoclonal antibodies). The hybridoma cells serve as a preferred source of such DNA. Once isolated, the DNA may be placed into expression vectors, which are then transfected into host cells such as *E. coli* cells, simian COS cells, Chinese hamster ovary (CHO) cells, or myeloma cells that do not otherwise produce immunoglobulin protein, to obtain the synthesis of monoclonal antibodies in the recombinant host cells. The DNA also may be modified, for example, by substituting the coding sequence for human heavy and light chain constant domains in place of the homologous murine sequences, Morrison, *et al.*, *Proc. Nat. Acad. Sci.* 81, 6851 (1984), or by covalently joining to the immunoglobulin coding sequence all or part of the coding sequence for a non-immunoglobulin polypeptide. In that

manner, "chimeric" or "hybrid" antibodies are prepared that have the binding specificity of an anti-trk monoclonal antibody herein.

Typically such non-immunoglobulin polypeptides are substituted for the constant domains of an antibody of the invention, or they are substituted for the variable domains of one antigen-combining site of an antibody of the invention to create a chimeric bivalent antibody comprising one antigen-combining site having specificity for an trk  
5 receptor and another antigen-combining site having specificity for a different antigen.

Chimeric or hybrid antibodies also may be prepared in vitro using known methods in synthetic protein chemistry, including those involving crosslinking agents. For example, immunotoxins may be constructed using a disulfide exchange reaction or by forming a thioether bond. Examples of suitable reagents for this purpose include  
10 iminothiolate and methyl-4-mercaptobutyrimidate.

Recombinant production of antibodies will be described in more detail below.

(iii) *Humanized antibodies*

Generally, a humanized antibody has one or more amino acid residues introduced into it from a non-human source. These non-human amino acid residues are often referred to as "import" residues, which are typically taken  
15 from an "import" variable domain. Humanization can be essentially performed following the method of Winter and co-workers [Jones et al., *Nature*, 321:522-525 (1986); Riechmann et al., *Nature*, 332:323-327 (1988); Verhoeven et al., *Science*, 239:1534-1536 (1988)], by substituting rodent CDRs or CDR sequences for the corresponding sequences of a human antibody.

Accordingly, such "humanized" antibodies are chimeric antibodies (Cabilly, supra), wherein substantially less  
20 than an intact human variable domain has been substituted by the corresponding sequence from a non-human species. In practice, humanized antibodies are typically human antibodies in which some CDR residues and possibly some FR residues are substituted by residues from analogous sites in rodent antibodies.

It is important that antibodies be humanized with retention of high affinity for the antigen and other favorable biological properties. To achieve this goal, according to a preferred method, humanized antibodies are  
25 prepared by a process of analysis of the parental sequences and various conceptual humanized products using three dimensional models of the parental and humanized sequences. Three dimensional immunoglobulin models are commonly available and are familiar to those skilled in the art. Computer programs are available which illustrate and display probable three-dimensional conformational structures of selected candidate immunoglobulin sequences. Inspection of these displays permits analysis of the likely role of the residues in the functioning of the candidate  
30 immunoglobulin sequence, i.e. the analysis of residues that influence the ability of the candidate immunoglobulin to bind its antigen. In this way, FR residues can be selected and combined from the consensus and import sequence so that the desired antibody characteristic, such as increased affinity for the target antigen(s), is achieved. In general, the CDR residues are directly and most substantially involved in influencing antigen binding. For further details see U.S. application Serial No. 07/934,373 filed 21 August 1992, which is a continuation-in-part of application Serial No.  
35 07/715,272 filed 14 June 1991.

(iv) *Human antibodies*

Human monoclonal antibodies can be made by the hybridoma method. Human myeloma and mouse-human heteromyeloma cell lines for the production of human monoclonal antibodies have been described, for example, by Kozbor, *J. Immunol.* **133**, 3001 (1984), and Brodeur, *et al.*, Monoclonal Antibody Production Techniques and Applications, pp.51-63 (Marcel Dekker, Inc., New York, 1987).

It is now possible to produce transgenic animals (e.g. mice) that are capable, upon immunization, of producing a repertoire of human antibodies in the absence of endogenous immunoglobulin production. For example, it has been described that the homozygous deletion of the antibody heavy chain joining region ( $J_H$ ) gene in chimeric and germ-line mutant mice results in complete inhibition of endogenous antibody production. Transfer of the human germ-line immunoglobulin gene array in such germ-line mutant mice will result in the production of human antibodies upon antigen challenge. See, e.g. Jakobovits *et al.*, Proc. Natl. Acad. Sci. USA **90**, 2551-2555 (1993); Jakobovits *et al.*, Nature **362**, 255-258 (1993).

Mendez *et al.* (*Nature Genetics* **15**: 146-156 [1997]) have further improved the technology and have generated a line of transgenic mice designated as "Xenomouse II" that, when challenged with an antigen, generates high affinity fully human antibodies. This was achieved by germ-line integration of megabase human heavy chain and light chain loci into mice with deletion into endogenous  $J_H$  segment as described above. The Xenomouse II harbors 1,020 kb of human heavy chain locus containing approximately 66  $V_H$  genes, complete  $D_H$  and  $J_H$  regions and three different constant regions ( $\mu$ ,  $\delta$  and  $\chi$ ), and also harbors 800 kb of human  $\kappa$  locus containing 32  $V_K$  genes,  $J_K$  segments and  $C_K$  genes. The antibodies produced in these mice closely resemble that seen in humans in all respects, including gene rearrangement, assembly, and repertoire. The human antibodies are preferentially expressed over endogenous antibodies due to deletion in endogenous  $J_H$  segment that prevents gene rearrangement in the murine locus.

Alternatively, the phage display technology (McCafferty *et al.*, Nature **348**, 552-553 [1990]) can be used to produce human antibodies and antibody fragments *in vitro*, from immunoglobulin variable (V) domain gene repertoires from unimmunized donors. According to this technique, antibody V domain genes are cloned in-frame into either a major or minor coat protein gene of a filamentous bacteriophage, such as M13 or fd, and displayed as functional antibody fragments on the surface of the phage particle. Because the filamentous particle contains a single-stranded DNA copy of the phage genome, selections based on the functional properties of the antibody also result in selection of the gene encoding the antibody exhibiting those properties. Thus, the phage mimicks some of the properties of the B-cell. Phage display can be performed in a variety of formats; for their review see, e.g. Johnson, Kevin S. and Chiswell, David J., Current Opinion in Structural Biology **3**, 564-571 (1993). Several sources of V-gene segments can be used for phage display. Clackson *et al.*, Nature **352**, 624-628 (1991) isolated a diverse array of anti-oxazolone antibodies from a small random combinatorial library of V genes derived from the spleens of immunized mice. A repertoire of V genes from unimmunized human donors can be constructed and antibodies to a diverse array of antigens (including self-antigens) can be isolated essentially following the techniques described by Marks *et al.*, J. Mol. Biol. **222**, 581-597 (1991), or Griffith *et al.*, EMBO J. **12**, 725-734 (1993). In a natural immune response, antibody genes accumulate

mutations at a high rate (somatic hypermutation). Some of the changes introduced will confer higher affinity, and B cells displaying high-affinity surface immunoglobulin are preferentially replicated and differentiated during subsequent antigen challenge. This natural process can be mimicked by employing the technique known as "chain shuffling" (Marks *et al.*, Bio/Technol. 10, 779-783 [1992]). In this method, the affinity of "primary" human antibodies obtained by phage display can be improved by sequentially replacing the heavy and light chain V region genes with repertoires of naturally occurring variants (repertoires) of V domain genes obtained from unimmunized donors. This technique allows the production of antibodies and antibody fragments with affinities in the nM range. A strategy for making very large phage antibody repertoires (also known as "the mother-of-all libraries") has been described by Waterhouse *et al.*, Nucl. Acids Res. 21, 2265-2266 (1993), and the isolation of a high affinity human antibody directly from such large phage library is reported by Griffith *et al.*, EMBO J. (1994), in press. Gene shuffling can also be used to derive human antibodies from rodent antibodies, where the human antibody has similar affinities and specificities to the starting rodent antibody. According to this method, which is also referred to as "epitope imprinting", the heavy or light chain V domain gene of rodent antibodies obtained by phage display technique is replaced with a repertoire of human V domain genes, creating rodent-human chimeras. Selection on antigen results in isolation of human variable capable of restoring a functional antigen-binding site, i.e. the epitope governs (imprints) the choice of partner. When the process is repeated in order to replace the remaining rodent V domain, a human antibody is obtained (see PCT patent application WO 93/06213, published 1 April 1993). Unlike traditional humanization of rodent antibodies by CDR grafting, this technique provides completely human antibodies, which have no framework or CDR residues of rodent origin.

(v) *Bispecific antibodies*

Bispecific antibodies are monoclonal, preferably human or humanized, antibodies that have binding specificities for at least two different antigens. In the present case, one of the binding specificities is for the trkC receptor to provide an agonist antibody, the other one is for any other antigen, and preferably for another receptor or receptor subunit. For example, bispecific antibodies specifically binding a trkC receptor and a neurotrophin, or a trkC receptor and another trk receptor are within the scope of the present invention.

Methods for making bispecific antibodies are known in the art. Traditionally, the recombinant production of bispecific antibodies is based on the coexpression of two immunoglobulin heavy chain-light chain pairs, where the two heavy chains have different specificities (Millstein and Cuello, Nature 305, 537-539 (1983)). Because of the random assortment of immunoglobulin heavy and light chains, these hybridomas (quadromas) produce a potential mixture of 10 different antibody molecules, of which only one has the correct bispecific structure. The purification of the correct molecule, which is usually done by affinity chromatography steps, is rather cumbersome, and the product yields are low. Similar procedures are disclosed in PCT application publication No. WO 93/08829 (published 13 May 1993), and in Traunecker *et al.*, EMBO 10, 3655-3659 (1991).

According to a different and more preferred approach, antibody variable domains with the desired binding specificities (antibody-antigen combining sites) are fused to immunoglobulin constant domain sequences. The fusion

preferably is with an immunoglobulin heavy chain constant domain, comprising at least part of the hinge, CH2 and CH3 regions. It is preferred to have the first heavy chain constant region (CH1) containing the site necessary for light chain binding, present in at least one of the fusions. DNAs encoding the immunoglobulin heavy chain fusions and, if desired, the immunoglobulin light chain, are inserted into separate expression vectors, and are cotransfected into a suitable host organism. This provides for great flexibility in adjusting the mutual proportions of the three polypeptide fragments in embodiments when unequal ratios of the three polypeptide chains used in the construction provide the optimum yields. It is, however, possible to insert the coding sequences for two or all three polypeptide chains in one expression vector when the expression of at least two polypeptide chains in equal ratios results in high yields or when the ratios are of no particular significance. In a preferred embodiment of this approach, the bispecific antibodies are composed of a hybrid immunoglobulin heavy chain with a first binding specificity in one arm, and a hybrid immunoglobulin heavy chain-light chain pair (providing a second binding specificity) in the other arm. It was found that this asymmetric structure facilitates the separation of the desired bispecific compound from unwanted immunoglobulin chain combinations, as the presence of an immunoglobulin light chain in only one half of the bispecific molecule provides for a facile way of separation. This approach is disclosed in PCT Publication No. WO 94/04690, published on March 3, 1994.

For further details of generating bispecific antibodies see, for example, Suresh *et al.*, Methods in Enzymology 121, 210 (1986).

(vi) *Heteroconjugate antibodies*

Heteroconjugate antibodies are also within the scope of the present invention. Heteroconjugate antibodies are composed of two covalently joined antibodies. Such antibodies have, for example, been proposed to target immune system cells to unwanted cells (U.S. Patent No. 4,676,980), and for treatment of HIV infection (PCT application publication Nos. WO 91/00360 and WO 92/200373; EP 03089). Heteroconjugate antibodies may be made using any convenient cross-linking methods. Suitable cross-linking agents are well known in the art, and are disclosed in U.S. Patent No. 4,676,980, along with a number of cross-linking techniques.

(vii) *Antibody fragments*

In certain embodiments, the anti-trkC antibody (including murine, human and humanized antibodies, and antibody variants) is an antibody fragment. Various techniques have been developed for the production of antibody fragments. Traditionally, these fragments were derived via proteolytic digestion of intact antibodies (see, *e.g.*, Morimoto *et al.*, *J. Biochem. Biophys. Methods* 24:107-117 (1992) and Brennan *et al.*, *Science* 229:81 (1985)). However, these fragments can now be produced directly by recombinant host cells. For example, Fab'-SH fragments can be directly recovered from *E. coli* and chemically coupled to form F(ab')<sub>2</sub> fragments (Carter *et al.*, *Bio/Technology* 10:163-167 (1992)). In another embodiment, the F(ab')<sub>2</sub> is formed using the leucine zipper GCN4 to promote assembly of the F(ab')<sub>2</sub> molecule. According to another approach, Fv, Fab or F(ab')<sub>2</sub> fragments can be isolated directly from recombinant host cell culture. Other techniques for the production of antibody fragments will be apparent to the skilled practitioner.

(viii) *Amino acid sequence variants of antibodies*

Amino acid sequence variants of the anti-trkC antibodies are prepared by introducing appropriate nucleotide changes into the anti-trkC antibody DNA, or by peptide synthesis. Such variants include, for example, deletions from, and/or insertions into and/or substitutions of, residues within the amino acid sequences of the anti-trkC antibodies of the examples herein. Any combination of deletion, insertion, and substitution is made to arrive at the final construct, provided that the final construct possesses the desired characteristics. The amino acid changes also may alter post-translational processes of the humanized or variant anti-trkC antibody, such as changing the number or position of glycosylation sites.

A useful method for identification of certain residues or regions of the anti-trkC antibody that are preferred locations for mutagenesis is called "alanine scanning mutagenesis," as described by Cunningham and Wells *Science*, 244:1081-1085 (1989). Here, a residue or group of target residues are identified (*e.g.*, charged residues such as arg, asp, his, lys, and glu) and replaced by a neutral or negatively charged amino acid (most preferably alanine or polyalanine) to affect the interaction of the amino acids with trkC antigen. Those amino acid locations demonstrating functional sensitivity to the substitutions then are refined by introducing further or other variants at, or for, the sites of substitution. Thus, while the site for introducing an amino acid sequence variation is predetermined, the nature of the mutation *per se* need not be predetermined. For example, to analyze the performance of a mutation at a given site, ala scanning or random mutagenesis is conducted at the target codon or region and the expressed anti-trkC antibody variants are screened for the desired activity.

Amino acid sequence insertions include amino- and/or carboxyl-terminal fusions ranging in length from one residue to polypeptides containing a hundred or more residues, as well as intrasequence insertions of single or multiple amino acid residues. Examples of terminal insertions include an anti-trkC antibody with an N-terminal methionyl residue or the antibody fused to an epitope tag. Other insertional variants of the anti-trkC antibody molecule include the fusion to the N- or C-terminus of the anti-trkC antibody of an enzyme or a polypeptide which increases the serum half-life of the antibody (see below).

Another type of variant is an amino acid substitution variant. These variants have at least one amino acid residue in the anti-trkC antibody molecule removed and a different residue inserted in its place. The sites of greatest interest for substitutional mutagenesis include the hypervariable regions, but FR alterations are also contemplated. Conservative substitutions are shown in Table 1 under the heading of "preferred substitutions". If such substitutions result in a change in biological activity, then more substantial changes, denominated "exemplary substitutions" in Table 1, or as further described below in reference to amino acid classes, may be introduced and the products screened.

**Table 1**

<b>Original Residue</b>	<b>Exemplary Substitutions</b>	<b>Preferred Substitutions</b>
Ala (A)	val; leu; ile	val
Arg (R)	lys; gln; asn	lys
Asn (N)	gln; his; asp, lys; arg	gln
Asp (D)	glu; asn	glu
Cys (C)	ser; ala	ser
Gln (Q)	asn; glu	asn
Glu (E)	asp; gln	asp
Gly (G)	ala	ala
His (H)	asn; gln; lys; arg	arg
Ile (I)	leu; val; met; ala; phe; norleucine	leu
Leu (L)	norleucine; ile; val; met; ala; phe	ile
Lys (K)	arg; gln; asn	arg
Met (M)	leu; phe; ile	leu
Phe (F)	leu; val; ile; ala; tyr	tyr
Pro (P)	ala	ala
Ser (S)	thr	thr
Thr (T)	ser	ser
Trp (W)	tyr; phe	tyr
Tyr (Y)	trp; phe; thr; ser	phe



Val (V)	ile; leu; met; phe; ala; norleucine	leu
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Substantial modifications in the biological properties of the antibody are accomplished by selecting substitutions that differ significantly in their effect on maintaining (a) the structure of the polypeptide backbone in the area of the substitution, for example, as a sheet or helical conformation, (b) the charge or hydrophobicity of the molecule at the target site, or (c) the bulk of the side chain. Naturally occurring residues are divided into groups based on common side-chain properties:

- (1) hydrophobic: norleucine, met, ala, val, leu, ile;
- (2) neutral hydrophilic: cys, ser, thr;
- (3) acidic: asp, glu;
- (4) basic: asn, gln, his, lys, arg;
- (5) residues that influence chain orientation: gly, pro; and
- (6) aromatic: trp, tyr, phe.

Non-conservative substitutions will entail exchanging a member of one of these classes for another class.

Any cysteine residue not involved in maintaining the proper conformation of the humanized or variant anti-trkC antibody also may be substituted, generally with serine, to improve the oxidative stability of the molecule and prevent aberrant crosslinking. Conversely, cysteine bond(s) may be added to the antibody to improve its stability (particularly where the antibody is an antibody fragment such as an Fv fragment).

A particularly preferred type of substitutional variant involves substituting one or more hypervariable region residues of a parent antibody (*e.g.* a humanized or human antibody). Generally, the resulting variant(s) selected for further development will have improved biological properties relative to the parent antibody from which they are generated. A convenient way for generating such substitutional variants is affinity maturation using phage display. Briefly, several hypervariable region sites (*e.g.* 6-7 sites) are mutated to generate all possible amino substitutions at each site. The antibody variants thus generated are displayed in a monovalent fashion from filamentous phage particles as fusions to the gene III product of M13 packaged within each particle. The phage-displayed variants are then screened for their biological activity (*e.g.* binding affinity) as herein disclosed. In order to identify candidate hypervariable region sites for modification, alanine scanning mutagenesis can be performed to identify hypervariable region residues contributing significantly to antigen binding. Alternatively, or in addition, it may be beneficial to analyze a crystal structure of the antigen-antibody complex to identify contact points between the antibody and human trkC. Such contact residues and neighboring residues are candidates for substitution according to the techniques elaborated herein. Once such variants are generated, the panel of variants is subjected to screening as described herein and antibodies with superior properties in one or more relevant assays may be selected for further development.

(ix) *Glycosylation variants of antibodies*

Antibodies are glycosylated at conserved positions in their constant regions (Jefferis and Lund, Chem. Immunol. 65:111-128 [1997]; Wright and Morrison, TibTECH 15:26-32 [1997]). The oligosaccharide side chains of the immunoglobulins affect the protein's function (Boyd *et al.*, Mol. Immunol. 32:1311-1318 [1996]; Wittwe and Howard, Biochem. 29:4175-4180 [1990]), and the intramolecular interaction between portions of the glycoprotein which can affect the conformation and presented three-dimensional surface of the glycoprotein (Hefferis and Lund, *supra*; Wyss and Wagner, Current Opin. Biotech. 7:409-416 [1996]). Oligosaccharides may also serve to target a given glycoprotein to certain molecules based upon specific recognition structures. For example, it has been reported that in agalactosylated IgG, the oligosaccharide moiety 'flips' out of the inter-CH2 space and terminal N-acetylglucosamine residues become available to bind mannose binding protein (Malhotra *et al.*, Nature Med. 1:237-243 [1995]). Removal by glycopeptidase of the oligosaccharides from CAMPATH-1H (a recombinant humanized murine monoclonal IgG1 antibody which recognizes the CDw52 antigen of human lymphocytes) produced in Chinese Hamster Ovary (CHO) cells resulted in a complete reduction in complement mediated lysis (CMCL) (Boyd *et al.*, Mol. Immunol. 32:1311-1318 [1996]), while selective removal of sialic acid residues using neuraminidase resulted in no loss of DMCL. Glycosylation of antibodies has also been reported to affect antibody-dependent cellular cytotoxicity (ADCC). In particular, CHO cells with tetracycline-regulated expression of  $\beta(1,4)$ -N-acetylglucosaminyltransferase III (GnTIII), a glycosyltransferase catalyzing formation of bisecting GlcNAc, was reported to have improved ADCC activity (Umana *et al.*, Mature Biotech. 17:176-180 [1999]).

Glycosylation variants of antibodies are variants in which the glycosylation pattern of an antibody is altered. By altering is meant deleting one or more carbohydrate moieties found in the antibody, adding one or more carbohydrate moieties to the antibody, changing the composition of glycosylation (glycosylation pattern), the extent of glycosylation, etc. Glycosylation variants may, for example, be prepared by removing, changing and/or adding one or more glycosylation sites in the nucleic acid sequence encoding the antibody.

Glycosylation of antibodies is typically either N-linked or O-linked. N-linked refers to the attachment of the carbohydrate moiety to the side chain of an asparagine residue. The tripeptide sequences asparagine-X-serine and asparagine-X-threonine, where X is any amino acid except proline, are the recognition sequences for enzymatic attachment of the carbohydrate moiety to the asparagine side chain. Thus, the presence of either of these tripeptide sequences in a polypeptide creates a potential glycosylation site. O-linked glycosylation refers to the attachment of one of the sugars N-acetylgalactosamine, galactose, or xylose to a hydroxyamino acid, most commonly serine or threonine, although 5-hydroxyproline or 5-hydroxylysine may also be used.

Addition of glycosylation sites to the antibody is conveniently accomplished by altering the amino acid sequence such that it contains one or more of the above-described tripeptide sequences (for N-linked glycosylation sites). The alteration may also be made by the addition of, or substitution by, one or more serine or threonine residues to the sequence of the original antibody (for O-linked glycosylation sites).

Nucleic acid molecules encoding amino acid sequence variants of the anti-trkC antibody are prepared by a variety of methods known in the art. These methods include, but are not limited to, isolation from a natural source (in

the case of naturally occurring amino acid sequence variants) or preparation by oligonucleotide-mediated (or site-directed) mutagenesis, PCR mutagenesis, and cassette mutagenesis of an earlier prepared variant or a non-variant version of the anti-trkC antibody.

5 The glycosylation (including glycosylation pattern) of antibodies may also be altered without altering the underlying nucleotide sequence. Glycosylation largely depends on the host cell used to express the antibody. Since the cell type used for expression of recombinant glycoproteins, e.g. antibodies, as potential therapeutics is rarely the native cell, significant variations in the glycosylation pattern of the antibodies can be expected (see, e.g. Hse *et al.*, J. Biol. Chem., 272:9062-9070 [1997]). In addition to the choice of host cells, factors which affect glycosylation during recombinant production of antibodies include growth mode, media formulation, culture density, oxygenation, pH, 10 purification schemes and the like. Various methods have been proposed to alter the glycosylation pattern achieved in a particular host organism including introducing or overexpressing certain enzymes involved in oligosaccharide production (U. S. Patent Nos. 5,047,335; 5,510,261 and 5,278,299). Glycosylation, or certain types of glycosylation, can be enzymatically removed from the glycoprotein, for example using endoglycosidase H (Endo H). In addition, the recombinant host cell can be genetically engineered, e.g. make defective in processing certain types of 15 polysaccharides. These and similar techniques are well known in the art.

The glycosylation structure of antibodies can be readily analyzed by conventional techniques of carbohydrate analysis, including lectin chromatography, NMR, Mass spectrometry, HPLC, GPC, monosaccharide compositional analysis, sequential enzymatic digestion, and HPAEC-PAD, which uses high pH anion exchange chromatography to separate oligosaccharides based on charge. Methods for releasing oligosaccharides for analytical 20 purposes are also known, and include, without limitation, enzymatic treatment (commonly performed using peptide-N-glycosidase F (endo- $\beta$ -galactosidase), elimination using harsh alkaline environment to release mainly O-linked structures, and chemical methods using anhydrous hydrazine to release both N- and O-linked oligosaccharides.

(x) *Other modifications of antibodies*

The anti-trkC antibodies disclosed herein may also be formulated as immunoliposomes. Liposomes 25 containing the antibody are prepared by methods known in the art, such as described in Epstein *et al.*, *Proc. Natl. Acad. Sci. USA* 82:3688 (1985); Hwang *et al.*, *Proc. Natl. Acad. Sci. USA* 77:4030 (1980); and U.S. Pat. Nos. 4,485,045 and 4,544,545. Liposomes with enhanced circulation time are disclosed in U.S. Patent No. 5,013,556.

Particularly useful liposomes can be generated by the reverse phase evaporation method with a lipid composition comprising phosphatidylcholine, cholesterol and PEG-derivatized phosphatidylethanolamine (PEG-PE). 30 Liposomes are extruded through filters of defined pore size to yield liposomes with the desired diameter. Fab' fragments of the antibody of the present invention can be conjugated to the liposomes as described in Martin *et al.*, *J. Biol. Chem.* 257:286-288 (1982) via a disulfide interchange reaction. A chemotherapeutic agent (such as Doxorubicin) is optionally contained within the liposome. See Gabizon *et al.*, *J. National Cancer Inst.* 81(19):1484 (1989).

The antibody of the present invention may also be used in ADEPT by conjugating the antibody to a prodrug-activating enzyme which converts a prodrug (*e.g.*, a peptidyl chemotherapeutic agent, see WO81/01145) to an active anti-cancer drug. See, for example, WO 88/07378 and U.S. Patent No. 4,975,278.

5 The enzyme component of the immunoconjugate useful for ADEPT includes any enzyme capable of acting on a prodrug in such a way so as to convert it into its more active, cytotoxic form.

Enzymes that are useful in the method of this invention include, but are not limited to, alkaline phosphatase useful for converting phosphate-containing prodrugs into free drugs; arylsulfatase useful for converting sulfate-containing prodrugs into free drugs; cytosine deaminase useful for converting non-toxic 5-fluorocytosine into the anti-cancer drug, 5-fluorouracil; proteases, such as serratio protease, thermolysin, subtilisin, carboxypeptidases and cathepsins (such as cathepsins B and L), that are useful for converting peptide-containing prodrugs into free drugs; D-alanylcarboxypeptidases, useful for converting prodrugs that contain D-amino acid substituents; carbohydrate-cleaving enzymes such as  $\alpha$ -galactosidase and neuraminidase useful for converting glycosylated prodrugs into free drugs;  $\beta$ -lactamase useful for converting drugs derivatized with  $\beta$ -lactams into free drugs; and penicillin amidases, such as penicillin V amidase or penicillin G amidase, useful for converting drugs derivatized at their amine nitrogens with phenoxyacetyl or phenylacetyl groups, respectively, into free drugs. Alternatively, antibodies with enzymatic activity, also known in the art as "abzymes", can be used to convert the prodrugs of the invention into free active drugs (see, *e.g.*, Massey, *Nature* 328:457-458 (1987)). Antibody-abzyme conjugates can be prepared as described herein for delivery of the abzyme to a tumor cell population.

20 The enzymes of this invention can be covalently bound to the anti-trkC antibodies by techniques well known in the art such as the use of the heterobifunctional crosslinking reagents discussed above. Alternatively, fusion proteins comprising at least the antigen binding region of an antibody of the invention linked to at least a functionally active portion of an enzyme of the invention can be constructed using recombinant DNA techniques well known in the art (see, *e.g.*, Neuberger *et al.*, *Nature* 312:604-608 [1984]).

25 In certain embodiments of the invention, it may be desirable to use an antibody fragment, rather than an intact antibody. In this case, it may be desirable to modify the antibody fragment in order to increase its serum half-life. This may be achieved, for example, by incorporation of a salvage receptor binding epitope into the antibody fragment (*e.g.*, by mutation of the appropriate region in the antibody fragment or by incorporating the epitope into a peptide tag that is then fused to the antibody fragment at either end or in the middle, *e.g.*, by DNA or peptide synthesis). See WO96/32478 published October 17, 1996.

30 The salvage receptor binding epitope generally constitutes a region wherein any one or more amino acid residues from one or two loops of a Fc domain are transferred to an analogous position of the antibody fragment. Even more preferably, three or more residues from one or two loops of the Fc domain are transferred. Still more preferred, the epitope is taken from the CH2 domain of the Fc region (*e.g.*, of an IgG) and transferred to the CH1, CH3, or V<sub>H</sub> region, or more than one such region, of the antibody. Alternatively, the epitope is taken from the CH2 domain of the Fc region and transferred to the C<sub>L</sub> region or V<sub>L</sub> region, or both, of the antibody fragment.

Covalent modifications of the humanized or variant anti-trkC antibody (including glycosylation variants) are also included within the scope of this invention. They may be made by chemical synthesis or by enzymatic or chemical cleavage of the antibody, if applicable. Other types of covalent modifications of the antibody are introduced into the molecule by reacting targeted amino acid residues of the antibody with an organic derivatizing agent that is capable of reacting with selected side chains or the N- or C-terminal residues. Exemplary covalent modifications of polypeptides are described in US Patent 5,534,615, specifically incorporated herein by reference. A preferred type of covalent modification of the antibody comprises linking the antibody to one of a variety of nonproteinaceous polymers, *e.g.*, polyethylene glycol, polypropylene glycol, or polyoxyalkylenes, in the manner set forth in U.S. Patent Nos. 4,640,835; 4,496,689; 4,301,144; 4,670,417; 4,791,192 or 4,179,337.

## 10           2.       Vectors, Host Cells and Recombinant Methods

The invention also provides isolated nucleic acid encoding the non-human, *e.g.* murine and human anti-trkC antibodies of the present invention (including the humanized versions of the non-human antibodies), vectors and host cells comprising the nucleic acid, and recombinant techniques for the production of the antibodies.

For recombinant production of an antibody, the nucleic acid encoding it may be isolated and inserted into a replicable vector for further cloning (amplification of the DNA) or for expression. In another embodiment, the antibody may be produced by homologous recombination, *e.g.* as described in US Patent 5,204,244, specifically incorporated herein by reference. DNA encoding the monoclonal antibody is readily isolated and sequenced using conventional procedures (*e.g.*, by using oligonucleotide probes that are capable of binding specifically to genes encoding the heavy and light chains of the antibody). Many vectors are available. The vector components generally include, but are not limited to, one or more of the following: a signal sequence, an origin of replication, one or more marker genes, an enhancer element, a promoter, and a transcription termination sequence, *e.g.*, as described in US Patent 5,534,615 issued July 9, 1996 and specifically incorporated herein by reference.

Suitable host cells for cloning or expressing the DNA in the vectors herein are the prokaryote, yeast, or higher eukaryote cells described above. Suitable prokaryotes for this purpose include eubacteria, such as Gram-negative or Gram-positive organisms, for example, Enterobacteriaceae such as *Escherichia*, *e.g.*, *E. coli*, *Enterobacter*, *Erwinia*, *Klebsiella*, *Proteus*, *Salmonella*, *e.g.*, *Salmonella typhimurium*, *Serratia*, *e.g.*, *Serratia marcescans*, and *Shigella*, as well as *Bacilli* such as *B. subtilis* and *B. licheniformis* (*e.g.*, *B. licheniformis* 41P disclosed in DD 266,710 published 12 April 1989), *Pseudomonas* such as *P. aeruginosa*, and *Streptomyces*. One preferred *E. coli* cloning host is *E. coli* 294 (ATCC 31,446), although other strains such as *E. coli* B, *E. coli* X1776 (ATCC 31,537), and *E. coli* W3110 (ATCC 27,325) are suitable. These examples are illustrative rather than limiting.

In addition to prokaryotes, eukaryotic microbes such as filamentous fungi or yeast are suitable cloning or expression hosts for anti-trkC antibody-encoding vectors. *Saccharomyces cerevisiae*, or common baker's yeast, is the most commonly used among lower eukaryotic host microorganisms. However, a number of other genera, species, and strains are commonly available and useful herein, such as *Schizosaccharomyces pombe*; *Kluyveromyces* hosts such as, *e.g.*, *K. lactis*, *K. fragilis* (ATCC 12,424), *K. bulgaricus* (ATCC 16,045), *K. wickerhamii* (ATCC 24,178), *K. waltii*

(ATCC 56,500), *K. drosophilum* (ATCC 36,906), *K. thermotolerans*, and *K. marxianus*; *yarrowia* (EP 402,226); *Pichia pastoris* (EP 183,070); *Candida*; *Trichoderma reesia* (EP 244,234); *Neurospora crassa*; *Schwanniomyces* such as *Schwanniomyces occidentalis*; and filamentous fungi such as, e.g., *Neurospora*, *Penicillium*, *Tolypocladium*, and *Aspergillus* hosts such as *A. nidulans* and *A. niger*.

5           Suitable host cells for the expression of glycosylated anti-trkC antibody are derived from multicellular organisms. Examples of invertebrate cells include plant and insect cells. Numerous baculoviral strains and variants and corresponding permissive insect host cells from hosts such as *Spodoptera frugiperda* (caterpillar), *Aedes aegypti* (mosquito), *Aedes albopictus* (mosquito), *Drosophila melanogaster* (fruitfly), and *Bombyx mori* have been identified. A variety of viral strains for transfection are publicly available, e.g., the L-1 variant of *Autographa californica* NPV and  
10   the Bm-5 strain of *Bombyx mori* NPV, and such viruses may be used as the virus herein according to the present invention, particularly for transfection of *Spodoptera frugiperda* cells. Plant cell cultures of cotton, corn, potato, soybean, petunia, tomato, and tobacco can also be utilized as hosts.

          However, interest has been greatest in vertebrate cells, and propagation of vertebrate cells in culture (tissue culture) has become a routine procedure. Examples of useful mammalian host cell lines are monkey kidney CV1 line transformed by SV40 (COS-7, ATCC CRL 1651); human embryonic kidney line (293 or 293 cells subcloned for growth  
15   in suspension culture, Graham *et al.*, *J. Gen Virol.* 36:59 [1977]); baby hamster kidney cells (BHK, ATCC CCL 10); Chinese hamster ovary cells/DHFR (CHO, Urlaub *et al.*, *Proc. Natl. Acad. Sci. USA* 77:4216 [1980]); mouse sertoli cells (TM4, Mather, *Biol. Reprod.* 23:243-251 [1980]); monkey kidney cells (CV1 ATCC CCL 70); African green monkey kidney cells (VERO-76, ATCC CRL-1587); human cervical carcinoma cells (HeLa, ATCC CCL 2); canine kidney  
20   cells (MDCK, ATCC CCL 34); buffalo rat liver cells (BRL 3A, ATCC CRL 1442); human lung cells (W138, ATCC CCL 75); human liver cells (Hep G2, HB 8065); mouse mammary tumor (MMT 060562, ATCC CCL51); TRI cells (Mather *et al.*, *Annals N.Y. Acad. Sci.* 383:44-68 [1982]); MRC 5 cells; and FS4 cells.

          Host cells are transformed with the above-described expression or cloning vectors for anti-trkC antibody production and cultured in conventional nutrient media modified as appropriate for inducing promoters, selecting  
25   transformants, or amplifying the genes encoding the desired sequences.

          The host cells used to produce the anti-trkC antibody of this invention may be cultured in a variety of media. Commercially available media such as Ham's F10 (Sigma), Minimal Essential Medium (MEM) (Sigma), RPMI-1640 (Sigma), and Dulbecco's Modified Eagle's Medium (DMEM) (Sigma) are suitable for culturing the host cells. In addition, any of the media described in Ham *et al.*, *Meth. Enz.* 58:44 (1979), Barnes *et al.*, *Anal. Biochem.* 102:255  
30   (1980), U.S. Pat. Nos. 4,767,704; 4,657,866; 4,927,762; 4,560,655; or 5,122,469; WO 90/03430; WO 87/00195; or U.S. Patent Re. 30,985 may be used as culture media for the host cells. Any of these media may be supplemented as necessary with hormones and/or other growth factors (such as insulin, transferrin, or epidermal growth factor), salts (such as sodium chloride, calcium, magnesium, and phosphate), buffers (such as HEPES), nucleotides (such as adenosine and thymidine), antibiotics (such as GENTAMYCIN™ drug), trace elements (defined as inorganic compounds  
35   usually present at final concentrations in the micromolar range), and glucose or an equivalent energy source. Any

other necessary supplements may also be included at appropriate concentrations that would be known to those skilled in the art. The culture conditions, such as temperature, pH, and the like, are those previously used with the host cell selected for expression, and will be apparent to the ordinarily skilled artisan.

When using recombinant techniques, the antibody can be produced intracellularly, in the periplasmic space, or directly secreted into the medium. If the antibody is produced intracellularly, as a first step, the particulate debris, either host cells or lysed fragments, is removed, for example, by centrifugation or ultrafiltration. Carter *et al.*, *Bio/Technology* 10:163-167 (1992) describe a procedure for isolating antibodies which are secreted to the periplasmic space of *E. coli*. Briefly, cell paste is thawed in the presence of sodium acetate (pH 3.5), EDTA, and phenylmethylsulfonylfluoride (PMSF) over about 30 min. Cell debris can be removed by centrifugation. Where the antibody is secreted into the medium, supernatants from such expression systems are generally first concentrated using a commercially available protein concentration filter, for example, an Amicon or Millipore Pellicon ultrafiltration unit. A protease inhibitor such as PMSF may be included in any of the foregoing steps to inhibit proteolysis and antibiotics may be included to prevent the growth of adventitious contaminants.

The antibody composition prepared from the cells can be purified using, for example, hydroxylapatite chromatography, gel electrophoresis, dialysis, and affinity chromatography, with affinity chromatography being the preferred purification technique. The suitability of protein A as an affinity ligand depends on the species and isotype of any immunoglobulin Fc domain that is present in the antibody. Protein A can be used to purify antibodies that are based on human 1, 2, or 4 heavy chains (Lindmark *et al.*, *J. Immunol. Meth.* 62:1-13 (1983)). Protein G is recommended for all mouse isotypes and for human 3 (Guss *et al.*, *EMBO J.* 5:1567-1575 (1986)). The matrix to which the affinity ligand is attached is most often agarose, but other matrices are available. Mechanically stable matrices such as controlled pore glass or poly(styrenedivinyl)benzene allow for faster flow rates and shorter processing times than can be achieved with agarose. Where the antibody comprises a C<sub>H</sub>3 domain, the Bakerbond ABX<sup>TM</sup> resin (J. T. Baker, Phillipsburg, NJ) is useful for purification. Other techniques for protein purification such as fractionation on an ion-exchange column, ethanol precipitation, Reverse Phase HPLC, chromatography on silica, chromatography on heparin SEPHAROSE<sup>TM</sup> chromatography on an anion or cation exchange resin (such as a polyaspartic acid column), chromatofocusing, SDS-PAGE, and ammonium sulfate precipitation are also available depending on the antibody to be recovered.

Following any preliminary purification step(s), the mixture comprising the antibody of interest and contaminants may be subjected to low pH hydrophobic interaction chromatography using an elution buffer at a pH between about 2.5-4.5, preferably performed at low salt concentrations (*e.g.*, from about 0-0.25M salt).

### 3. Identification of Agonist anti-trkC Antibodies

Agonist antibodies may be identified, for example, using the kinase receptor activation (KIRA) assay described in U. S. Patent Nos. 5,766,863 and 5,891,650. This ELISA-type assay is suitable for qualitative or quantitative measurement of kinase activation by measuring the autophosphorylation of the kinase domain of a receptor protein tyrosine kinase (rPTK, *e.g.* trk receptor), as well as for identification and characterization of potential

agonist or antagonists of a selected rPTK. The first stage of the assay involves phosphorylation of the kinase domain of a kinase receptor, in the present case a trkC receptor, wherein the receptor is present in the cell membrane of a eukaryotic cell. The receptor may be an endogenous receptor or nucleic acid encoding the receptor, or a receptor construct, may be transformed into the cell. Typically, a first solid phase (*e.g.*, a well of a first assay plate) is coated with a substantially homogeneous population of such cells (usually a mammalian cell line) so that the cells adhere to the solid phase. Often, the cells are adherent and thereby adhere naturally to the first solid phase. If a "receptor construct" is used, it usually comprises a fusion of a kinase receptor and a flag polypeptide. The flag polypeptide is recognized by the capture agent, often a capture antibody, in the ELISA part of the assay. An analyte, such as a candidate agonist, is then added to the wells having the adherent cells, such that the tyrosine kinase receptor (*e.g.* trkC receptor) is exposed to (or contacted with) the analyte. This assay enables identification of agonist ligands for the tyrosine kinase receptor of interest (*e.g.* trkC). It is also possible to use this assay to detect antagonists of a tyrosine kinase receptor. In order to detect the presence of an antagonist ligand which blocks binding of an agonist to the receptor, the adhering cells are exposed to the suspected antagonist ligand first, and then to the agonist ligand, so that competitive inhibition of receptor binding and activation can be measured. Also, the assay can identify an antagonist which binds to the agonist ligand and thereby reduces or eliminates its ability to bind to, and activate, the rPTK. To detect such an antagonist, the suspected antagonist and the agonist for the rPTK are incubated together and the adhering cells are then exposed to this mixture of ligands. Following exposure to the analyte, the adhering cells are solubilized using a lysis buffer (which has a solubilizing detergent therein) and gentle agitation, thereby releasing cell lysate which can be subjected to the ELISA part of the assay directly, without the need for concentration or clarification of the cell lysate.

The cell lysate thus prepared is then ready to be subjected to the ELISA stage of the assay. As a first step in the ELISA stage, a second solid phase (usually a well of an ELISA microtiter plate) is coated with a capture agent (often a capture antibody) which binds specifically to the tyrosine kinase receptor, or, in the case of a receptor construct, to the flag polypeptide. Coating of the second solid phase is carried out so that the capture agent adheres to the second solid phase. The capture agent is generally a monoclonal antibody, but, as is described in the examples herein, polyclonal antibodies may also be used. The cell lysate obtained is then exposed to, or contacted with, the adhering capture agent so that the receptor or receptor construct adheres to (or is captured in) the second solid phase. A washing step is then carried out, so as to remove unbound cell lysate, leaving the captured receptor or receptor construct. The adhering or captured receptor or receptor construct is then exposed to, or contacted with, an anti-phosphotyrosine antibody which identifies phosphorylated tyrosine residues in the tyrosine kinase receptor. In the preferred embodiment, the anti-phosphotyrosine antibody is conjugated (directly or indirectly) to an enzyme which catalyses a color change of a non-radioactive color reagent. Accordingly, phosphorylation of the receptor can be measured by a subsequent color change of the reagent. The enzyme can be bound to the anti-phosphotyrosine antibody directly, or a conjugating molecule (*e.g.*, biotin) can be conjugated to the anti-phosphotyrosine antibody and the enzyme can be subsequently bound to the anti-phosphotyrosine antibody via the conjugating molecule. Finally,



binding of the anti-phosphotyrosine antibody to the captured receptor or receptor construct is measured, *e.g.*, by a color change in the color reagent.

Following initial identification, the agonist activity can be further confirmed and refined by bioassays, known to test the targeted biological activities. For example, the ability of anti-trkC monoclonal antibodies to mimic the activity of NT-3 can be tested in the PC12 neurite outgrowth assay as described in Example 1, and confirmed in known animal models of neurodegenerative diseases, such as the experimental animal models of cisplatin- and pyridoxine-induced neuropathies described in Example 2.

### 3. Therapeutic and Diagnostic Uses of Agonist anti-TrkC Antibodies

The anti-trkC agonist antibodies of the present invention are believed to be useful in the treatment (including prevention) of disorders the pathology of which involves cellular degeneration or dysfunction. In particular, the anti-trkC agonist antibodies are promising candidates for the treatment of various (chronic) neurodegenerative disorders and acute nerve cell injuries. Such neurodegenerative disorders include, without limitation, peripheral neuropathies; motorneuron disorders, such as amyotrophic lateral sclerosis (ALS, Lou Gehrig's disease), Bell's palsy, and various conditions involving spinal muscular atrophy or paralysis; and other human neurodegenerative diseases, such as Alzheimer's disease, Parkinson's disease, epilepsy, multiple sclerosis, Huntington's chorea, Down's Syndrome, nerve deafness, and Meniere's disease, and acute nerve cell injuries, for example due to trauma or spinal cord injury.

The anti-trkC antibodies of the present invention are believed to be particularly suited for the treatment of peripheral neuropathy, a neurodegenerative disorder that affects the peripheral nerves, most often manifested as one or a combination of motor, sensory, sensorimotor, or autonomic dysfunction. Peripheral neuropathies may, for example, be genetically acquired, can result from a systemic disease, can be induced by a toxic agent, such as a neurotoxic drug, *e.g.* antineoplastic agent, or industrial or environmental pollutant, or can be idiopathic. Thus, peripheral sensory neuropathy is characterized by the degeneration, decrease or failure of function of peripheral sensory neurons, which may occur, for example, as a consequence of diabetes (diabetic neuropathy), cytostatic drug therapy in cancer (*e.g.* treatment with chemotherapeutic agents such as vincristine, cisplatin, methotrexate, or 3'-azido-3'-deoxythymidine), alcoholism, acquired immunodeficiency syndrome (AIDS), or genetic predisposition. Genetically acquired peripheral neuropathies include, for example, Refsum's disease, Krabbe's disease, Metachromatic leukodystrophy, Fabry's disease, Dejerine-Sottas syndrome, Abetalipoproteinemia, and Charcot-Marie-Tooth (CMT) Disease (also known as Proneal Muscular Atrophy or Hereditary Motor Sensory Neuropathy (HMSN)).

Based on the demonstrated ability of NT-3, the native ligand of the trkC receptor, to promote proliferation of peripheral blood leukocytes, the anti-trkC agonist antibodies of the present invention may be used also as therapeutic agents for the treatment of neutropenia, various infections, and tumors. Since the expression of trkC is not limited to neurons, anti-trkC agonist antibodies are expected to find utility in the prevention or treatment of disorders characterized by cellular degeneration in general, without restriction to neural cells.

The anti-trkC antibodies of the present invention may also be used to induce angiogenesis, or treat pathological conditions/diseases in which the induction of angiogenesis is desirable. Such pathological conditions include, for example, cardiac ischemia regardless of the underlying pathology, including cerebrovascular disorders caused by insufficient cerebral circulation. Angiogenesis may also be desirable in the treatment of wounds, including  
5   ulcers, diabetic complications of sickle cell disease, and post surgical wounds.

The anti-trkC antibodies of the present invention may also be useful in the diagnosis of diseases involving cellular degeneration, in particular the neurodegenerative diseases listed above.

For diagnostic applications, the antibody typically will be labeled with a detectable moiety. Numerous labels are available which can be generally grouped into the following categories:

10       (a) Radioisotopes, such as <sup>35</sup>S, <sup>14</sup>C, <sup>125</sup>I, <sup>3</sup>H, and <sup>131</sup>I. The antibody can be labeled with the radioisotope using the techniques described in *Current Protocols in Immunology*, Volumes 1 and 2, Coligen *et al.*, Ed. Wiley-Interscience, New York, New York, Pubs. (1991) for example and radioactivity can be measured using scintillation counting.

15       (b) Fluorescent labels such as rare earth chelates (europium chelates) or fluorescein and its derivatives, rhodamine and its derivatives, dansyl, Lissamine, phycoerythrin and Texas Red are available. The fluorescent labels can be conjugated to the antibody using the techniques disclosed in *Current Protocols in Immunology, supra*, for example. Fluorescence can be quantified using a fluorimeter.

20       (c) Various enzyme-substrate labels are available and U.S. Patent No. 4,275,149 provides a review of some of these. The enzyme generally catalyzes a chemical alteration of the chromogenic substrate which can be measured using various techniques. For example, the enzyme may catalyze a color change in a substrate, which can be measured spectrophotometrically. Alternatively, the enzyme may alter the fluorescence or chemiluminescence of the substrate. Techniques for quantifying a change in fluorescence are described above. The chemiluminescent substrate becomes electronically excited by a chemical reaction and may then emit light which can be measured (using a chemiluminometer, for example) or donates energy to a fluorescent acceptor. Examples of enzymatic labels include  
25   luciferases (*e.g.*, firefly luciferase and bacterial luciferase; U.S. Patent No. 4,737,456), luciferin, 2,3-dihydrophthalazinediones, malate dehydrogenase, urease, peroxidase such as horseradish peroxidase (HRPO), alkaline phosphatase, -galactosidase, glucoamylase, lysozyme, saccharide oxidases (*e.g.*, glucose oxidase, galactose oxidase, and glucose-6-phosphate dehydrogenase), heterocyclic oxidases (such as uricase and xanthine oxidase), lactoperoxidase, microperoxidase, and the like. Techniques for conjugating enzymes to antibodies are described in  
30   O'Sullivan *et al.*, *Methods for the Preparation of Enzyme-Antibody Conjugates for use in Enzyme Immunoassay*, in *Methods in Enzym.* (ed J. Langone & H. Van Vunakis), Academic press, New York, 73:147-166 (1981).

Examples of enzyme-substrate combinations include, for example:

35       (i) Horseradish peroxidase (HRPO) with hydrogen peroxide as a substrate, wherein the hydrogen peroxidase oxidizes a dye precursor (*e.g.*, orthophenylene diamine (OPD) or 3,3',5,5'-tetramethyl benzidine hydrochloride (TMB));

(ii) alkaline phosphatase (AP) with para-Nitrophenyl phosphate as chromogenic substrate; and  
(iii) -D-galactosidase (-D-Gal) with a chromogenic substrate (*e.g.*, p-nitrophenyl- -D-galactosidase) or fluorogenic substrate 4-methylumbelliferyl- -D-galactosidase.

Numerous other enzyme-substrate combinations are available to those skilled in the art. For a general  
5 review of these, see U.S. Patent Nos. 4,275,149 and 4,318,980.

Sometimes, the label is indirectly conjugated with the antibody. The skilled artisan will be aware of various techniques for achieving this. For example, the antibody can be conjugated with biotin and any of the three broad categories of labels mentioned above can be conjugated with avidin, or *vice versa*. Biotin binds selectively to avidin and thus, the label can be conjugated with the antibody in this indirect manner. Alternatively, to achieve indirect  
10 conjugation of the label with the antibody, the antibody is conjugated with a small hapten (*e.g.*, digoxin) and one of the different types of labels mentioned above is conjugated with an anti-hapten antibody (*e.g.*, anti-digoxin antibody). Thus, indirect conjugation of the label with the antibody can be achieved.

In another embodiment of the invention, the anti-trkC antibody need not be labeled, and the presence thereof can be detected using a labeled antibody which binds to the anti-trkC antibody.

15 The antibodies of the present invention may be employed in any known assay method, such as competitive binding assays, direct and indirect sandwich assays, and immunoprecipitation assays. Zola, *Monoclonal Antibodies: A Manual of Techniques*, pp.147-158 (CRC Press, Inc. 1987).

Competitive binding assays rely on the ability of a labeled standard to compete with the test sample analyte for binding with a limited amount of antibody. The amount of trkC protein in the test sample is inversely  
20 proportional to the amount of standard that becomes bound to the antibodies. To facilitate determining the amount of standard that becomes bound, the antibodies generally are insolubilized before or after the competition, so that the standard and analyte that are bound to the antibodies may conveniently be separated from the standard and analyte which remain unbound.

Sandwich assays involve the use of two antibodies, each capable of binding to a different immunogenic  
25 portion, or epitope, of the protein to be detected. In a sandwich assay, the test sample analyte is bound by a first antibody which is immobilized on a solid support, and thereafter a second antibody binds to the analyte, thus forming an insoluble three-part complex. See, *e.g.*, U. S. Patent No. 4,376,110. The second antibody may itself be labeled with a detectable moiety (direct sandwich assays) or may be measured using an anti-immunoglobulin antibody that is labeled with a detectable moiety (indirect sandwich assay). For example, one type of sandwich assay is an ELISA  
30 assay, in which case the detectable moiety is an enzyme.

The antibodies may also be used for *in vivo* diagnostic assays. Generally, the antibody is labeled with a radionuclide (such as <sup>111</sup>In, <sup>99</sup>Tc, <sup>14</sup>C, <sup>131</sup>I, <sup>125</sup>I, <sup>3</sup>H, <sup>32</sup>P or <sup>35</sup>S) so that the cells or tissue of interest can be localized using immunoscintigraphy.

The antibodies may also be used as staining reagents in pathology, following techniques well known in the  
35 art.

The anti-trkC agonist antibodies of the present invention are believed to possess numerous advantages over NT-3 as therapeutic agents, including improved efficacy, improved pharmacokinetic properties (pK) and bioavailability, and lack of hyperalgesia following administration.

#### 4. Pharmaceutical formulations

Therapeutic formulations of the antibodies of the present invention are prepared for storage by mixing the antibody having the desired degree of purity with optional physiologically acceptable carriers, excipients or stabilizers (*Remington's Pharmaceutical Sciences* 16th edition, Osol, A. Ed. (1980)), in the form of lyophilized formulations or aqueous solutions. Acceptable carriers, excipients, or stabilizers are nontoxic to recipients at the dosages and concentrations employed, and include buffers such as phosphate, citrate, and other organic acids; antioxidants including ascorbic acid and methionine; preservatives (such as octadecyldimethylbenzyl ammonium chloride; hexamethonium chloride; benzalkonium chloride, benzethonium chloride; phenol, butyl or benzyl alcohol; alkyl parabens such as methyl or propyl paraben; catechol; resorcinol; cyclohexanol; 3-pentanol; and m-cresol); low molecular weight (less than about 10 residues) polypeptides; proteins, such as serum albumin, gelatin, or immunoglobulins; hydrophilic polymers such as polyvinylpyrrolidone; amino acids such as glycine, glutamine, asparagine, histidine, arginine, or lysine; monosaccharides, disaccharides, and other carbohydrates including glucose, mannose, or dextrans; chelating agents such as EDTA; sugars such as sucrose, mannitol, trehalose or sorbitol; salt-forming counter-ions such as sodium; metal complexes (*e.g.*, Zn-protein complexes); and/or non-ionic surfactants such as TWEEN<sup>TM</sup>, PLURONICS<sup>TM</sup> or polyethylene glycol (PEG).

The formulations herein may also contain more than one active compound as necessary for the particular indication being treated, preferably those with complementary activities that do not adversely affect each other. Such molecules are suitably present in combination in amounts that are effective for the purpose intended.

The active ingredients may also be entrapped in microcapsule prepared, for example, by coacervation techniques or by interfacial polymerization, for example, hydroxymethylcellulose or gelatin-microcapsule and poly-(methylmethacrylate) microcapsule, respectively, in colloidal drug delivery systems (for example, liposomes, albumin microspheres, microemulsions, nano-particles and nanocapsules) or in macroemulsions. Such techniques are disclosed in *Remington's Pharmaceutical Sciences* 16th edition, Osol, A. Ed. (1980).

The formulations to be used for *in vivo* administration must be sterile. This is readily accomplished by filtration through sterile filtration membranes.

Sustained-release preparations may be prepared. Suitable examples of sustained-release preparations include semipermeable matrices of solid hydrophobic polymers containing the antibody, which matrices are in the form of shaped articles, *e.g.*, films, or microcapsule. Examples of sustained-release matrices include polyesters, hydrogels (for example, poly(2-hydroxyethyl-methacrylate), or poly(vinylalcohol)), polylactides (U.S. Pat. No. 3,773,919), copolymers of L-glutamic acid and ethyl-L-glutamate, non-degradable ethylene-vinyl acetate, degradable lactic acid-glycolic acid copolymers such as the Lupron Depot<sup>TM</sup> (injectable microspheres composed of lactic acid-glycolic acid copolymer and leuprolide acetate), and poly-D-(-)-3-hydroxybutyric acid. While polymers such as

ethylene-vinyl acetate and lactic acid-glycolic acid enable release of molecules for over 100 days, certain hydrogels release proteins for shorter time periods. When encapsulated antibodies remain in the body for a long time, they may denature or aggregate as a result of exposure to moisture at 37°C, resulting in a loss of biological activity and possible changes in immunogenicity. Rational strategies can be devised for stabilization depending on the mechanism involved. For example, if the aggregation mechanism is discovered to be intermolecular S-S bond formation through thio-disulfide interchange, stabilization may be achieved by modifying sulfhydryl residues, lyophilizing from acidic solutions, controlling moisture content, using appropriate additives, and developing specific polymer matrix compositions.

An effective amount of an antibody of the present invention to be employed therapeutically will depend, for example, upon the therapeutic objectives, the route of administration, and the condition of the patient. Accordingly, it will be necessary for the therapist to titer the dosage and modify the route of administration as required to obtain the optimal therapeutic effect. A typical daily dosage might range from about 1 g/kg to up to 100 mg/kg or more, depending on the factors mentioned above. Typically, the clinician will administer a molecule of the present invention until a dosage is reached that provides the required biological effect. The progress of this therapy is easily monitored by conventional assays.

Administration may be by any conventional route known in the art including, without limitation, intravenous, subcutaneous, topical, intramuscular, intratracheal, intracerebral, intranasal, intrapulmonary, and intraparyncal administration.

#### 4. Gene therapy

The nucleic acid encoding the antibodies of the present invention may also be used in gene therapy of various (chronic) neurodegenerative disorders and acute nerve cell injuries, especially genetically acquired peripheral neuropathies. Two basic approaches to gene therapy have evolved: *ex vivo* gene therapy and *in vivo* gene therapy. In *ex vivo* gene therapy, cells are removed from a subject and cultured *in vitro*. A functional replacement gene is introduced into the cells *in vitro*, the modified cells are expanded in culture, and then reimplanted in the subject. In *in vivo* gene therapy, the target cells are not removed from the subject. Rather, the transferred gene is introduced into cells of the recipient *in situ*, that is, within the recipient.

Several *ex vivo* gene therapy studies in humans have been reported and are reviewed, for example, in Anderson, Science 256:808-813 (1992), and Miller, Nature 357:455-460 (1992).

The viability of *in vivo* gene therapy has been demonstrated in several animal models, as reviewed in Felgner *et al.*, Nature 349:351-352 (1991). Direct gene transfer has been reported, for example, into muscle tissue (Ferry *et al.*, Proc Natl. Acad. Sci. 88:8377-8781 [1991]; Quantin *et al.*, Proc. Natl. Acad. Sci. USA 89:2581-2584 [1992]); the arterial wall (Nabel *et al.*, Science 244:1342-1344 [1989]); and the nervous system (Price *et al.*, Proc. Natl. Acad. Sci. 84:156-160 [1987]).

Accordingly, the present invention also provides delivery vehicles suitable for delivery of a polynucleotide encoding an agonist anti-trkC antibody into cells (whether *in vivo* or *ex vivo*). Generally, a polynucleotide encoding an

antibody (e.g. linear antibody or antibody chains) will be operably linked to a promoter and a heterologous polynucleotide. Delivery vehicles suitable for incorporation of a polynucleotide encoding an antibody of the present invention for introduction into a host cell include non-viral vehicles and viral vectors. Verma and Somia, Nature 389:239-242 (1997).

5 A wide variety of non-viral vehicles for delivery of a polynucleotide encoding an antibody of the present invention are known in the art and are encompassed in the present invention. A polynucleotide encoding an anti-trkC antibody can be delivered to a cell as naked DNA (U.S. Patent No. 5,692,622; WO 97/40163). Alternatively, a polynucleotide encoding an anti-trkC antibody herein can be delivered to a cell associated in a variety of ways with a variety of substances (forms of delivery) including, but not limited to cationic lipids; biocompatible polymers, including  
10 natural polymers and synthetic polymers; lipoproteins; polypeptides; polysaccharides; lipopolysaccharides; artificial viral envelopes; metal particles; and bacteria. A delivery vehicle can be a microparticle. Mixtures or conjugates of these various substances can also be used as delivery vehicles. A polynucleotide encoding an antibody herein can be associated non-covalently or covalently with these various forms of delivery. Liposomes can be targeted to a particular cell type, e.g., to a glomerular epithelial cell.

15 Viral vectors include, but are not limited to, DNA viral vectors such as those based on adenoviruses, herpes simplex virus, poxviruses such as vaccinia virus, and parvoviruses, including adeno-associated virus; and RNA viral vectors, including, but not limited to, the retroviral vectors. Retroviral vectors include murine leukemia virus, and lentiviruses such as human immunodeficiency virus. Naldini *et al.*, Science 272:263-267 (1996).

Non-viral delivery vehicles comprising a polynucleotide encoding an anti-trkC antibody can be introduced into  
20 host cells and/or target cells by any method known in the art, such as transfection by the calcium phosphate coprecipitation technique; electroporation; electroporation; electroporation; liposome-mediated transfection; ballistic transfection; biolistic processes including microparticle bombardment, jet injection, and needle and syringe injection; or by microinjection. Numerous methods of transfection are known to the skilled worker in the field.

Viral delivery vehicles can be introduced into cells by infection. Alternatively, viral vehicles can be  
25 incorporated into any of the non-viral delivery vehicles described above for delivery into cells. For example, viral vectors can be mixed with cationic lipids (Hodgson and Solaiman, Nature Biotechnol. 14:339-342 [1996]); or lamellar liposomes (Wilson *et al.* Proc. Natl. Acad. Sci. USA 74:3471 [1977]; and Faller *et al.* J. Virol. 49:269 [1984]).

In a preferred embodiment, nucleic acid encoding both the heavy and the light chains (including fragments) of an anti-trkC antibody of the present invention will be present in the same polycistronic expression vector, such as  
30 those disclosed in U.S. Patent Nos. 4,965,196 and 4,713,339. Polycistronic expression vectors contain sequences coding for a secondary protein and a desired protein, wherein both the desired and secondary sequences are governed by the same promoter. The coding sequences are separated by translational stop and start signal codons. The expression of the secondary sequence effects control over the expression of the sequence for the desired protein, and the secondary protein functions as a marker for selection of transfected cells.

In *in vivo* gene therapy, the vector may be administered to the recipient, for example, by intravenous (i.v.) injection. Suitable titers will depend on a variety of factors, such as the particular vector chosen, the host, strength of promoter used, and the severity of the disease being treated.

5 The invention will be further illustrated by the following non-limiting examples.

## EXAMPLES

### Example 1 Production and characterization of agonist anti-trkC monoclonal antibodies

#### Production and Isotyping of Antibodies

10 Wild type Balb/C mice and transgenic mice producing human IgG2 or IgG4 (Xenomice, described in Mendez *et al.*, *Nature Genetics* 15: 146-156 [1997]) were hyperimmunized either intraperitoneally, via rear footpad, or subcutaneously with 20 µg of human trkC-IgG (Shelton *et al.*, *J. Neurosci.* 15: 477-491 [1995]) in either Freund's or Ribi adjuvant as described in Mendez *et al.* (*supra*). Spleen cells from the immune mice were fused with myeloma cells (X63.Ag8.653, ATCC Rockville, MD). A total of 33 fusions were performed using 253 Xenomice and 35 wild type

15 Balb/C mice. Plates (21,734 wells total) were initially screened by direct ELISA using trkC-IgG. The ELISA screen identified 684 trkC positive hybridomas, all of which were then evaluated for agonist activity in trkC KIRA (Kinase activated Receptor Assay). The KIRA identified 14 Xenomouse derived and 22 wild type Balb/C mice derived hybridomas secreting anti-trkC agonist antibodies. These hybridomas were subcloned by limiting dilution, reassayed to confirm agonist activity, and were used to induce ascites by injecting into pristane-primed Balb/C or nude mice (Hongo

20 *et al.*, *Hybridoma* 14: 253-260 [1995]). The monoclonal antibodies present in ascites were purified by Protein A affinity chromatography (Hongo *et al.*, *supra*). Specific fusion efficiency (number of positives / number of wells screened) was 3% for both the Xenomouse and wild type Balb/C mouse fusions. The incidence of agonist monoclonal antibodies (agonists / number of trkC ELISA positives) was 3% and 8% for the Xenomouse and wild type Balb/C mouse fusions, respectively. Isotypes of the murine monoclonal antibodies were determined using either GIBCO BRL dipstick

25 or Zymed mouse-typer isotyping kit, following supplier's instructions. The Xenomice were either IgG<sub>2</sub> or IgG<sub>4</sub> strain, producing corresponding isotypes of antibodies. Table 1 shows isotypes of various human and murine anti-trkC monoclonal antibodies. A total of 8 human IgG<sub>2</sub>, 6 human IgG<sub>4</sub>, 7 murine IgG<sub>1</sub>, 10 murine IgG<sub>2a</sub> and 5 murine IgG<sub>2b</sub> monoclonal antibodies were identified. The monoclonal antibodies with the most potent agonist activity (depicted by asterisk in Table 2), as determined by KIRA assay, were selected for in-depth characterization.

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Table 2

#### Human Mabs (14 Total)

IgG <sub>2</sub> Isotype (8 Mabs)	IgG <sub>4</sub> Isotype (6 Mabs)
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2.5.1*	4.8
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	6.1.2*	2337
	6.4.1*	2338
	2342	2339
	2343	2348
5	2344 *	2349*
	2345 *	
	2346	

10 Murine Mabs (22 Total)

	IgG <sub>1</sub> (7)	IgG <sub>2a</sub> (10)	IgG <sub>2b</sub> (5)	IgG <sub>3</sub>
15	2249	2248*	2252	
	2250*	2272	2273	
	2253*	2251	2277	
	2254	2255	2279	
	2256*	2274	2280	
20	2257	2275		
	2260	2276		
		2278		
		2281		
		2282		
25				

Determination of Agonist Activity

a. *KIRA Assay*

Two bioassays were used to determine NT-3 agonist activity of anti-trkC monoclonal antibodies. The Kinase activated receptor assay (KIRA), which has been discussed in greater detail hereinabove, measures tyrosine phosphorylation of trkC in transfected cells in response to stimulation with a ligand, such as NT-3, or agonist monoclonal antibodies (Sadick *et al.*, *Exp. Cell Res.* 234: 354-361 [1997]). The monoclonal antibodies were diluted to 27 µg/ml in KIRA stimulation buffer (F12/DMEM 50:50 containing 2% bovine serum albumin (BSA; Intergen Co., Purchase, NY) and 25 mM Hepes, 0.2 µm filtered). The monoclonal antibodies were further diluted serially 1:3 (8 dilutions total; concentrations ranging from 0.01-180 nM) in stimulation medium. Chinese Hamster Ovary (CHO) cells stably transfected with trkC fused with a 26 amino acid polypeptide flag epitope derived from HSV glycoprotein D (gD) were seeded (5 x 10<sup>4</sup> cells/well) and grown in 96-well cell culture plates. The cells were then stimulated with either NT-3 (as a positive control) or various anti-trkC monoclonal antibodies, using serial dilutions of 0.1; 1.56; 3.13; 6.25; 12.5; 25; 50 and 100 ng/ml. All dilutions were assayed in duplicate for 6 hours. The assay was carried out essentially as described in Sadick *et al.* (*supra*). Briefly, cells were lysed using Triton X-100 and trkC present in lysate captured in ELISA using antibodies against the gD epitope and phosphorylated trkC detected and quantitated using anti-phosphotyrosine antibodies suitably conjugated with enzyme. A monoclonal antibody not directed against trkC (anti-IL8 IgG<sub>2</sub> Xenomous-derived human antibody or anti-gp120 IgG<sub>1</sub> murine monoclonal antibody) was used as a negative



control. As shown in Figure 1 (A and B), all the selected anti-trkC monoclonal antibodies could mimic the activity of NT-3 inasmuch as they could stimulate tyrosine phosphorylation of trkC receptor. The human anti-trkC monoclonal antibodies (Fig. 1A) showed more potent agonistic activity than the murine anti-trkC monoclonal antibodies (Fig. 1B). For example, the best human anti-trkC monoclonal antibody is 10-fold more potent than the best murine anti-trkC monoclonal antibody. Furthermore, the human monoclonals were nearly as efficient as NT-3 especially in the lower range of concentration.

b. *PC12 neurite outgrowth assay*

Another assay used to determine NT-3 mimetic activity of anti-trkC monoclonal antibodies was PC12 neurite outgrowth assay. This assay measures the outgrowth of neurite processes by rat pheochromocytoma cells (PC12) in response to stimulation by appropriate ligands. These cells express endogenous trkA and are therefore responsive to NGF. However, they do not express endogenous trkC and are therefore transfected with trkC expression construct in order to elicit response to NT-3 and its agonists. PC12 cells were transfected (Urfer *et al.*, *Biochem.* 36:4775-4781 [1997]; Tsoulfas *et al.*, *Neuron* 10:975-990 [1993]) with full-length human trkC and plated in 96-well cell culture plates (1000 cells/well). Three days following transfection, anti-trkC monoclonal antibodies were added in triplicate (concentration ranging from 0.0002 to 2.7 nM) and incubated for an additional 3 days at 37°C. The cells were then analyzed by phase contrast microscopy and cells with neurites exceeding 2 times the diameter of the cell were counted. The human as well as the murine anti-trkC monoclonal antibodies could stimulate neurite outgrowth in PC12 cells as shown in Fig. 1 C and D. The human anti-trkC monoclonal antibodies (Fig. 1C) exhibited far more potent activity than the murine anti-trkC monoclonal antibodies (Fig. 1D) thus corroborating the results obtained in the KIRA assay. Furthermore, consistent with the KIRA assay results, the human anti-trkC monoclonal antibodies showed roughly similar stimulation as obtained with NT-3. The results obtained with the two bioassays described above demonstrate the ability of anti-trkC monoclonal antibodies to mimic the activity of NT-3, the natural ligand of trkC receptor.

Agonist activity of the monoclonal antibodies was ranked according to maximum induction of tyrosine phosphorylation and calculated EC50 of the phosphorylation curves in the KIRA assay and PC12 neurite outgrowth assay. Table 3 summarizes characteristics of various anti-trkC agonist monoclonal antibodies.

Table 3

MAB ID	Isotype	Agonist Activity KIRA/PC12	Binds Rat trkC	Immunoblot NR/Red.	Affinity Kd (nM)
Human MABs				NR      Red.	
2.5.1	G2	(+++ / +++)	NO	++      ++	12
6.1.2	G2	(++++ / +++)	NO	+      +	12.5

6.4.1	G2	(++++/++++)	YES	+	+	12
2344	G2	(+++ / ++++)	NO	++	+	19
2345	G2	(++++/++++)	NO	++	++	12.1
2349	G4	(++++/++++)	NO	++	+	23
Murine MAbs						
2248	G2a	(+/+)	NO	+++	-	5.9
2250	G1	(++/++)	NO	++	++	8.7
2253	G1	(++/++)	NO	++	++	42
2256	G1	(+/+)	YES	++	+	62

#### Testing Specificity of anti-trkC antibodies

The specificity of anti-trkC monoclonal antibodies was tested using direct ELISA. The microtiter plates were coated overnight with immunoadhesin construct of the receptor trkA-IgG, trkB-IgG or trkC-IgG as capture antigens (described in Shelton *et al.*, *J. Neurosci.* 15: 477-491 [1995]) using 100  $\mu$ l of 1  $\mu$ g/ml solution diluted in 50 mM carbonate buffer, pH 9.5. CD4-IgG (Capon *et al.*, *Nature* 337: 525-531 [1989]) was used in place of capture antigen as a negative control. The coated plates were incubated for 1 hr at room temperature with various concentration of anti-trkC monoclonal antibodies (100  $\mu$ l of 0.01 to 1  $\mu$ g/ml) diluted in PBS containing 0.5% BSA and 0.05% Tween 20. After washing to remove excess unbound antibodies, appropriate HRP conjugate (human monoclonal antibodies: goat anti-human  $\kappa$ -HRP, 1:5000 diluted; murine monoclonal antibodies: goat anti-mouse IgG (Fc)-HRP, 1:5000 diluted) was added and incubated for 1 hr at room temperature. The plates were then washed, developed and read as previously described (Hongo *et al.*, *Hybridoma* 14: 253-260 [1995]). Figure 2 shows a representative example using a human anti-trkC monoclonal antibody 6.1.2. The binding was highly specific to trkC, and no significant cross-reaction was observed with either trkA or trkB. Similarly, other human and mouse anti-trkC monoclonal antibodies showed specific recognition of trkC.

The binding of various anti-trkC agonist monoclonal antibodies to human trkC and rat trkC was compared using a direct ELISA essentially as described above except the capture antigen used for human trkC was trkC-gD instead of trkC-IgG. Results shown in Figure 3 indicate that among human anti-trkC monoclonal antibodies, only 6.4.1 significantly recognized rat trkC, rest were specific for human trkC. Similarly, among murine monoclonal antibodies, only 2256 recognized rat trkC to a significant extent while others showed specific recognition of human trkC only.

#### Affinity studies

Affinities of anti-trkC agonist monoclonal antibodies were determined using *BIAcore-2000*<sup>TM</sup> surface plasmon resonance (SPR) system (BIAcore, Inc., Piscataway, N.J.). CM5 biosensor chips were activated with N-ethyl-N'-(3-dimethylaminopropyl)-carbodiimide hydrochloride (EDC) and N-hydroxysuccinimide (NHS) according to the supplier's instructions. In the first series of binding experiments, the antigen, gD-tagged trkC, was diluted into 10 mM sodium acetate buffer (pH 4.8), and injected over the activated chip at a concentration of 0.09 mg/mL. Using variable

exposure times, four ranges of antigen density were achieved: 14,000-17,000 response units (RU), 7000-9000 RU, 2000-3000 RU, and 400-600 RU. The chip was blocked with ethanolamine.

In the first series of kinetic measurements, anti-trkC antibodies (IgG's) were diluted into running buffer (PBS containing 0.05% Tween-20 and 0.01% sodium azide) and 0.03 mL (667 nM) was injected over the biosensor chip at 25°C at a flow rate of 0.01 mL/min. Regeneration was achieved with a 30 sec pulse of 10 mM HCl, followed by a 1 min pulse of 100 mM Tris-HCl, pH 8.0 and two wash steps.

In a second series of experiments, the IgG's (0.1 mg/mL in 10 mM sodium acetate, pH 4.8) were immobilized as described above, except that antibody density was limited to 1000-2000 RU. Two-fold serial dilutions of gD-trkC in the range of 3.7 M to 29 nM were then injected over the biosensor chip for kinetics measurements as described above.

10 The dissociation phase of each kinetic curve were fit to a single exponential dissociation rate ( $k_{off}$ ), and these rates were used in the calculation of the association rate ( $k_{on}$ ) from the injection phase, using a simple 1:1 Langmuir binding model (Lofas & Johnsson, 1990).

Equilibrium dissociation constants,  $K_d$ 's, from SPR measurements were calculated as the ratio  $k_{off}/k_{on}$ .

The affinities of anti-trkC antibodies for gD-trkC were measured in SPR kinetics experiments with either antigen or antibody immobilized. Apparent affinities determined from experiments using low densities of immobilized antigen (0.4 to 0.6 ng/mm<sup>2</sup>), were generally consistent with those determined in experiments using immobilized IgG (see Table 2). However, at higher densities of immobilized gD-trkC, the apparent binding affinity of each antibody became progressively tighter by factors of as much as 10 fold, probably because of an avidity effect of binding by the bivalent IgG (data not shown). In some cases, no binding could be detected when trkC was injected over immobilized IgG. This may have occurred because immobilization of the IgG led to steric blocking of the antigen-binding site. Under all conditions tested, the antibody 2248 had the highest apparent affinity ( $K_d$  = 5.6 to 8.5 nM) of all antibodies tested.

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Table 4

5 Binding affinities determined by SPR. Results are shown for IgG's binding to immobilized gD-trkC (400-600 RU) and for gD-trkC binding to immobilized IgG's (1000-3000 RU). NDB = no detectable binding.

Antibody (IgG)	K <sub>d</sub> (nM)	
	Immobilized gD-trkC	Immobilized IgG
2248	5.9	8.5
2250	8.7	28
2253	42	51
2256	62	300
2344	19	NDB
2345	12	NDB
2349	23	NDB
6.4.1	12	28
6.1.2	13	16
2.5.1	12	NDB

#### Competition ELISA

A competition ELISA was used to get preliminary information about various groups to which these antibodies belong depending on the epitope(s) on trkC they recognize. In this assay, trkC-gD (1 µg/ml) was used as a capture antigen to coat microtiter plate. A specific biotinylated anti-trkC monoclonal antibody (1 µg/ml) was added to the coated plate either alone or in presence of another anti-trkC monoclonal antibody that was unlabeled and used in excess (50 µg/ml) as compared to the labeled antibody. If biotinylated antibody and unlabeled antibody both recognize the same or overlapping epitope, they will compete for binding to the immobilized trkC, resulting in decreased binding of the labeled antibody. If they recognize different and non-overlapping epitopes, there will be no competition between them, and the binding of the labeled antibody to the immobilized trkC will not be affected. Unlabeled human IgG2 and mouse IgG were used as negative control. A representative data in Figure 4 shows that all anti-trkC monoclonal antibodies, except murine anti-trkC 2248 monoclonal antibody, compete with labeled human anti-trkC 6.1.2 monoclonal antibody for binding to the immobilized trkC, suggesting that murine 2248 antibody recognizes an epitope on trkC that is different from the epitope(s) recognized by all other anti-trkC antibodies.

It is interesting to note that when unlabeled murine monoclonal antibody 2248 is bound first to immobilized trkC, none of the other (biotinylated) antibodies can access their binding site, suggesting that even though the epitopes are

distinct, steric hinderance may play a role. Such pairwise comparison gives valuable information and helps in classifying antibodies directed against the same antigen into different groups based on epitope recognition. A summary of such comparison is shown in Figure 5. The results indicate that the antibodies can be divided into two distinct groups: Group 1 encompasses all monoclonal antibodies except 2248, whereas Group 2 is composed of 2248.

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#### Epitope Mapping with Domain Swap Mutants

Further epitope mapping was performed utilizing chimeric trkC in which various domains were replaced with corresponding domains from trkA or trkB. This approach was made possible by the fact that anti-trkC antibodies do not significantly cross-react with trkA or trkB. The use of such domain-swap mutants has a distinct advantage over deletion mutants. The deletion of a domain might disrupt the secondary structure of protein whereas substitution of a domain with a corresponding domain, of similar size and substantially similar amino acid sequence, from a related protein in domain-swap mutants is likely to retain the secondary structure. The extracellular domain of trk receptors is composed of 5 domains as shown in Figure 6A. D1 and D3 are cysteine-rich domains, D2 is a leucine-rich domain, and D4 and D5 are immunoglobulin-like domains. Domain-swap mutants of trkC containing replacement of D1, D4 and D5 with the corresponding domains from trkB or trkA were made (Urfer *et al.*, *EMBO J.* 14:2795-2805 [1995]). Wild type trkC and wild type trkA were used as positive and negative controls respectively. The domain-swap mutants of trkC are designated according to the source of the replaced domain. For example, s1B has D1 domain from trkB, s4B has D4 domain from trkB, s5B has D5 domain from trkB, and s5A has D5 domain from trkA. All of the mutants were expressed as immunoadhesin, i.e. fused to IgG, and purified.

The binding of each of the agonist anti-trkC monoclonal antibody to various domain-swap mutants was evaluated by ELISA. F(ab')<sub>2</sub> fragment from goat anti-human IgG was used for coating microtiter plates to capture serial dilutions (100 µg/ml to 2.4 ng/ml, 100 µl/well, one hour at room temperature) of immunoadhesins (trkC-IgG, trkA-IgG and domain-swap mutants of trkC as immunoadhesin). Either unlabeled human or biotinylated murine anti-trkC monoclonal antibodies were added (100 µl per well; 1 µg/ml, one hour at room temperature) to the plates containing immobilized immunoadhesins, washed to remove unbound excess reagents, and incubated with goat anti-human κ or streptavidin conjugated to HRP. As shown in Figure 6B, all human anti-trkC monoclonal antibodies were able to recognize trkC domain-swap mutants with replacement of domain D1 or D4. However, replacement of domain D5 with the corresponding domain derived from either trkB or trkA destroyed recognition by anti-trkC antibodies. The extent of binding was reduced to the same low level as that observed with a negative control, trkA. The results suggest that all the human anti-trkC monoclonal antibodies tested recognize an epitope located somewhere in domain D5.

Similar analysis was performed with murine anti-trkC monoclonal antibodies essentially the same way except the secondary antibody used was goat anti-mouse IgG Fc coupled to HRP. As with the human anti-trkC antibodies, the replacement of domain D5 abolished binding to all the murine anti-trkC monoclonal antibodies tested (Figure 6B). Additionally, the replacement of domain D4 also destroyed the binding of 2248 murine anti-trkC antibody. The human

35

as well as murine anti-trkC agonist monoclonal antibodies all seem to recognize an epitope in domain 5 with the exception of 2248 murine antibody, which seems to additionally recognize a determinant in domain 4. It appears that 2248 epitope may be a linear epitope overlapping the boundary of domain 4 and 5. Alternatively, 2248 antibody might recognize a secondary structure formed by discontinuous epitope with determinants derived from both domain 4 and domain 5. Interestingly, Urfer *et al.* (*J. Biol. Chem.* 273: 5829-5840 [1998]) have earlier established the prominent role of domain 5 in trkC receptor for mediating the interaction with NT-3. Surprisingly, the antibodies described herein also bind to an epitope of trkC which is largely overlapping with that recognized by NT-3. This is surprising because of the relative sizes and shapes of NT-3 and immunoglobulin molecules. The likely mode of action of these activators is to crosslink the extracellular domains of two trkC molecules in such a way to bring together their intracellular tyrosine kinase domains and cross phosphorylate and activate them.

In homodimeric NT-3, it has been established that the two areas of the molecule which interact with trkC are diametrically opposed on opposite sides of the molecule, 180 degrees apart from each other. The distance between these areas is on the order of 16 Å. On the other hand, the two trkC interacting sites in the immunoglobulin molecules described here are not diametrically opposed. In addition to displaying the trkC binding domains at a different angle than NT-3, immunoglobulins will have the trkC binding domains separated from each other by a much wider distance than they are in NT-3. This will vary with the exact angle of the two Fab domains, but is in the range of 50 Å to 150 Å. It would have been difficult to have foreseen that two such very different crosslinkers as NT-3 and the agonist Mabs act as agonists when bound to the same site on trkC.

#### Site-Directed Mutagenesis

Site-directed mutagenesis approach was used to determine the contribution of selected individual amino acid residues of domain 5 in the recognition by anti-trkC antibodies. Figure 7 shows the amino acid sequence of human trkC domains 4 and 5. All dotted residues were mutagenized to alanine except residues L284, L286 and E287 which were changed to E, H, and K respectively (Urfer *et al.*, *J. Biol. Chem.* 273: 5829-5840 [1998]). A total of 26 single amino acid mutations were made and evaluated for their effect on binding to anti-trkC monoclonal antibodies. The values shown in Table 5 represent the ratio of binding to anti-trkC antibody of mutant vs wildtype trkC. In order to minimize variation and provide effective comparison, EC50 values were determined for each mutant for each antibody and divided by the EC50 value obtained with wildtype trkC.

Table 5

trkC Mutant	NT-3*	2.5.1	6.1.2	6.4.1	2344	2345	2349	2248	2250	2253	2256	1436
trkC	1.0	1.0	1.0	1.0	1.0	1.0	1.0	1.0	1.0	1.0	1.0	1.0
R275A	1.1	1.1	1.1	1.1	1.1	1.1	1.1	1.1	1.1	1.1	1.1	1.1
E280A	0.7	0.7	0.7	0.7	0.7	0.7	0.7	0.7	0.7	0.7	0.7	0.7
E283A	1.7	1.7	1.7	1.7	1.7	1.7	1.7	1.7	1.7	1.7	1.7	1.7

L284E	1.1	NB	NB	NB	NB	NB	NB	0.7	0.6	0.7	1.1	0.8
R285A	1.5	1.1	1.2	0.8	0.9	1.0	0.9	0.8	2.6	0.9	NB	0.4
L286H	1.2	0.6	1.3?	NB	0.9	0.5	0.6	0.6	0.4	0.4	0.6	0.6
E287K	27.3	NB	NB	NB	NB	NB	NB	0.6	0.8	0.7	0.7	0.6
E291A	1.0											
R295A	11.6											
Q309A	1.0											
R312A	0.8											
K315A	0.9											
H318A	1.0	0.8	1.1	0.7	0.8	1.0	0.7	1.0	1.0	0.8	0.8	1
E320A	1.0											
E324A	1.2											
E329A	1.0											
N335A	37.8	NB	NB	0.3	NB	NB	1.5	0.6	0.5	0.5	0.5	0.6
K336A	0.9											
T338A	30.3											
H339A	1.7											
K350A	1.0											
Q358A	1.2											
K366A	0.9											
E367A	1.2											
D372A	1.2											
E373A	1.1											

The gray areas indicate that the designated mutants did not have an initial effect on monoclonal antibody binding, and were therefore not re-assayed. Mutations that completely obliterated monoclonal antibody binding are shown as NB ("no binding observed"). The analysis indicates the major contribution of amino acid residues L284, E287 and N335 of trkC in recognition by anti-trkC agonist monoclonal antibodies tested. A model of the complex of trkC domain 5 with NT3 shows the position of these residues in close contact with CDRs of antibody (Figure 8). This model is based on the crystal structure of the complex of trkA domain 5 with NGF. For further details see, e.g. Urfer *et al. J. Biol. Chem.* (1998), *supra*, or Ultsch *et al., J. Mol. Biol.* 290:149-159 (1999).

#### 10 Cloning and Sequencing of Antibody Variable Regions

In order to better understand the molecular basis of interaction between trkC and anti-trkC monoclonal antibodies, the heavy and light chain variable sequences of agonist antibodies were cloned and DNA sequence determined. Total RNA was isolated from hybridoma cells producing the human and murine anti-trkC antibodies using RNA isolation kit from Stratagene (La Jolla, CA). RNA was reverse transcribed into cDNA using SuperScript II system (Life Technologies, Inc., Gaithersburg, MD) and specific 3' primers based on framework 4 sequences derived from the respective heavy or light chain subgroup (Kabat and Wu, *J. Immunol.* 147: 1709-1719 [1991]). Subsequent PCR amplification was performed using AmpliTaq DNA polymerase (Perkin Elmer, Foster City, CA) in presence of 2.5 M DMSO with specific forward primers based on the N-terminal amino acid sequences of heavy and light chains and the same 3' primers used for cDNA synthesis. PCR products were subcloned into an F(ab)<sub>2</sub> vector containing both human

heavy and light chain constant regions (Carter *et al.*, *Bio/Technology* 10: 163-167 [1992]). Five clones each of the V<sub>H</sub> and V<sub>L</sub> domains were sequenced and a consensus sequence was obtained.

Figure 9 shows the deduced amino acid sequences of heavy chain of anti-trkC agonist monoclonal antibodies (2250, SEQ ID NO: 42; 2253, SEQ ID NO: 43; 2256, SEQ ID NO: 44; 6.1.2, SEQ ID NO: 45; 6.4.1, SEQ ID NO: 46; 2345, SEQ ID NO: 47; and 2349, SEQ ID NO: 48). The deduced amino acid sequences of light chain of anti-trkC agonist monoclonal antibodies are shown in Figure 10 (2250, SEQ ID NO: 49; 2253, SEQ ID NO: 50; 2256, SEQ ID NO: 51; 6.1.2, SEQ ID NO: 53; 6.4.1, SEQ ID NO: 53; 2345, SEQ ID NO: 54; and 2349, SEQ ID NO: 55). In both Figure 9 and Figure 10 the Complementarity Determining Regions (CDRs) are labeled as CDR1, CDR2 and CDR3, and the corresponding amino acid residues are shown in bold face. Figure 11 summarizes the sequences of CDRs of heavy chain as well as light chain of various anti-trkC monoclonal antibodies along with designation of respective heavy and light chain variable family to which they belong.

Based on the determined amino acid sequences of the CDRs of the heavy and light chains of the anti-trkC, agonist monoclonal antibodies, it is possible to provide a general formula for several of these regions. For the murine antibodies, the heavy chain CDR1 may be represented by the formula XaaWXaaXaaWVK (SEQ ID NO:37), wherein Xaa at position 1 is F or Y, Xaa at position 3 is I or M and Xaa at position 4 is E or H. The murine heavy chain CDR2 may be represented by the formula EIXaaPXaaXaaXaaXaaTNYNEKFKXaa (SEQ ID NO:38), wherein Xaa at position 3 is L or Y, Xaa at position 5 is G or S, Xaa at position 6 is S or N, Xaa at position 7 is D or G, Xaa at position 8 is N or R and Xaa at position 17 is G or S. The murine heavy chain CDR3 may be represented by the formula KNRNYGNYVV (SEQ ID NO:12) or KYYYGNSYRSWYFDV (SEQ ID NO:13). For the human antibodies, the heavy chain CDR1 may be represented by the formula XaaXaaXaaYYWXaa (SEQ ID NO:39), wherein Xaa at position 1 is S or I, Xaa at position 2 is G or S and Xaa at position 3 is G, T or Y and Xaa at position 7 is S or N. The human heavy chain CDR2 may be represented by the formula XaaXaaXaaSGSXaaTXaaNPSLKS (SEQ ID NO:40), wherein Xaa at position 1 is Y or R, Xaa at position 3 is Y or F, Xaa at position 4 is Y or T, Xaa at position 8 is S or R and Xaa at position 10 is N or Y. The human heavy chain CDR3 may be represented by DRDYDSTGDYYSYGMDV (SEQ ID NO:14), DGGYSNPF (SEQ ID NO:15) or the formula ERIAAGXaaDYYYNGLXaaV (SEQ ID NO:41) wherein Xaa at position 8 is A or T and Xaa at position 16 is D or A.

The deduced amino acid sequence of heavy and light chain variable regions was confirmed by determination of N-terminal peptide sequence of these antibodies. Electroblothing onto Millipore Immobilon-PSQ membranes was carried out for 1 hr at 250 mA constant current in a BioRad Trans-Blot transfer cell (Matsudaira, *J. Biol. Chem.* 262: 10035-10038 [1987]). The PVDF membrane was stained with 0.1% Coomassie Blue R-250 in 50% methanol, 0.5 min. and destained for 2-3 min. with 10% acetic acid in 50% methanol. The membrane was thoroughly washed with water and allowed to dry before storage at 20°C. Automated protein sequencing was performed on model 494A Perkin-Elmer sequencer (Perkin-Elmer Corporation, Foster City, CA) equipped with on-line PTH analyzer. Protein electroblotted onto PVDF membrane were sequenced in 6 mm micro glass cartridge. Peaks were integrated with Justice Innovation software using Nelson Analytical 760 interfaces. Sequence interpretation was performed on a DEC Alpha (Henzel *et*



*al., J. Chromatography* 404: 41-52 [1987]). Table 6 summarizes the classification of human and murine anti-trkC agonist monoclonal antibodies based on their N-terminal sequences.

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Table 6

Human anti-trkC agonist mAbs	Heavy chain	Light chain
6.1.2	Subgroup II	Kappa I
6.4.1	Subgroup II	Kappa I
2345	Subgroup II	Kappa III
2349	Subgroup II	Kappa III
2.5.1	Subgroup II	Kappa I
2344	Subgroup II	Kappa I
<b>Murine anti-trkC agonist mAbs</b>		
2248	Subgroup IIA	Kappa I
2250	Subgroup IIA	Kappa I
2253	Subgroup IIA	Kappa IV
2256	Subgroup IIA	Kappa III

Example 2      Effect of agonist anti-trkC monoclonal antibodies on neuropathies in experimental animal model

10      The principal use of NT-3 agonists is in the treatment and/or prevention of peripheral neuropathies. It is known that large fiber myelinated sensory neurons, which are involved in mediating proprioception and vibration sense, express trkC that acts as a high affinity receptor for NT-3. Neuropathies involving these large fibers are common in diabetes and are also induced in response to certain chemotherapeutic agents particularly cisplatin and pyridoxine. NT-3 has shown efficacy in animal models of experimental diabetic neuropathy and cisplatin induced neuropathy.

15      However, the use of NT-3 is severely hampered by its poor bioavailability as shown in a rodent model. The use of anti-trkC monoclonal antibodies as agonist of NT-3 offers numerous advantages and obviates a number of potential problems associated with the use of NT-3.

20      The *in vivo* half-life of agonist anti-trk monoclonal antibodies was determined by injecting either intravenously or subcutaneously in experimental animals. Shown on Figure 12 are serum levels of monoclonal antibody 2256 at various times after intravenous (IV) injection of 1 mg/kg or subcutaneous (subQ) injection of 5 mg/kg in rats. The serum levels were determined by using the KIRA assay to measure the amount of fully functional antibody 2256 by its ability to increase tyrosine autophosphorylation of trkC. These data indicate that monoclonal antibody 2256 in the rat has a half-life of 9 days and a bioavailability of 69% after subcutaneous administration. These values are consistent with those obtained with other antibodies, and are distinctly different from those obtained with NT3. Also

25      shown in Figure 12 is data obtained after injection of NT-3 at the same doses and routes as shown for Mab 2256 (1

mg/kg, IV; 5 mg/kg subQ). These data indicate a serum half-life on the order of 4-5 minutes for NT-3, and a subcutaneous bioavailability of 7%. These data indicate that the antibodies are a significant improvement over NT-3 in terms of the very important properties of bioavailability and *in vivo* serum half-life.

It has been shown in two animal models of large fiber sensory neuropathy that NT-3 can protect or reverse the effects of chemical insult. Very high doses of NT3 have been shown to protect large fiber sensory neurons from the toxic effects of high doses of pyridoxine, and more moderate doses of NT3 have been shown to reverse the effects of cisplatin administration. Since there might be many differences in the tissue distribution of NT-3 and the agonist Mabs described here, it is important to determine whether the *in vitro* activity of the Mabs translates into efficacy in animal models.

In order to create an animal model of cisplatin induced neuropathy, adult rats were dosed with cisplatin twice a week for sixteen weeks with 1 mg/kg intraperitoneally (IP). At this point, rats were split into four groups. All four groups continued receiving cisplatin twice weekly. In addition to the continued cisplatin, one group received NT-3 at a dose of 1mg/kg, three times per week, one group received Mab 2256 at a dose of 1 mg/kg once a week, one group received Mab 6.4.1 at a dose of 1 mg/kg once a week, and one group received saline three times a week. The NT-3 doses were given subcutaneously, while the Mabs and saline were administered IV. This treatment regime was continued for an additional four weeks, for a total of twenty weeks of cisplatin administration.

The function of large fiber sensory neurons was assessed in these animals electrophysiologically, by use of H-wave recording (Gao *et al.*, Ann. Neurol. 38(1):30-7 [1995]). As can be seen from the data shown in Figure 13, the sensory conduction velocity was very low in the animals treated with cisplatin with saline alone. NT-3 treatment three times a week caused an improvement of this lowered conduction velocity, as did treatment with either Mab 2256 or Mab 6.4.1 once a week. The magnitude of the improvement seen with the monoclonal antibodies used once a week was at least as great as that seen with three times a week treatment with NT-3.

Pyridoxine is also known to induce a sensory neuropathy that primarily damages the large myelinated subpopulation of sensory neurons (Helgren *et al.*, J. Neurosci. 17(1):372-82 [1997]). High doses of NT3 have been shown to block the development of this neuropathy (Helgren *et al.*, *supra*). Treatment of animals with two different doses of pyridoxine (either 400 mg/kg or 600 mg/kg daily, IP) for two weeks causes damage to the large neurons of the DRG. This damage can be detected by a decrease in the expression of several proteins known to be expressed either preferentially or exclusively by large neurons in the DRG. The expression level of these markers was assessed by measuring the level of the mRNA encoding them by use of the TAQMAN RT-PCR technique.

#### *Taqman RT-PCR for trkC agonist effects:*

##### A. Probes and Primers

###### NFL

F-CAGCAGAACAAGGTCCTGGAA 21MER (SEQ ID NO: 72)

R-AGCGGGAAGGCTCTGAGTG 19MER (SEQ ID NO: 73)

P-AGCTGTTGGTGCTGCGCCAGAA 22MER (SEQ ID NO: 74)

5	NSE	F-TCCATTGAAGACCCATTGAC	21MER	(SEQ ID NO: 75)
		R-GCCGACATTGGCTGTGAAC	19MER	(SEQ ID NO: 76)
		P-AGGATGACTGGGCAGCTTGGTCCA	24MER	(SEQ ID NO: 77)
10	TRKC	F-CAGCCCACTGCACCATATCA	20MER	(SEQ ID NO: 78)
		R-CTGTATCCGGCCCAGCAT	18MER	(SEQ ID NO: 79)
		P-CCATGGCATCACTACACCTTCATCGCT	27MER	(SEQ ID NO: 80)
15	CALRET	F-TGGGAAAATTGAGATGGCAGA	21MER	(SEQ ID NO: 81)
		R-GCTGCCTGAAGCACAAAAGG	20MER	(SEQ ID NO: 82)
		P-CGCAGATCCTGCCAACC GAAGAGA	24MER	(SEQ ID NO: 83)
20	PARVALB.	F-GACACCACTCTTCTGGAAAATGC	23MER	(SEQ ID NO: 84)
		R-TTGCCAAACCAACACCTACCA	21MER	(SEQ ID NO: 85)
		P-ATCGGACACCACCTGTAGGGAGGACC	26MER	(SEQ ID NO: 86)
25	GAPDH	F-CAGTGGCAAAGTGGAGATTGT	21MER	(SEQ ID NO: 87)
		R-AATTTGCCGTGAGTGGAGTC	20MER	(SEQ ID NO: 88)
		P-CCATCAACGACCCCTTCATTGACCTC	26MER	(SEQ ID NO: 89)

Probes and primers were designed using Primer Express, (ABI-Perkin-Elmer). Guidelines for primer probe selection are included in Williams and Tucker (1999) PCR applications, pp. 365-75 (Academic Press).

### 30 B. Total RNA Preparation and Quantification

L4 and L5 were dissected from phosphate buffered saline perfused rats. Left and right sides were isolated in separate tubes. For total RNA used in standard curves, all DRG were dissected from control rats. Total RNA was isolated using the Qiagen Rneasy mini columns. Tissue was homogenized as per manufacturers instructions. Total RNA was quantified utilizing the Ribogreen Quantitaion Kit (Molecular Probes) and following the manufacturers instructions.

35

### C. RT-PCR

Twenty five nanograms of total RNA was used per 50ul reaction, except in standard curve reactions where 500, 250, 25 or 2.5 nanograms per reaction was used. Each reaction contained 25 pmol of each oligonucleotide primer, 0.2 mM of each dNTP, 100 nM flourescently labelled oligonucleotide probe, 1X RT-PCR buffer (PE biosystems), 2.0mM MgCl<sub>2</sub>, 20 U RNase inhibitor, 12.5 MuLV reverse transcriptase (RT, PE biosystems) and 2.5U Amplitaq Gold polymerase (PE biosystems). Reverse transcription was performed for 30 min at 48 degrees C followed by 95 degrees C for 10 min for Amplitaq Gold activation and RT inactivation, then PCR; 40 cycles of 95 degrees C for 15 sec and 60 degrees C for one and a half minutes.

#### D. Gene Expression Quantitation

Control RNA was used to generate standard curves for a housekeeping gene and the genes of interest with  
5 each taqman run. A standard curve was obtained by plotting the threshold cycle (Ct) value obtained from the Taqman  
run versus the log of the quantity of control total RNA added. The resultant linear equation was solved for the log RNA  
value. Plugging in the experimental Ct value produced the log of the experimental gene expression value. Ten raised to  
the power of this value gives the experimental gene expression in nanograms.

As can be seen from Figure 14, pyridoxine treatment for two weeks resulted in a dose dependent decrease in  
10 neurofilament light chain (NFL), neuron specific enolase (NSE), trkC, and calretinin expression. Both the dose  
dependency and magnitude of these decreases varies from marker to marker, indicating a differential sensitivity of  
these proteins as markers of the neuronal damage.

In Figure 15 the results of treating animals with two doses of Mab 2256 along with the low dose (40  
mg/kg daily) of pyridoxine are shown. NFL and NSE show a significant decrease in expression at this level of  
15 pyridoxine treatment. Cotreatment of animals with 5 mg/kg of Mab 2256 (subQ weekly) completely blocked this  
decrease in expression. A Mab 2256 dose of 1 mg/kg had no appreciable effect on the expression of these proteins.  
Neither trkC nor calretinin expression is significantly affected by this low dose pyridoxine treatment, but treatment  
with 5 mg/kg Mab 2256 actually increases trkC expression over control level.

When animals are treated with the higher pyridoxine dose of 600mg/kg daily, the expression of NFL, NSE and  
20 calretinin falls to very low levels, while trkC expression falls to about 50% of control values (Figure 16). Cotreatment  
with Mab 2256 at either 1 mg/kg or 5mg/kg significantly but not completely blocks the decrease in expression seen in  
trkC and calretinin. There is a slight trend towards protection seen with NFL and NSE expression in animals treated  
with Mab 2256, but it did not attain statistical significance. Thus, using multiple biochemical markers of damage to  
large sensory neurons, Mab 2256 is seen to be capable of ameliorating the toxicity of pyridoxine treatment.

25 In order to examine the electrophysiological and behavioral effects of pyridoxine neuropathy, rats were  
treated with twice daily injections of 400mg/kg pyridoxine for 8 days. The function of their large diameter sensory  
afferents were tested electrophysiologically by recording the M-wave (direct motor) and H-wave (reflex sensory)  
response in the muscles of the foot after stimulation of the sciatic nerve at the thigh and the calf (Gao *et al.*, Ann.  
Neurol. 38(1):30-7 [1995]). Treatment with pyridoxine for 8 days resulted in a large decrease in the amplitude of the  
30 sensory response compared to the motor response as seen in Figure 18. Cotreatment with Mab 2256 significantly  
blocked the pyridoxine-induced decrease in the sensory amplitude. This is similar to effects published using very high  
doses (20mg/kg daily) of NT3 (Helgren *et al.*, *supra*).

Animals treated with this regime of pyridoxine were also behaviorally tested for their proprioceptive function.  
They were trained to walk across a horizontal ladder in order to escape a bright light and white noise stimulus into a  
35 dark box. The animals were videotaped from below, and the quality of the placement of their hindpaws on the rungs  
of the ladder was read by an observer blind to their treatment. Each paw placement was scored as a good placement

(paw lands on forward part of metatarsals, immediately behind toes, with toes wrapping the rung immediately), solid landing (paw hits other than immediately behind toes, but solidly on rung, toes often not wrapping), near footfault (paw barely hits rung, either on the extreme forward part of toes or rearward aspect of heel, but does support weight) or footfault (paw either misses rung entirely or poor enough placement that foot does not support weight and falls through ladder upon weight bearing). Normal rats very quickly learn to place their hindpaws correctly, which requires an excellent proprioceptive sense of where the hindpaw is in space. After treatment with pyridoxine (400mg/kg twice daily for 8 days), the performance on this task had declined, with an almost thirty percent decline in good placements and an increase in both footfaults and near footfaults (Figure 18). Cotreatment of the animals with Mab 2256 during this time, allowed the animals to maintain a much higher degree of performance, with a smaller decline in good placements and smaller increases in footfaults and near footfaults.

In summary, cotreatment with Mab 2256 ameliorates the toxic effects of pyridoxine as measured biochemically, electrophysiologically, and by performance on a behavioral task.

After establishing that the trkC Mabs were therapeutically at least as effective as NT-3, the observed adverse event of hyperalgesia was examined. This side effect of NT-3 administration has been seen in rodents (see Figure 19) and in humans (Chaudhary *et al.*, Muscle and Nerve 23:189-192 [2000]). Rats were trained and tested for thermal sensitivity of the hind paws using a Hargreaves device and then administered 1mg/kg of Mab 2256 IV, or 1mg/kg NT-3 subcutaneously in the scruff. At two, four, and six hours after administration, the rats were again tested for their thermal withdrawal times. As can be seen from Figure 19, NT-3 administration caused a significant heat hyperalgesia at four and six hours post dosing, while the trkC Mab 2256 was without any effect on thermal pain sensation. So, at doses known to be effective in reversing or preventing neuropathy, NT-3 does cause an increase in sensitivity to pain, while the Mab 2256 does not.

Cisplatin, a widely used chemotherapeutic agent, induces a sensory neuropathy with selective loss of vibration sense and proprioception. Here we demonstrate that neurotrophin-3 (NT-3), a member of the nerve growth factor family of neurotrophic factors, restored to normal levels the reduced H-reflex-related sensory nerve conduction velocity induced by cisplatin in rats. NT-3 treatment corrected an abnormal cytoplasmic distribution of neurofilament protein in large sensory neurons in dorsal root ganglia and the reduction in the numbers of myelinated fibers in sural nerves caused by cisplatin. The NT-3-dependent reversal of cisplatin neurotoxicity thus suggests the possible use of NT-3 in the treatment of peripheral sensory neuropathy.

Chronic treatment of adult rats for 2-3 weeks with high doses of pyridoxine (Vitamin B6) produced a profound proprioceptive loss, similar to that found in humans overdosed with this vitamin or treated with the chemotherapeutic agent cisplatin. Pyridoxine toxicity was manifest as deficits in simple and precise locomotion and sensory nerve function and as degeneration of large-diameter/large-fiber spinal sensory neurons. As assessed quantitatively in a beam-walking task and by EMG recording of H waves evoked by peripheral nerve stimulation, coadministration of the neurotrophic factor neurotrophin-3 (NT-3; 5-20 mg/kg/day, s.c.) during chronic pyridoxine treatment largely attenuated the behavioral and electrophysiological sequelae associated with pyridoxine toxicity.

Furthermore, NT-3 administration prevented degeneration of sensory fibers in the dorsal column of the spinal cord. These data are consistent with the evidence that NT-3 is a target-derived neurotrophic factor for muscle sensory afferents and suggest that pharmacological doses of NT-3 may be beneficial in the treatment of large-fiber sensory neuropathies.

5

#### Deposit of Biological Material

The following hybridoma cell lines and plasmids have been deposited with the American Type Culture Collection, 10801 University Boulevard, Manassas, VA 20110-2209, USA (ATCC) on June 21, 2000:

	Hybridoma/Plasmid Designation	ATCC No.
10	2.5.1	PTA-2151
	6.1.2	PTA-2148
	6.4.1	PTA-2150
	2344	PTA-2144
	2345	PTA-2146
15	2349	PTA-2153
	2248	PTA-2147
	2250	PTA-2149
	2253	PTA-2145
	2256	PTA-2152
20	DNA pXCA-2250HL	PTA-2136
	DNA pXCA-2253HL	PTA-2137
	DNA pXCA-2256HL	PTA-2138
	DNA pXCA-6.1.2H	PTA-2141
	DNA pXCA-6.4.1H	PTA-2143
25	DNA pXCA-2345H	PTA-2142
	DNA pXCA-2349H	PTA-2133
	DNA vegf4chim-6.1.2L	PTA-2134
	DNA vegf4chim-6.4.1L	PTA-2135
	DNA vegf4chim-2345L	PTA-2139
30	DNA vegf4chim-2349L	PTA-2140

This deposit was made under the provisions of the Budapest Treaty on the International Recognition of the Deposit of Microorganisms for the Purpose of Patent Procedure and the Regulations thereunder (Budapest Treaty). This assures maintenance of viable cultures for 30 years from the date of the deposit. The organisms will be made available by ATCC under the terms of the Budapest Treaty, and subject to an agreement between Genentech, Inc. and

35

ATCC, which assures permanent and unrestricted availability of the progeny of the cultures to the public upon issuance of the pertinent U.S. patent or upon laying open to the public of any U.S. or foreign patent application, whichever comes first, and assures availability of the progeny to one determined by the U.S. Commissioner of Patents and Trademarks to be entitled thereto according to 35 USC §122 and the Commissioner's rules pursuant thereto (including 37 CFR §1.12 with particular reference to 886 OG 638).

In respect of those designations in which a European patent is sought, a sample of the deposited microorganism will be made available until the publication of the mention of the grant of the European patent or until the date on which the application has been refused or withdrawn or is deemed to be withdrawn, only by the issue of such a sample to an expert nominated by the person requesting the sample. (Rule 28(4) EPC)

The assignee of the present application has agreed that if the cultures on deposit should die or be lost or destroyed when cultivated under suitable conditions, they will be promptly replaced on notification with a viable specimen of the same culture. Availability of the deposited strain is not to be construed as a license to practice the invention in contravention of the rights granted under the authority of any government in accordance with its patent laws.

The foregoing written specification is considered to be sufficient to enable one skilled in the art to practice the invention. The present invention is not to be limited in scope by the constructs deposited, since the deposited embodiments are intended to illustrate only certain aspects of the invention and any constructs that are functionally equivalent are within the scope of this invention. The deposit of material herein does not constitute an admission that the written description herein contained is inadequate to enable the practice of any aspect of the invention, including the best mode thereof, nor is it to be construed as limiting the scope of the claims to the specific illustrations that they represent. Indeed, various modifications of the invention in addition to those shown and described herein will become apparent to those skilled in the art from the foregoing description and fall within the scope of the appended claims.

It is understood that the application of the teachings of the present invention to a specific problem or situation will be within the capabilities of one having ordinary skill in the art in light of the teachings contained herein.

Examples of the products of the present invention and representative processes for their isolation, use, and manufacture appear below, but should not be construed to limit the invention.

All references cited throughout the specification and the references cited therein are hereby expressly incorporated by reference.

WHAT IS CLAIMED IS:

1. An agonist anti-trkC monoclonal antibody which
  - (a) shows no significant cross-reactivity with trkA or trkB; and
  - (b) recognizes an epitope in domain 5 of trkC.
2. The antibody of claim 1 which further recognizes an epitope in domain 4 of trkC.
3. The antibody of claim 1 which binds both human and rat trkC.
4. The antibody of claim 1 which is a human antibody.
5. The antibody of claim 1 which is a murine antibody.
6. The antibody of claim 5 which is humanized.
7. The antibody of claim 1 effective in the treatment of cisplatin- or pyridoxine-induced neuropathy.
8. The antibody of claim 1 effective in the treatment of diabetic neuropathy.
9. The antibody of claim 1 which does not cause hyperalgesia when administered to a patient.
10. The antibody of claim 1 which has increased bioavailability as compared to NT-3.
11. The antibody of claim 1 which has a higher specific activity than NT-3.
12. An anti-trkC antibody heavy chain comprising the following CDR's: a CDR1 selected from the group consisting of SEQ ID NOs: 1, 2, 3, 4 and 5; a CDR2 selected from the group consisting of SEQ ID NOs: 6, 7, 8, 9, 10 and 11; and a CDR3 selected from the group consisting of SEQ ID NOs: 12, 13, 14, 15, 16 and 17.
13. An anti-trkC antibody light chain comprising the following CDR's: a CDR1 selected from the group consisting of SEQ ID NOs: 18, 19, 20, 21, 22, 23 and 24; a CDR2 selected from the group consisting of SEQ ID NOs: 25, 26, 27, 28, 29 and 30; and a CDR3 selected from the group consisting of SEQ ID NOs: 31, 32, 33, 34, 35 and 36.
14. A murine anti-trkC antibody heavy chain comprising the following CDR's:
  - (a) a CDR1 of the formula XaaWXaaXaaWVK (SEQ ID NO: 37), wherein Xaa at position 1 is F or Y; Xaa at position 3 is I or M; and Xaa at position 4 is E or H;
  - (b) a CDR2 of the formula EIXaaPXaaXaaXaaXaaTNYNEKFKXaa (SEQ ID NO: 38), wherein Xaa at position 3 is L or Y; Xaa at position 5 is G or S; xaa at position 6 is S or N; Xaa at position 7 is D or G; Xaa at position 8 is N or R and Xaa at position 17 is G or S; and
  - (c) a CDR3 of the formula KNRNYYGNVYV (SEQ ID NO: 12) or KYYYGNSYRSWYFDV (SEQ ID NO: 13).
15. A human anti-trkC antibody heavy chain comprising the following CDR's:
  - (a) a CDR1 of the formula XaaXaaXaaYYWXaa (SEQ ID NO: 39), wherein Xaa at position 1 is S or I; Xaa at position 2 is G or S; Xaa at position 3 is G, T or Y, and Xaa at position 7 is S or N;



(b) a CDR2 of the formula Xaa1XaaXaaSGSXaaTXaaNPSLKS (SEQ ID NO: 40), wherein Xaa at position 1 is Y or R; Xaa at position 3 is Y or F; Xaa at position 4 is Y or T; Xaa at position 8 is S or R; and Xaa at position 10 is N or Y; and

(c) a CDR3 of the formula selected from the group consisting of DRDYDSTGDYYSYGM DV (SEQ ID NO: 14); DGGYSNPFD (SEQ ID NO: 15); ERIAAAGXaaDYYYNGLXaaV (SEQ ID NO: 41), wherein Xaa at position 8 is A or T and Xaa at position 16 is D or A.

16. An anti-trkC agonist monoclonal antibody comprising a heavy chain comprising the CDR's of the murine anti-trkC antibody heavy chain of claim 14 associated with a light chain.

17. The antibody of claim 16 comprising human framework residues.

18. The antibody of claim 17 which shows no significant cross-reactivity with trkA or trkB.

19. The antibody of claim 17 having a homo-tetrameric structure composed of two disulfide-bonded antibody heavy chain-light chain pairs.

20. The antibody of claim 17 which is an antibody fragment selected from the group consisting of Fv, Fab, Fab' and F(ab')<sub>2</sub> fragments.

21. An anti-trkC agonist monoclonal antibody comprising a human anti-trkC antibody heavy chain of claim 15 associated with a human antibody light chain.

22. The antibody of claim 21 which shows no significant cross-reactivity with trkA or trkB.

23. The antibody of claim 22 having a homo-tetrameric structure composed of two disulfide-bonded antibody heavy chain-light chain pairs.

24. The antibody of claim 22 which is an antibody fragment selected from the group consisting of Fv, Fab, Fab' and F(ab')<sub>2</sub> fragments.

25. The antibody of claim 22 which is an IgG.

26. The antibody of claim 25 which is an IgG-2 or IgG-4.

27. A murine anti-trkC agonist antibody selected from the group consisting of antibodies 2248, 2250, 2253 and 2256.

28. A human anti-trkC agonist antibody selected from the group consisting of antibodies 6.1.2, 6.4.1, 2345, 2349, 2.5.1 and 2344.

29. The antibody of claim 28 selected from the group consisting of antibodies 6.1.2, 6.4.1, 2345 and 2349.

30. An isolated nucleic acid molecule encoding a murine anti-trkC agonist antibody of claim 27.

31. An isolated nucleic acid molecule encoding a human anti-trkC agonist antibody of claim 28.

32. A nucleic acid molecule deposited with ATCC on June 21, 2000 under an accession number selected from the group consisting of PTA-2136, PTA-2137 and PTA-2138.

33. A nucleic acid molecule deposited with ATCC on June 21, 2000 under an accession number selected from the group consisting of PTA-2133, PTA-2134, PTA-2135, PTA-2139, PTA-2140, PTA-2141, PTA-2142 and PTA-2143.

34. A vector comprising a nucleic acid molecule of any one of claims 30-33.

35. A host cell line transformed with a nucleic acid molecule of any one of claims 30-33.

36. A hybridoma cell line transformed with a nucleic acid molecule of any one of claims 30-33.

37. An antibody produced by a hybridoma cell line of claim 36.

38. An isolated nucleic acid molecule encoding a heavy chain of an anti-trkC agonist monoclonal antibody, said nucleic acid molecule selected from the group consisting of SEQ ID NO: 58, SEQ ID NO: 60, SEQ ID NO: 62, SEQ ID NO: 64, SEQ ID NO: 66, SEQ ID NO: 68 and SEQ ID NO: 70.

39. An isolated nucleic acid molecule encoding a light chain of an anti-trkC agonist monoclonal antibody, said nucleic acid molecule selected from the group consisting of SEQ ID NO: 59, SEQ ID NO: 61, SEQ ID NO: 63, SEQ ID NO: 65, SEQ ID NO: 67, SEQ ID NO: 69 and SEQ ID NO: 71.

40. A vector comprising a nucleic acid molecule of claim 38 or claim 39.

41. A host cell transformed with a nucleic acid molecule of claim 38 or claim 39.

42. A host cell transformed with both a nucleic acid molecule of claim 38 and a nucleic acid molecule of claim 39.

43. A hybridoma cell line transformed with a nucleic acid molecule of claim 38 or claim 39.

44. A hybridoma cell line transformed with a nucleic acid molecule of claim 38 and a nucleic acid molecule of claim 39.

45. An antibody produced by a hybridoma cell line of claim 43.

46. An antibody produced by a hybridoma cell line of claim 44.

47. A polypeptide encoded by a nucleic acid molecule of claim 38 or claim 39.

48. A polypeptide comprising polypeptide chains encoded by a nucleic acid molecule of claim 38 and a nucleic acid molecule of claim 39.

49. A pharmaceutical composition comprising an effective amount of an agonist anti-trkC monoclonal antibody of any one of claims 1, 16, and 21, in admixture with a pharmaceutically acceptable carrier.

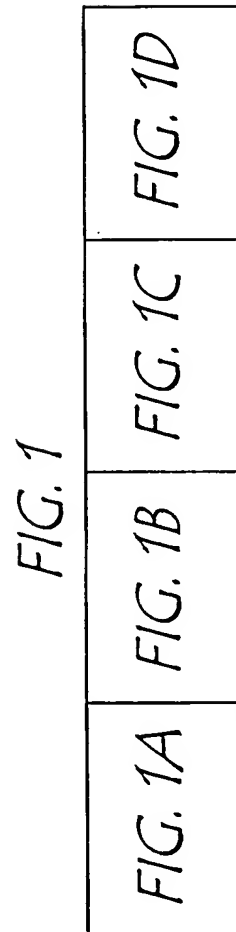
50. A method for treating a neuropathy or neurodegenerative disease, or repairing a damaged nerve cell comprising administering to a mammal an effective amount of an antibody of claim 1.

51. The method of claim 50 wherein said neuropathy is selected from the group consisting of a peripheral neuropathy, diabetic neuropathy, and a large-fiber sensory neuropathy.

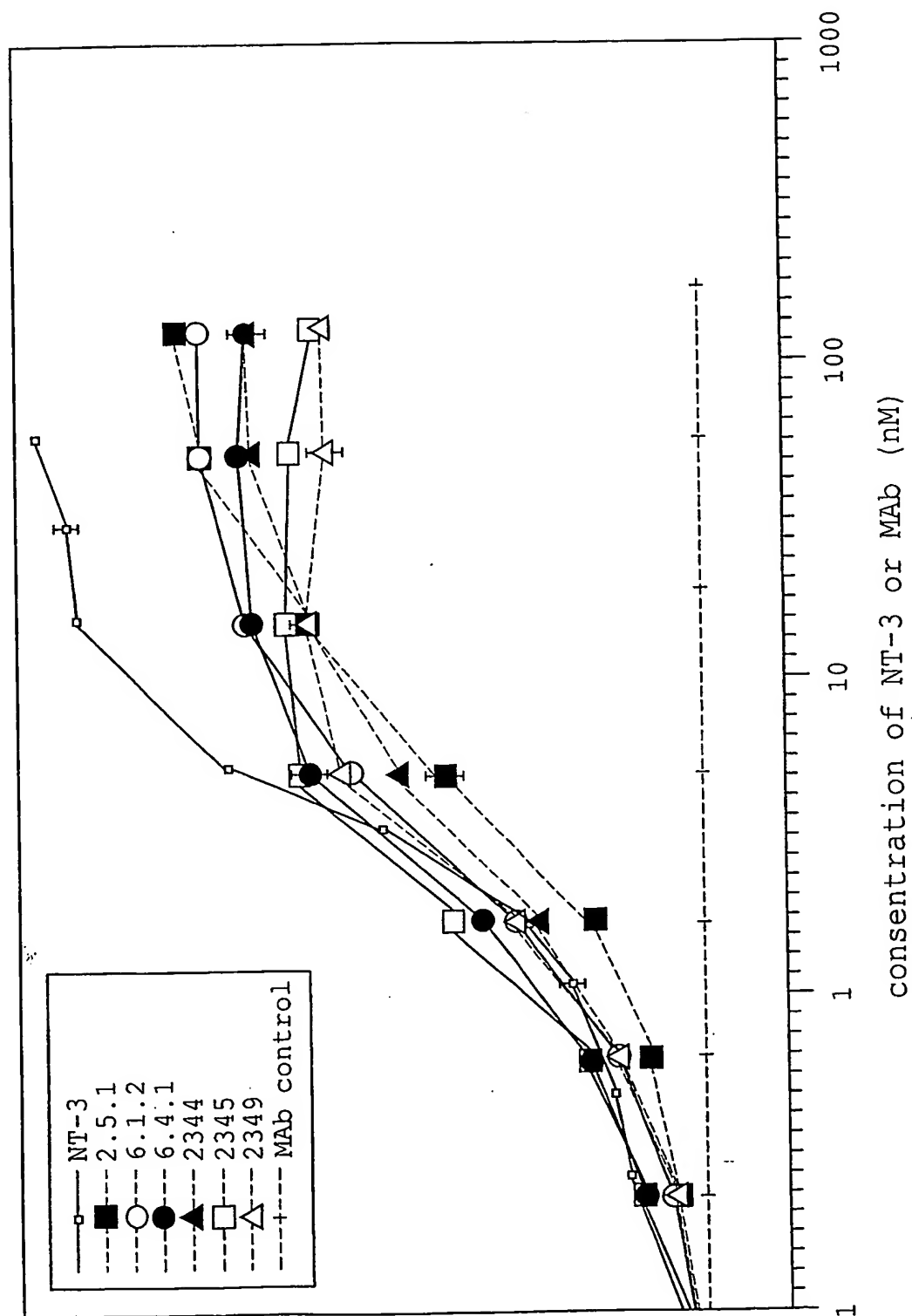
52. The method of claim 50 wherein said neurodegenerative disease is amyotrophic lateral sclerosis (ALS).

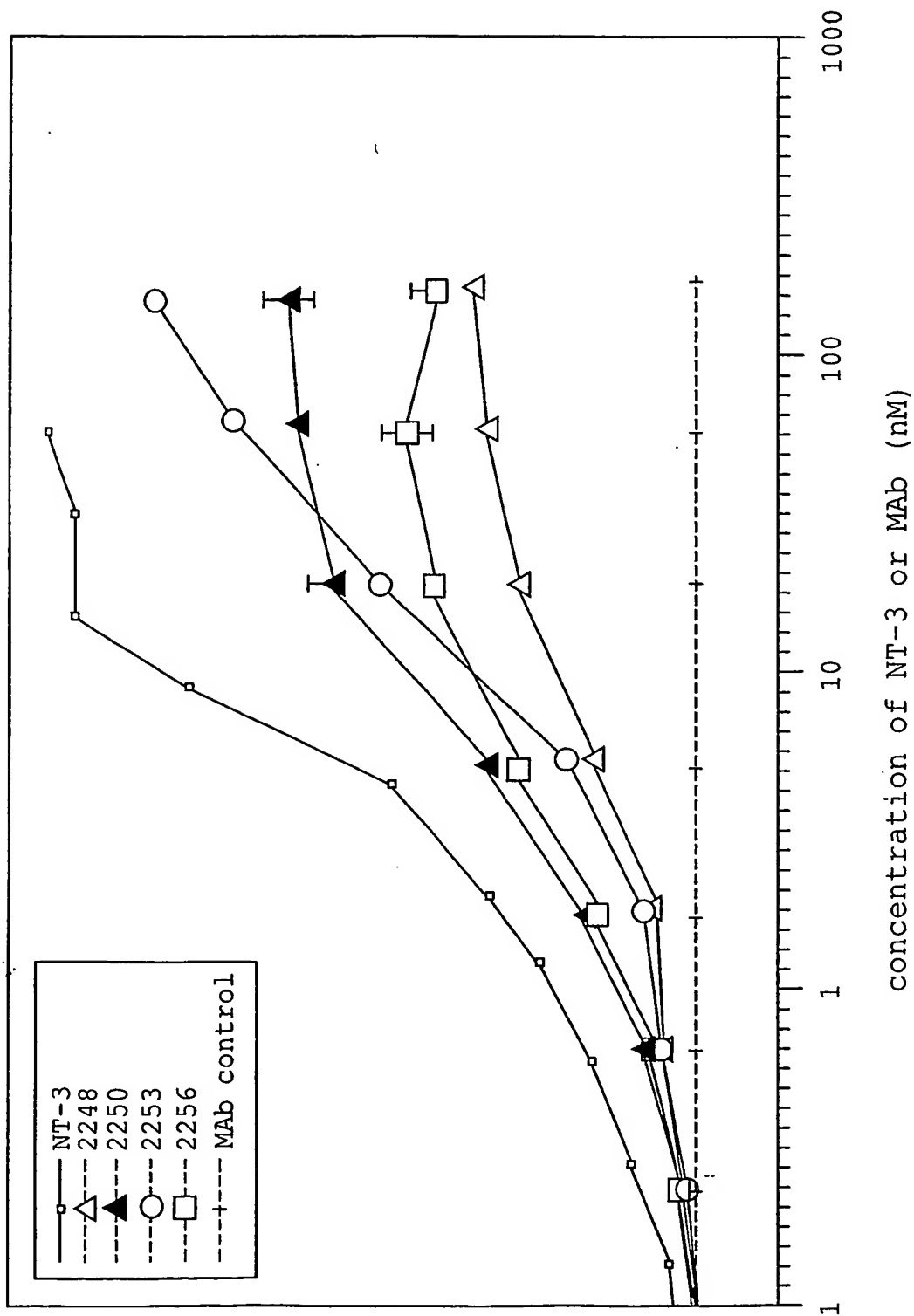
53. The method of claim 50 wherein said nerve cell is a sensory neuron or motor neuron.

54. The method of claim 53 wherein said sensory neuron is from dorsal root ganglia.
55. The method of claim 53 wherein said motor neuron is from the spinal cord.
56. The method of claim 50 wherein said administration is intravenous or subcutaneous.
57. The method of claim 50 wherein said administration is topical.
58. A method for enhancing the proliferation, maintenance or regeneration of peripheral neurons comprising contacting said neurons with an effective amount of an antibody of claim 1, claim 16, or claim 21.
59. A method for the treatment of a disease or condition involving cell degeneration in a mammalian subject by introducing nucleic acid encoding an anti-trkC antibody of claim 1 into a cell of such subject.
60. The method of claim 59 wherein the disease or condition selected from the group consisting of a neuropathy, a neurodegenerative disease, and nerve cell damage.
61. The method of claim 59 wherein said cell is a nerve cell.
62. The method of claim 59 wherein said nucleic acid is introduced into said cell *ex vivo*.
63. The method of claim 59 wherein said nucleic acid is introduced into said cell *in vivo*.
64. A method of inducing angiogenesis comprising delivering an anti-trkC antibody of claim 1 in an amount effective to induce angiogenesis.
65. A method of making an agonist anti-trkC antibody comprising preparing an antibody specifically binding trkC at a site overlapping with the binding site of a native NT-3 ligand of said trkC.
66. The method of claim 65 wherein said antibody binds trkC at essentially the same site as a native NT-3 ligand of said trkC.
67. The method of claim 65 wherein said antibody binds to an epitope within domain 5 of trk C.
68. The method of claim 67 wherein said trkC is a native human trkC polypeptide.
69. The method of claim 68 wherein said epitope includes amino acid residues L284, E287, and N335 of said native human trkC.



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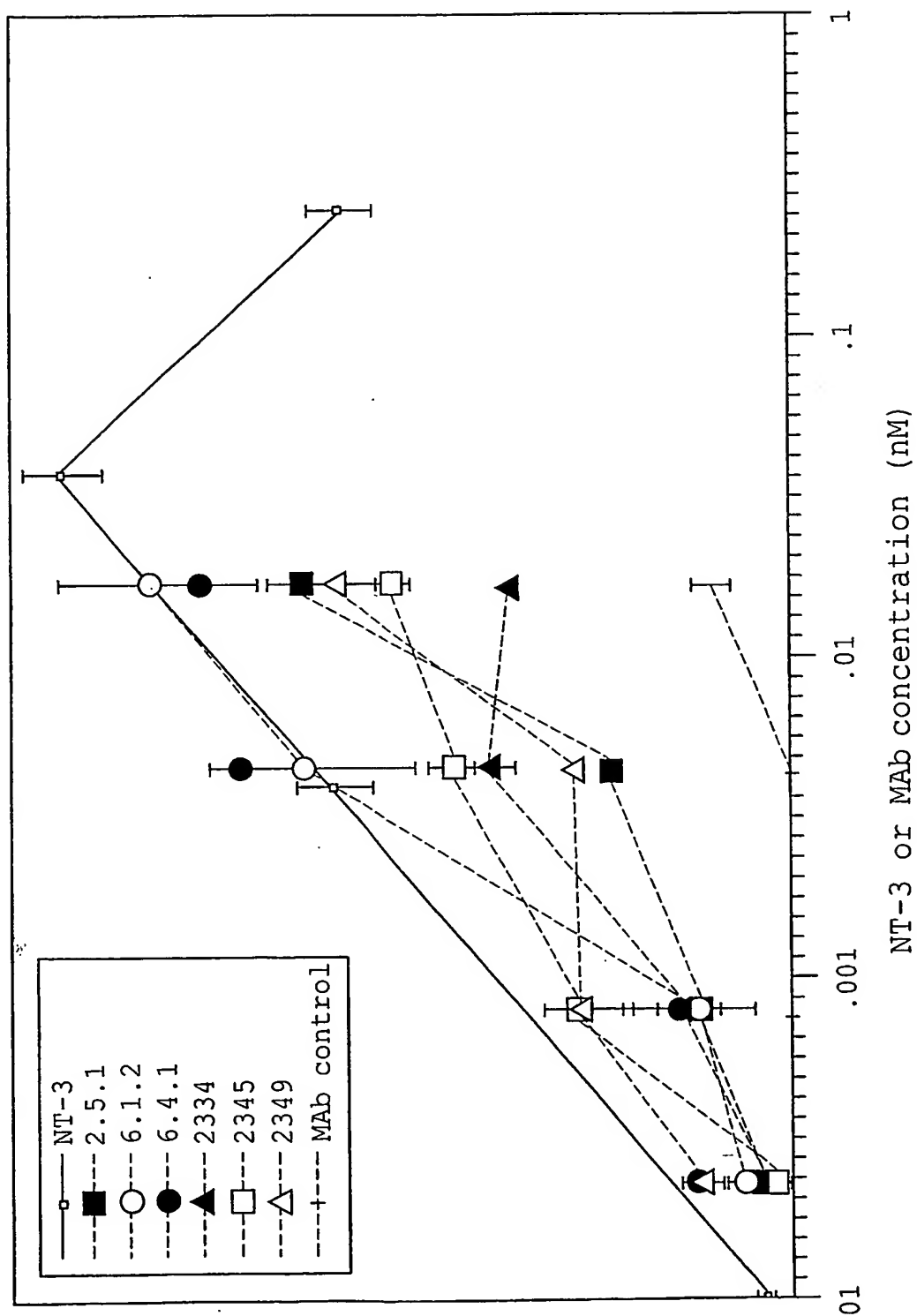


FIG. 1C

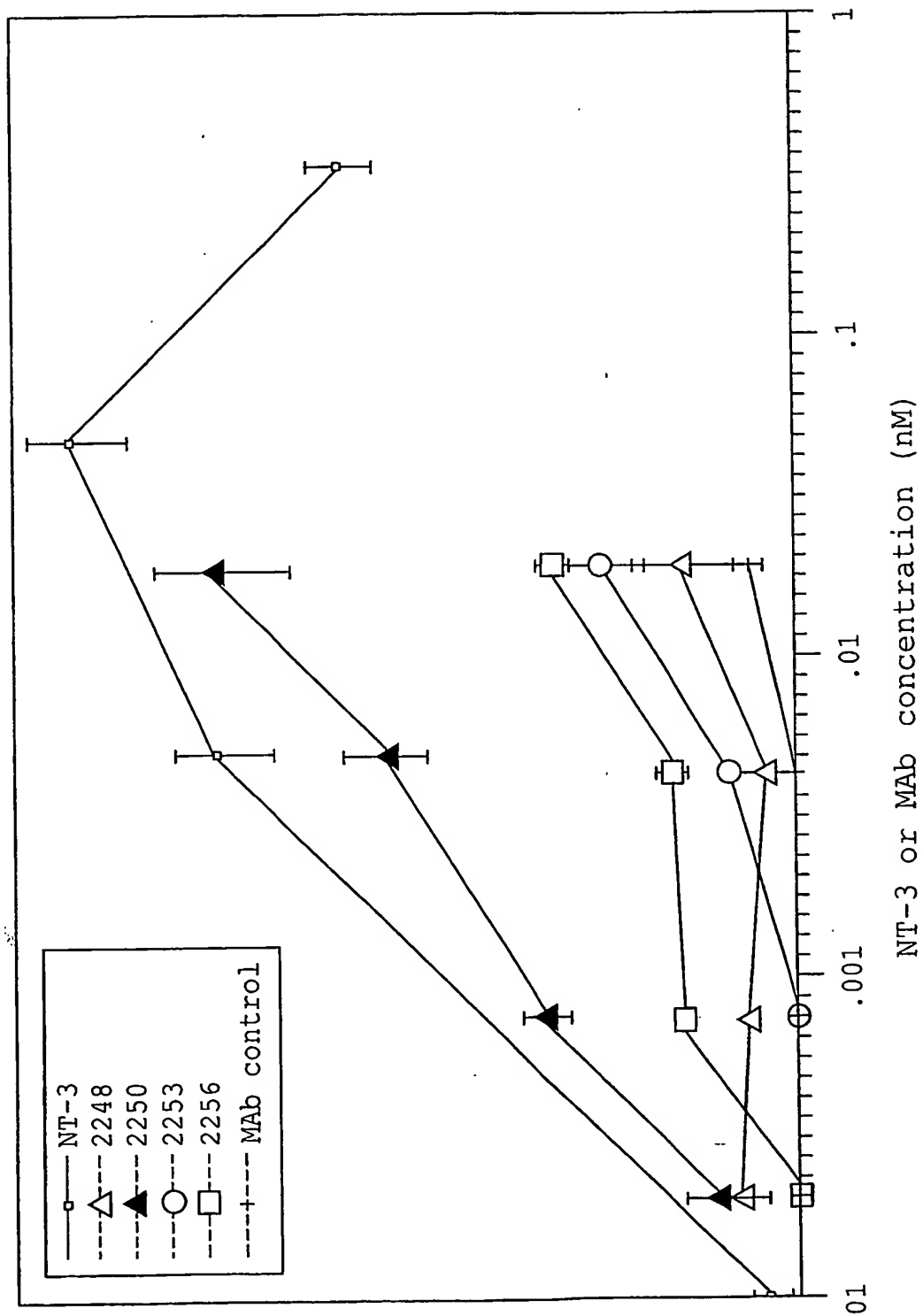
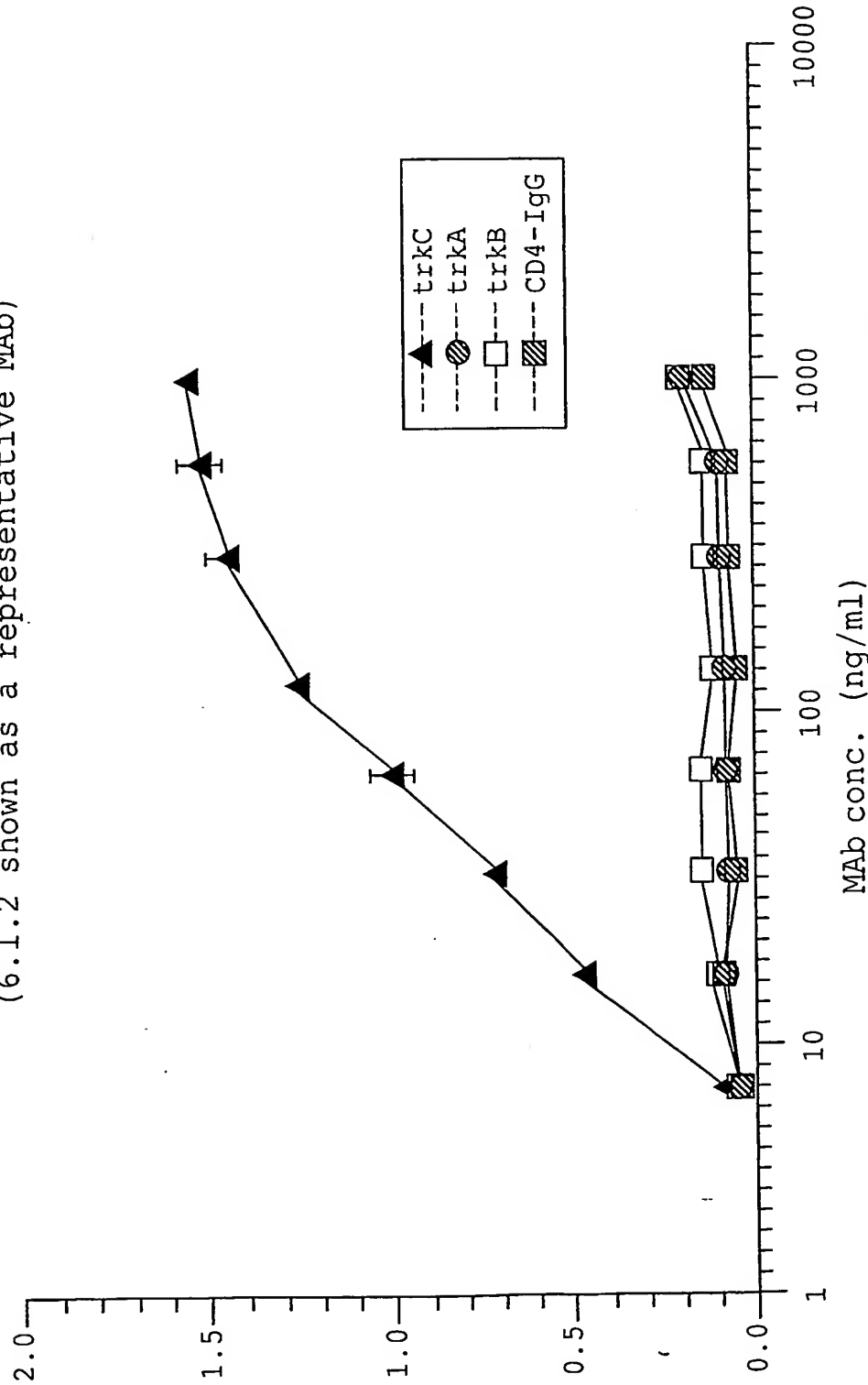


FIG. 1D



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The Agonist MABs Bind SPECIFICALLY to trkC  
(6.1.1.2 shown as a representative MAB)



Assay Format: trk-IgG or CD4-IgG + MAB + appropriate HRP conjugate

FIG. 2

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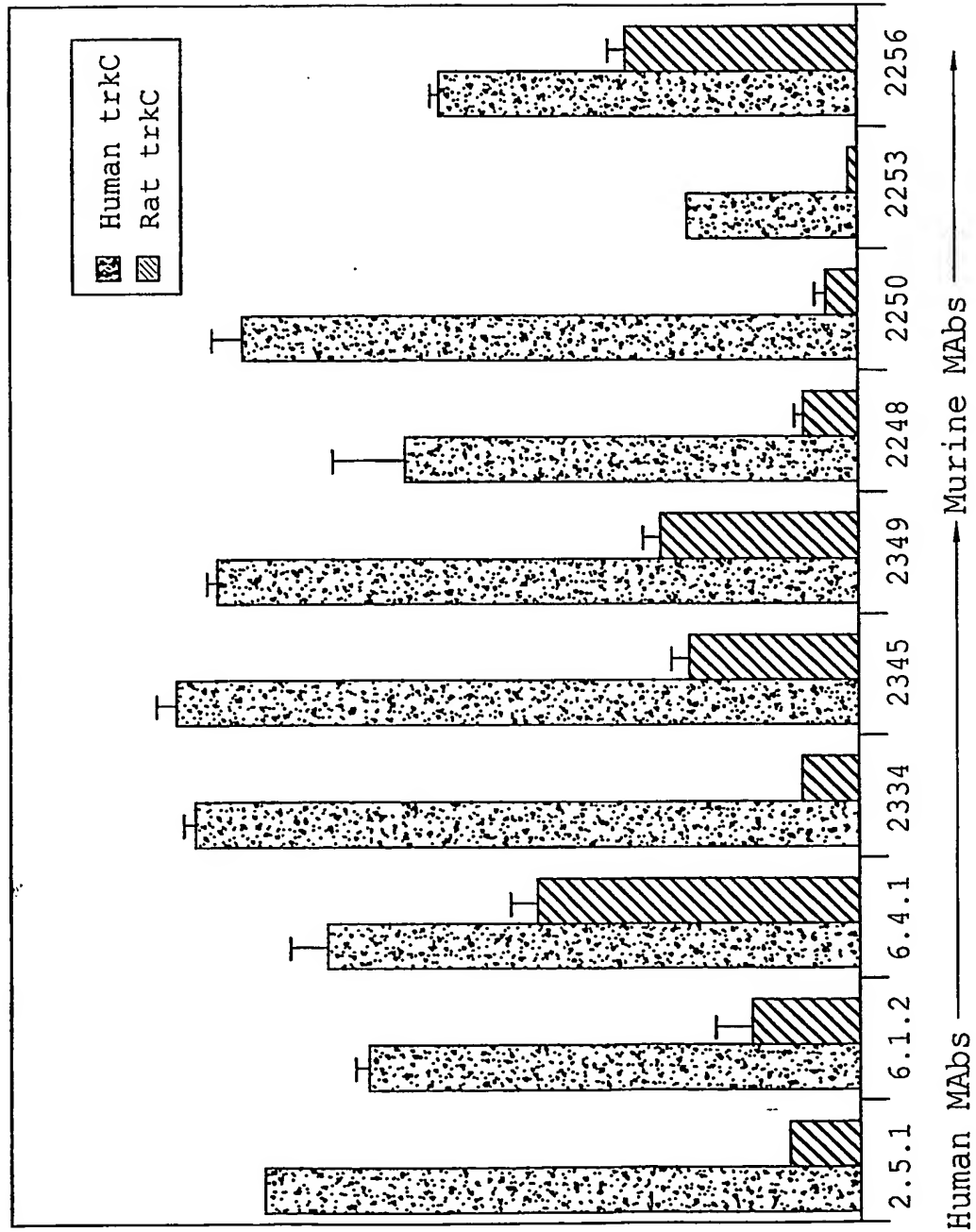
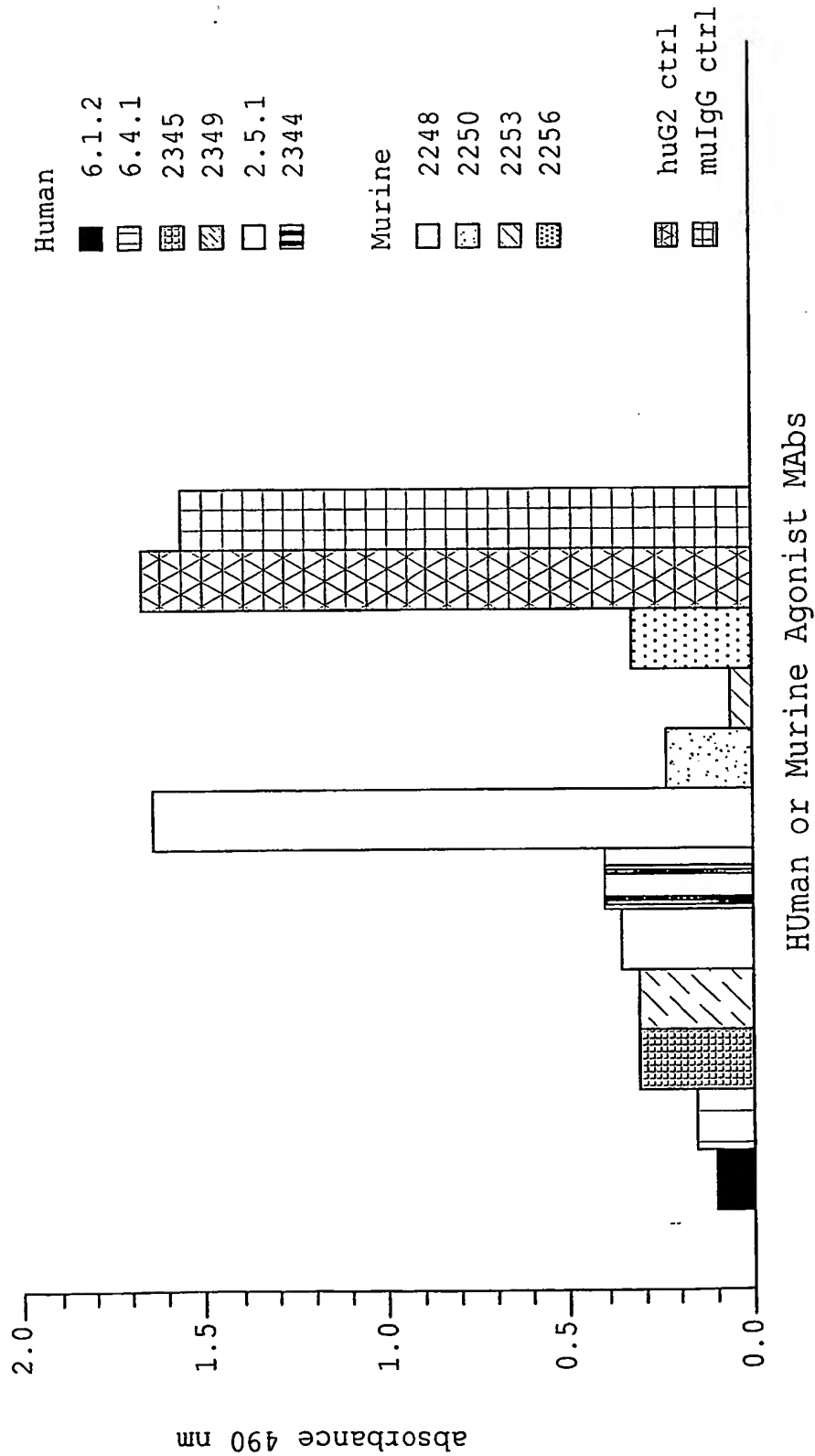


FIG. 3

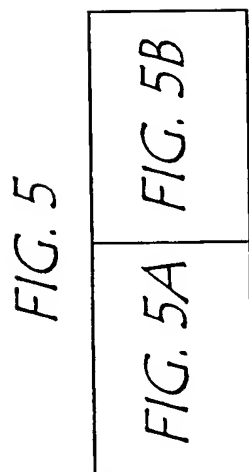
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Crossblocking Analysis Reveals 2 Immunodominant Agonist Epitopes on trkC



Assay Format: trkC ECD + unlabelled MABs + biotinylated 6.1.2 + SA-HRP

FIG. 4



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## Epitope Mapping Summary

						unlabelled MAb							
	2344	2345	2349	2.5.1	6.1.2	6.4.1	2248	2250	2253	2256			
biotin-MAb													
2344	0.02	0	0	0.01	0	0	1.52	0	0	0			
2345	0.26	0.04	0.02	0.17	0.11	0.08	1.48	0.04	0.07	0.05			
2349	0.09	0.01	0	0.06	0.04	0.03	1.55	0.02	0.03	0.02			
2.5.1	0.06	0.01	0.01	0.03	0.03	0.02	1.54	0.01	0.02	0.01			
6.1.2	0.3	0.24	0.24	0.27	0.08	0.12	1.55	0.2	0.05	0.23			
6.4.1	0.2	0.12	0.1	0.15	0.06	0.07	1.56	0.1	0.03	0.1			
2248	1.52	1.53	1.54	1.5	0.74	1.12	0.01	1.4	0.04	1.48			
2250	0.27	0.1	0.05	0.21	0.08	0.07	1.57	0.01	0.07	0.21			
2253	1.35	1.4	1.45	1.4	0.66	0.9	1.55	1.24	0	1.34			
2256	0.52	0.15	0.07	0.38	0.13	0.12	1.63	0.01	0.02	0.02			

FIG. 5A

FIG. 5B

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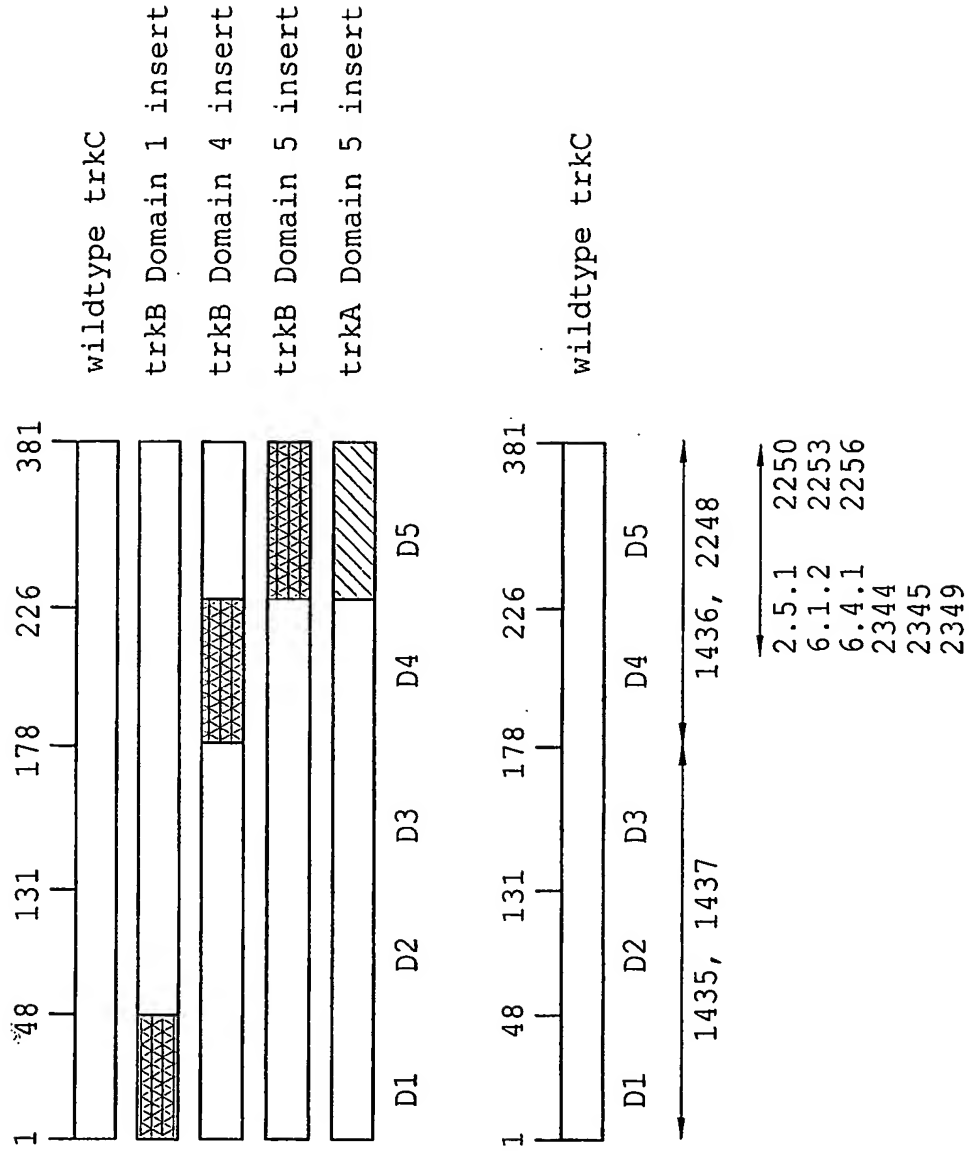
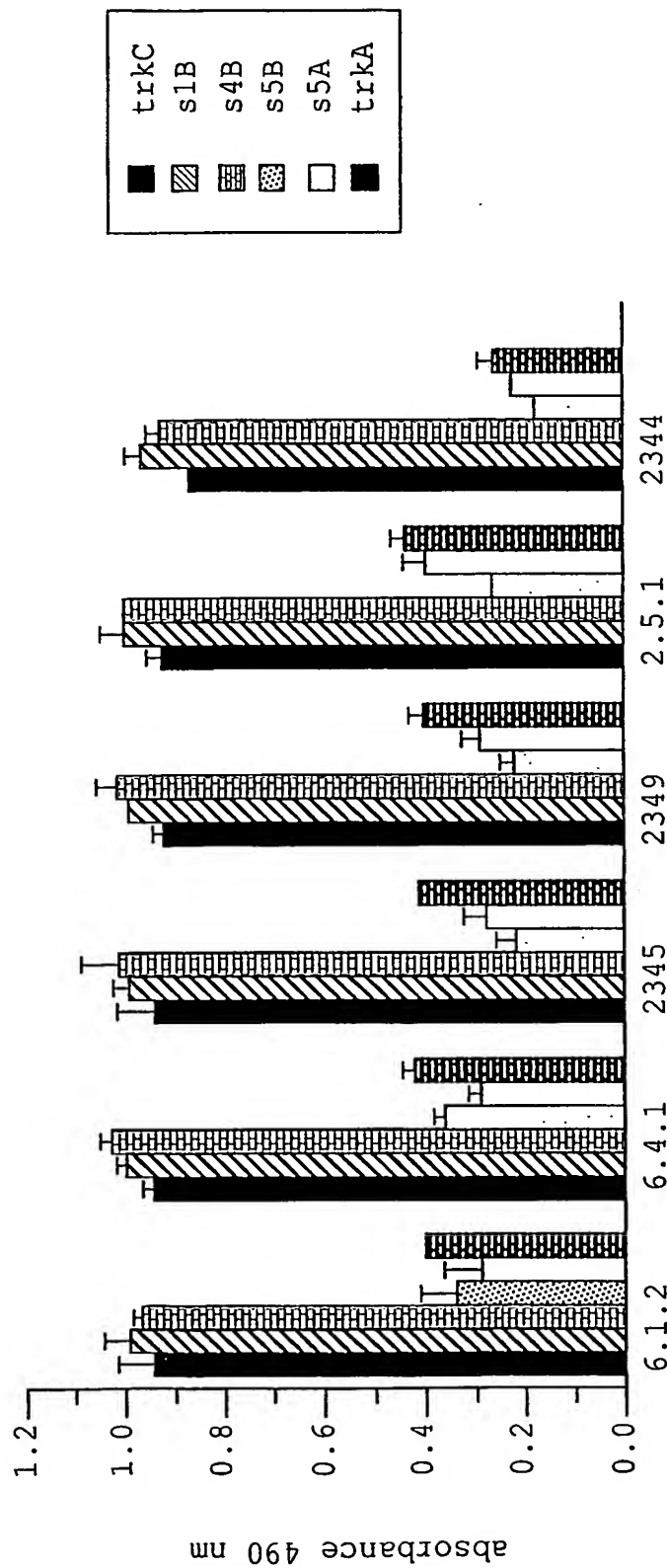


FIG. 6A

Specific Binding of anti-trkC Agonist MAbs  
to Domain 5 of trkC



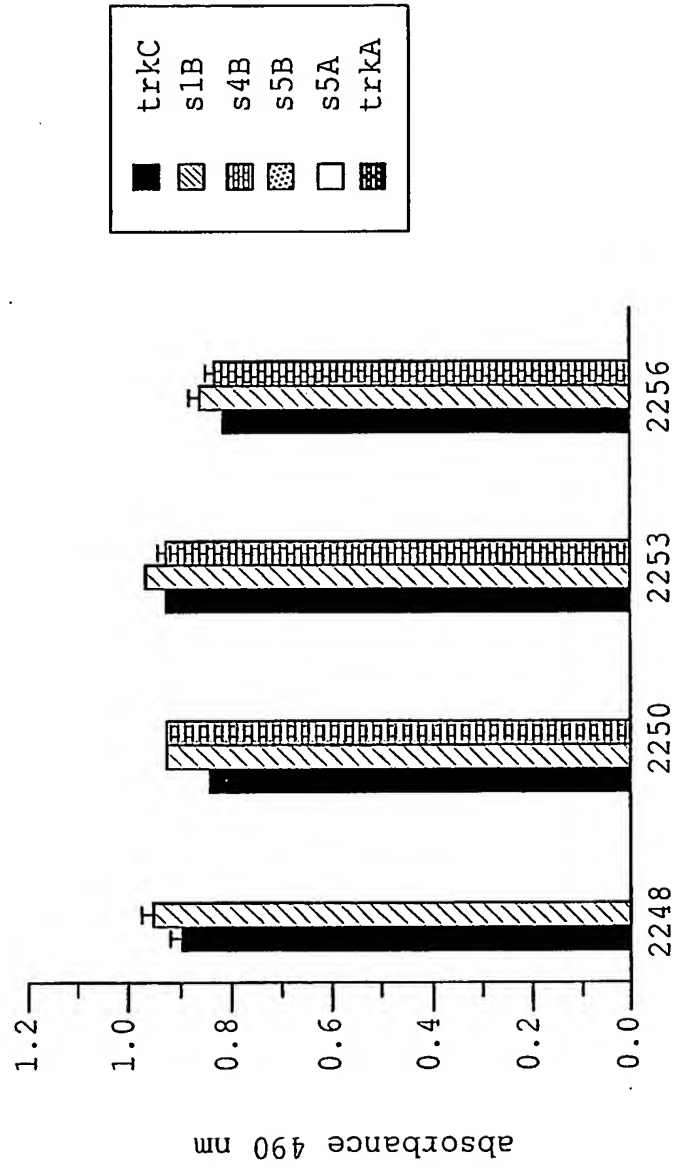
Assay Format:  
goat F(ab')<sub>2</sub> anti-hulG Fc + trkC + MAb + goat anti-hu kappa-HRP

FIG. 6B



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Specific Binding of Murine anti-trkC Agonist MAbs  
to Either Domain 4 or 5 of trkC



Assay Format:  
goat F(ab')<sub>2</sub> anti-huIgG Fc +trkC + MAb + goat anti-hu kappa-HRP

FIG. 6C

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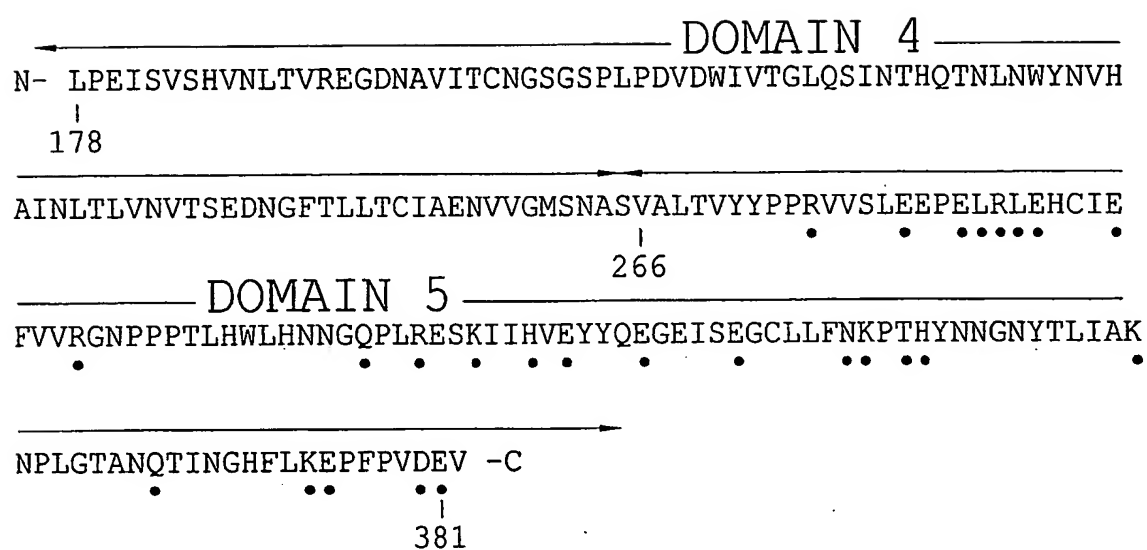


FIG. 7

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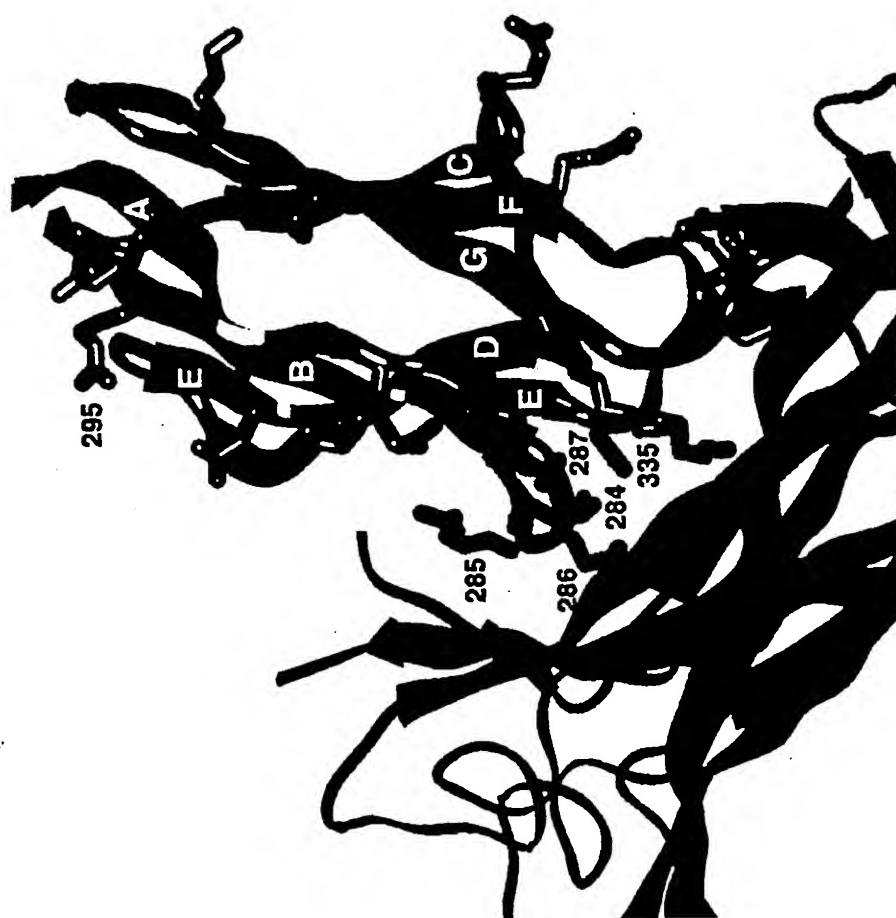


FIG. 8

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Heavy chain sequences of anti-rkC agonist MAb

	<u>CDR1</u>	<u>CDR2</u>
2250	: N-QVQLQQSGAELMQPGASVKISCKSTGYTFSNFWIEWVKQRP	--HGLEWIGEILPGSDNTNNEKFKG
2253	: N-QVQLQQSGAELMQPGASVKISCKSTGYTFSNFWIEWVKQRP	--HGLEWIGEILPGSDNTNNEKFKG
2256	: N-QVQLQQSGAELMQPGASVKISCKATGYTFTSYMMHWVKQRP	--QGLEWIGEIYPSNGRNTNNEKFKS
6.1.2	: N-QVQLQESGPGLVKPSQTLSTCTVSGGISSSGGYYSWIRQHPGKGLEWIGYIYYS	G-STNYPNPSLKS
6.4.1	: N-QVQLQESGPGLVKPSQTLSTCTVSGG--SISTYYWNWIRQHPAGKGLEWIGRIY	TSG-STNYPNPSLKS
2345	: N-QVQLQESGPGLVKPSQTLSTCTVSGGISSSGGYYSWIRQHPGKGLEWIGYI	FYSG-RTYYPNPSLKS
2349	: N-QVQLQESGPGLVKPSQTLSTCTVSGGISSSGGYYSWIRQHPGKGLEWIGYI	YYS
		G-STYYPNPSLKS
2250	: KATFTADTSSNTAYMQLSSLTSEDSAVYYCARKN----	RN-----YIGNYVWVGQGLVTVSA-C
2253	: KATFTADTSSNTAYMQLSSLTSEDSAVYYCARKN----	RN-----YIGNYVWVGAGTTLTVSS-C
2256	: KATLTVDKSSSTAYMQLSSLTSEDSAVYYCARKY----	YIGNSYRSWYFDVWGAGTTLTVSS-C
6.1.2	: RVTISVDTSKNQFSLKLSVTAADTAVYYCTRRDRDYDSTGDYYS	YGMVDVWGQGLTVTVSS-C
6.4.1	: RVTMSVDTSKNQFSLKLSVTAADTAVYYCARDGGYSNPF	-----WGQGLTVTVSS-C
2345	: RVTISVDTSKNQFSLKLSVTAADTAVYYCARER-IAA	GADYYNGLDVWGQGLTVTVSS-C
2349	: RLTISVDTSKNQFSLKLSVTAADTAVYYCARER-IAA	AGTDYYNGLAVWGQGLTVTVTVSS-C

FIG. 9

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Light chain sequences of anti-rkC agonist Mabs

	<u>CDR1</u>	<u>CDR2</u>
2250	: N-DIVMTQSPASLAVSLGORATISYRASKSVSTSG--YSYMHWNQOKPGQPPRLLIYLVSNLESGVPARF	
2253	: N-QIVLTQSPAIMASAPGEKVITITCSASSSVSY-----MYWFQQKPGTSPKLIWYSTSNLASGVPARF	
2256	: N-DIVLTQSPASLAVSLGORATISCRASEVDNYG--ISFMWFFQQKPGQPPKLLIYAASNQSGGVPARF	
6.1.2	: N-DIQMTQSPSSLSASVGDRTVITCRASQGIRN-----DLGWYQQKPGKAPKRLIYAASSLQSGVPSRF	
6.4.1	: N-DIQMTQSPDSLAVSLGERATINCKSSQSVSYSSNNKNYLAWYQQKPGQPPKLLIYWASTRESGVDPRI	
2345	: N-EIVLTQSPGTLISLSPGERATLSCRASQSVSS-----NYLTWYQQKPGQAPRLLIYGASSRATGIPDRF	
2349	: N-GIVLTQSPGTLISLSPGERATFSCRASQSGSS-----TYLAWYQQKPGQAPRLLIYGASSRATGIPDRF	
	<u>CDR3</u>	
2250	: SGSGSGTDFTLNHPVEEEDAATYYCQHIRE-LTRSARGQSWKKR---C	
2253	: SGSGSGTSYSLTISRMEADAATYYCQQRSS-YPLTFGAGTKLELKR-C	
2256	: SGSGSGTDFSLNHPMEEDDTAMYFCQQSKE-VPRTFGGGTKLEMKR-C	
6.1.2	: 'SGSGSGTEFTLTISSLQPEDFATFYCLOHNS-LPLTFGGGTKVEIKR-C	
6.4.1	: SGSGSGTDFTLTISSLQAEADVAYYCQQHYN-TPLTFGGGTKVEIKR-C	
2345	: SGSGSGTDFTLTISRLEPEDFAVYVCQQYGRSPPIITFGQGTRLEIKR-C	
2349	: SGSGSGTDFTLTISRLEPEDFAVYVCQQYGRSPPIITFGQGTRLEIKR-C	

FIG. 10

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<u>Antibody</u>		<u>Heavy chains</u>		
	Family	CDR1	CDR2	CDR3
Murine				
2250	VHII	FWIEWVK	EILPGSDNTNYNEKFKG	KNRN----YYGNTVV
2253	VHII	<u>FWIEWVK</u>	<u>EILPGSDNTNYNEKFKG</u>	<u>KNRN----YYGNTVV</u>
2256	VHII	<u>YWMHWVK</u>	<u>EIYPSNGRTNYNEKFKS</u>	<u>KYYYGNSYRSWYFDV</u>
Human				
6.1.2	VHII	SGGYWS	YIYSGSTNYPNPSLKS	DRDYDSTGDYYSYGMDV
6.4.1	VHII	ISTYYWN	<u>RIYTS</u> SGSTNYPNPSLKS	<u>DGGYS</u> NPFD-----
2345	VHII	<u>SGGYWS</u>	<u>YIFYSGRT</u> YYPNPSLKS	<u>ER-IAAAGADYYY</u> NGLDV
2349	VHII	<u>SGYYYWS</u>	<u>YIYSGST</u> YYPNPSLKS	<u>ER-IAAAGTDYYY</u> NGLAV

<u>Antibody</u>		<u>Light chains</u>		
	Family	CDR1	CDR2	CDR3
Murine				
2250	V KappaI	RASKSVSTSGYSYMH	LVSNLES	QHIRELTRS
2253	V KappaIV	<u>SASSSVS</u> ----- <u>YMY</u>	<u>STSNLAS</u>	<u>QQRSSYPLT</u>
2256	V KappaIII	<u>RASESVDNYGISFMN</u>	<u>AASNQGS</u>	<u>QQSKEVPRT</u>
Human				
6.1.2	V KappaI	RASQGIRN-----DLG	AASSLQS	LQHNS-LPLT
6.4.1	V KappaI	KSSQSVSYSSNNKNYLA	<u>WASTRES</u>	<u>QQHYN-TPLT</u>
2345	V KappaIII	<u>RASQSVSS</u> -----NYLT	<u>GASSRAT</u>	<u>QQYGRSPPI</u> T
2349	V KappaIII	<u>RASQSGSS</u> -----TYLA	<u>GASSRAT</u>	<u>QQYGRSPPI</u> T

FIG. 11

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trkC agonist Mabs have improved half-life and bioavailability in vivo

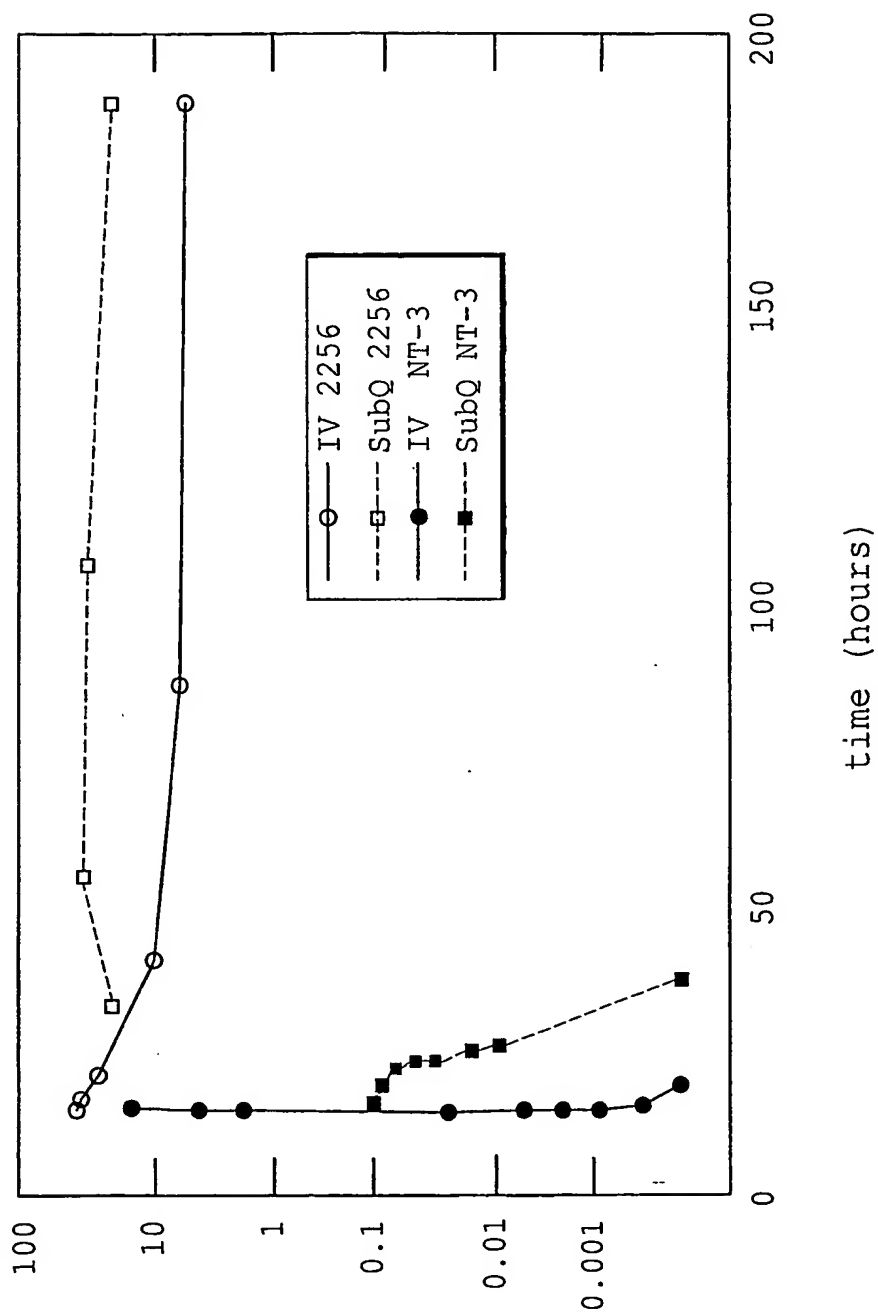


FIG. 12

Treatment with trkC Agonists Reverses Cisplatin-Induced Sensory Neuropathy

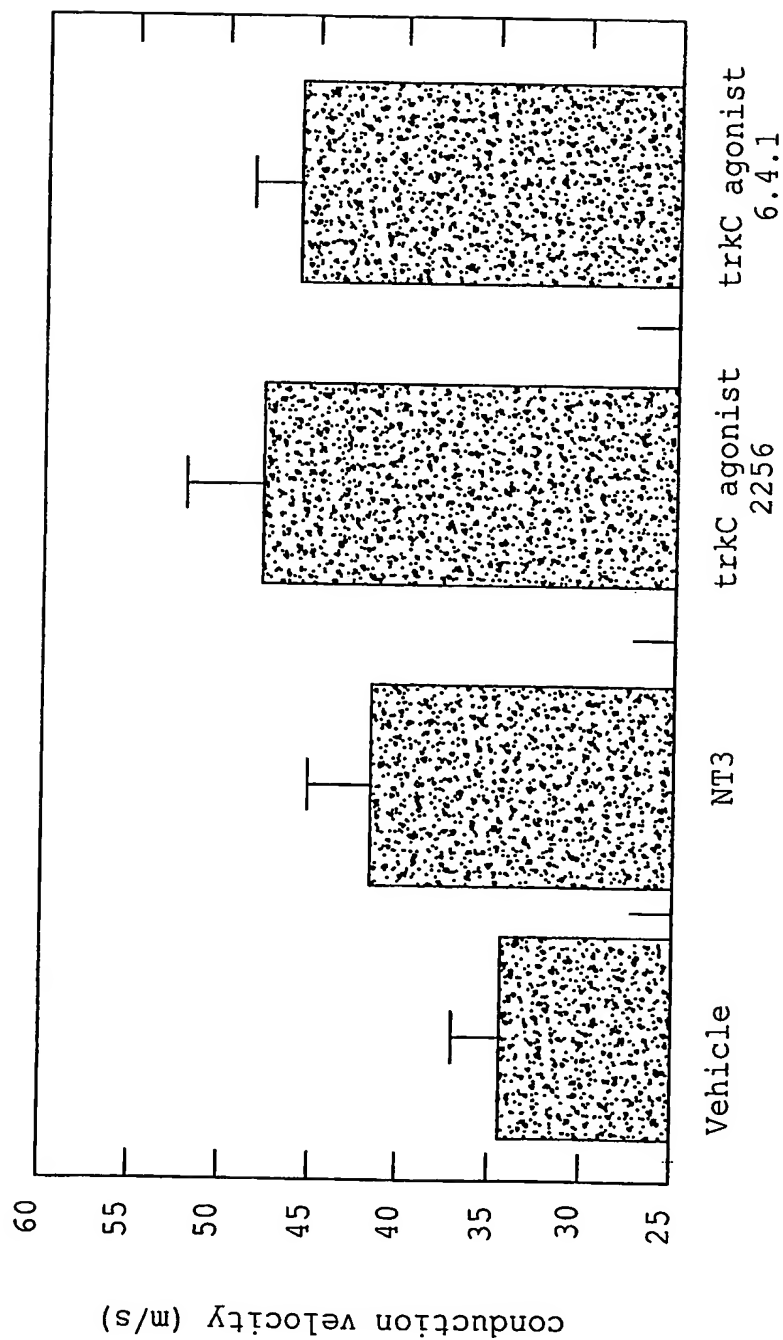


FIG. 13



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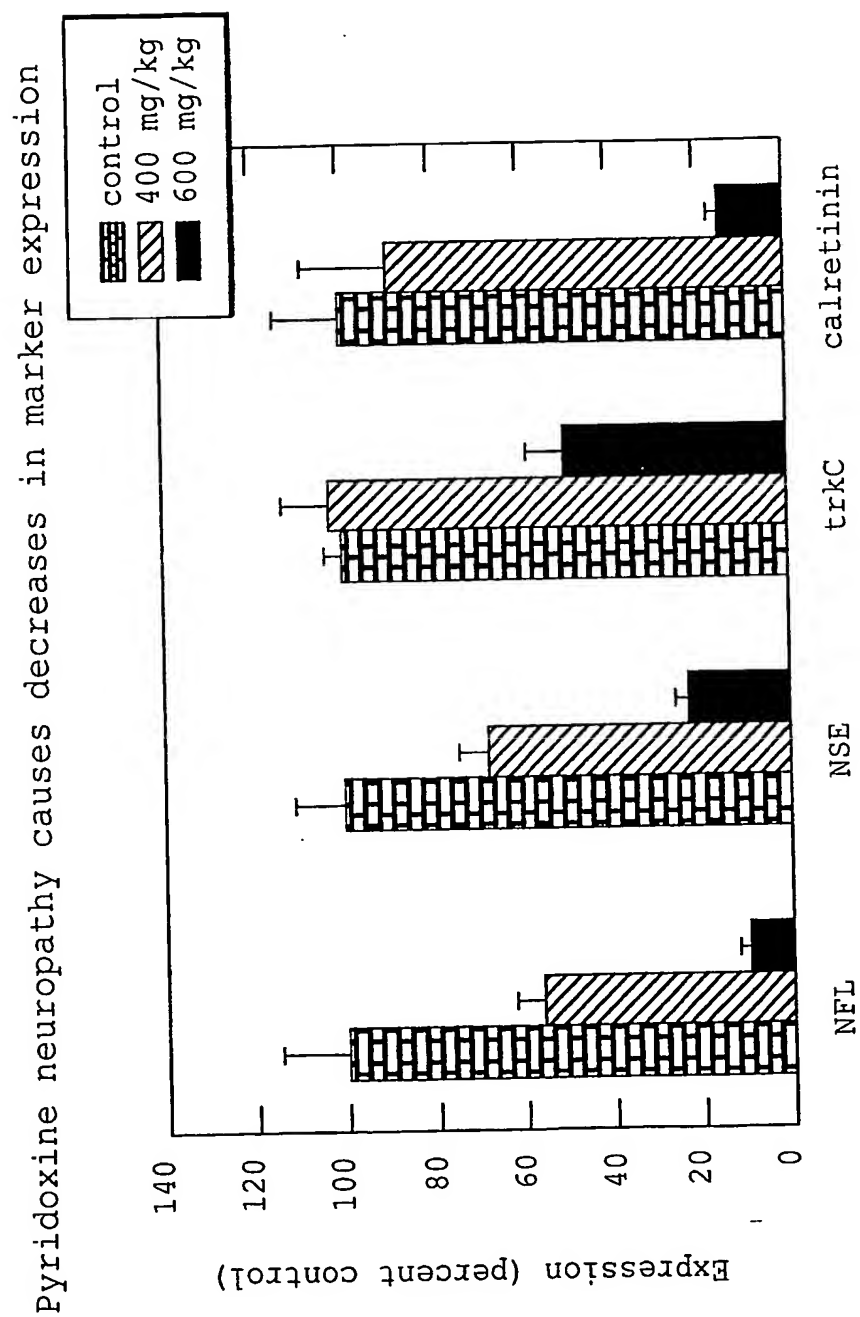


FIG. 14

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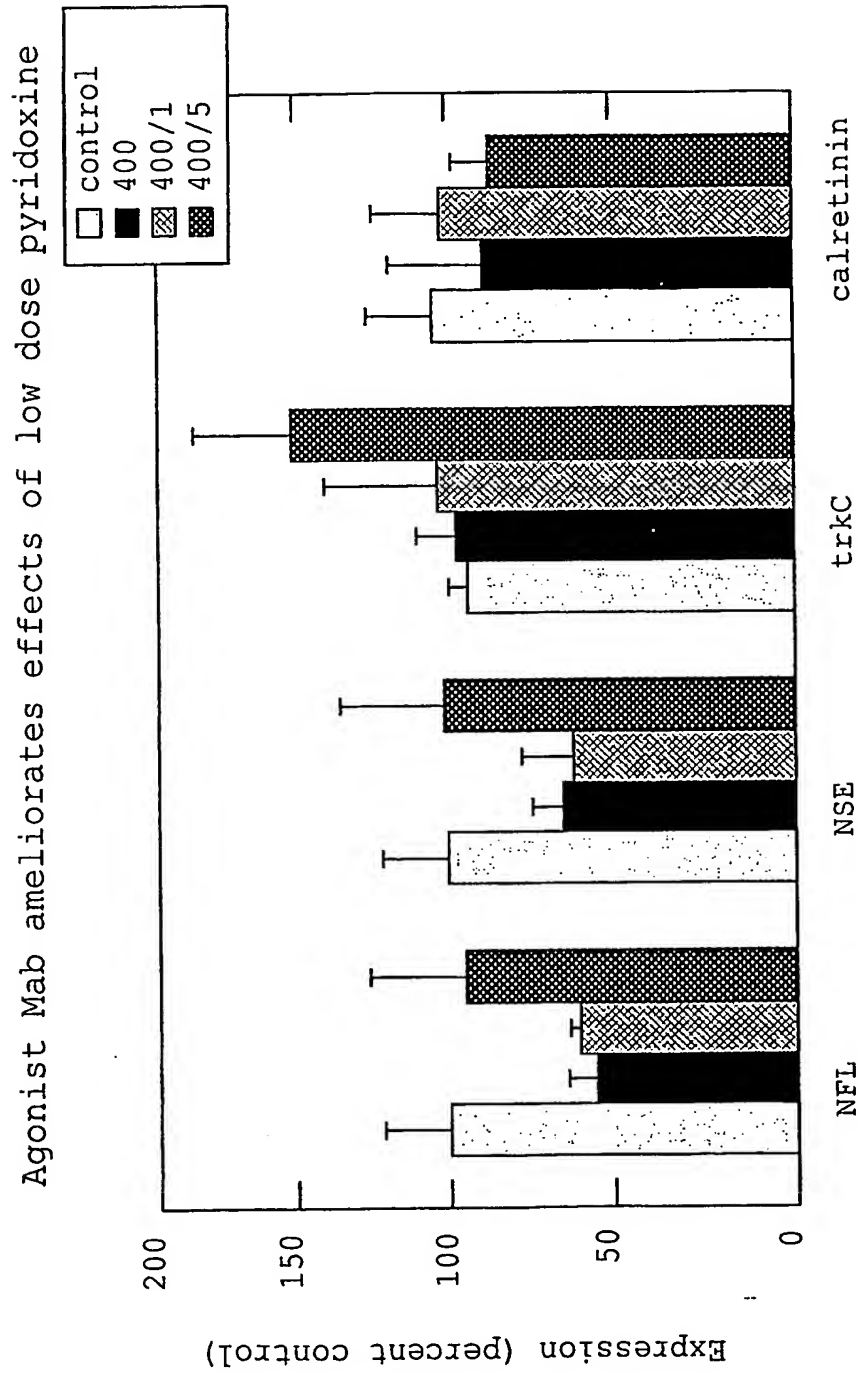


FIG. 15

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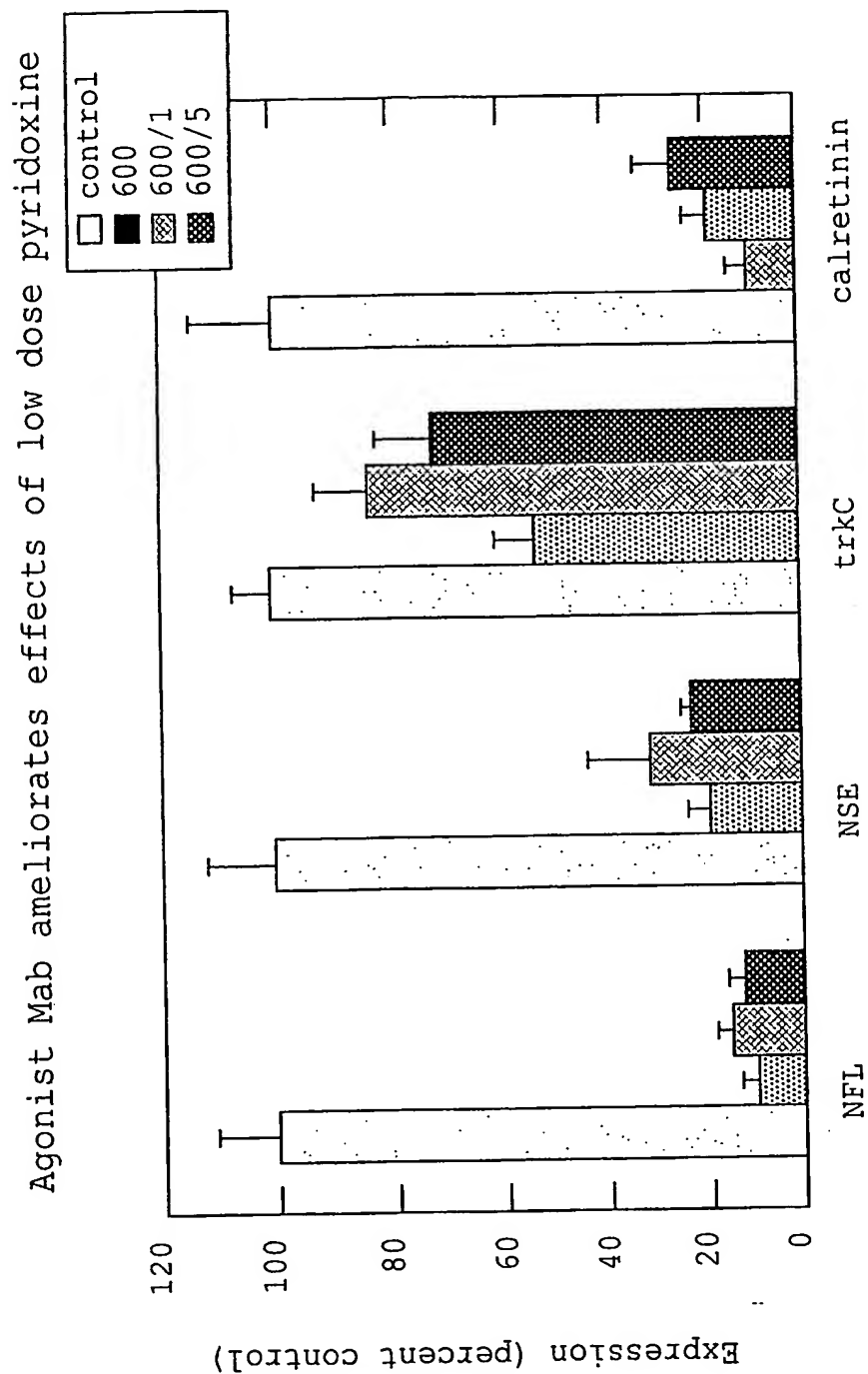


FIG. 16

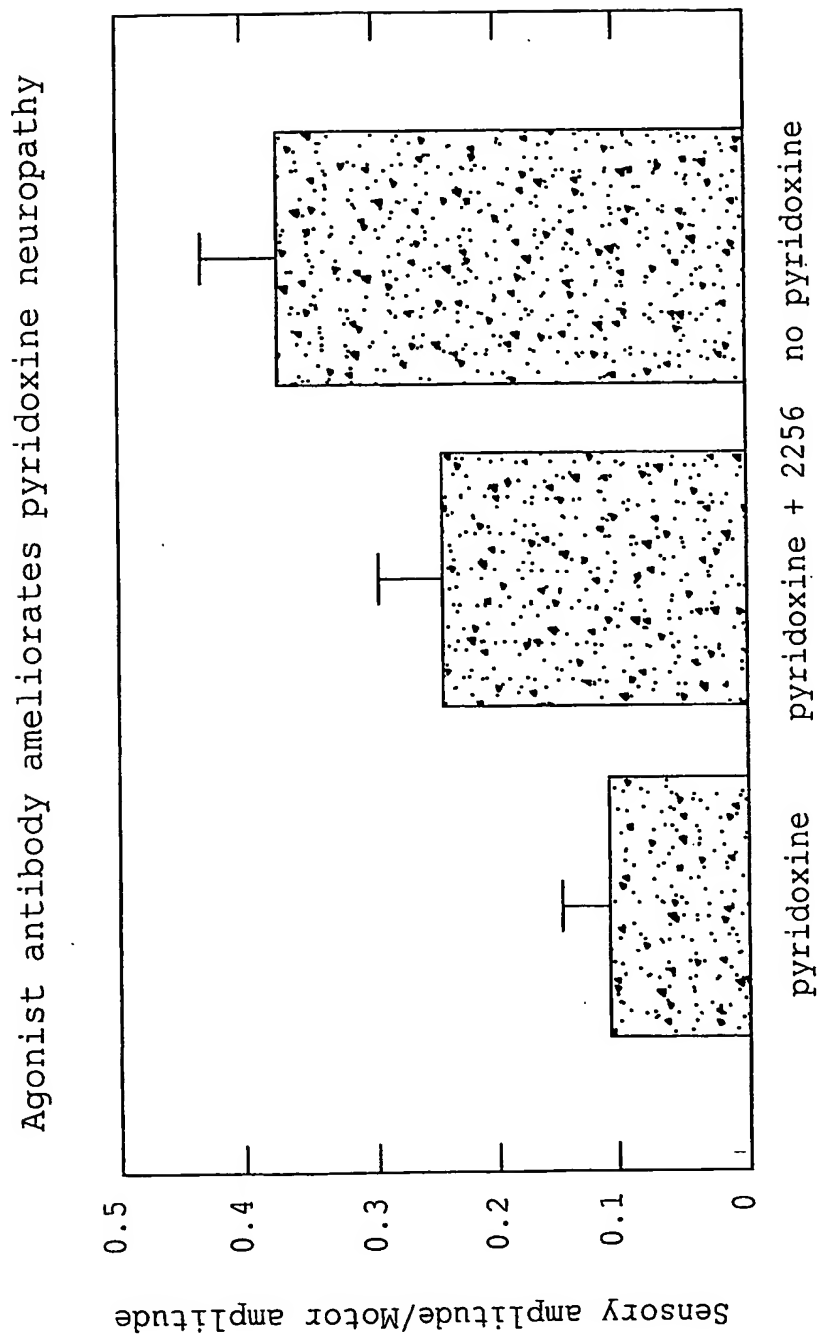


FIG. 17

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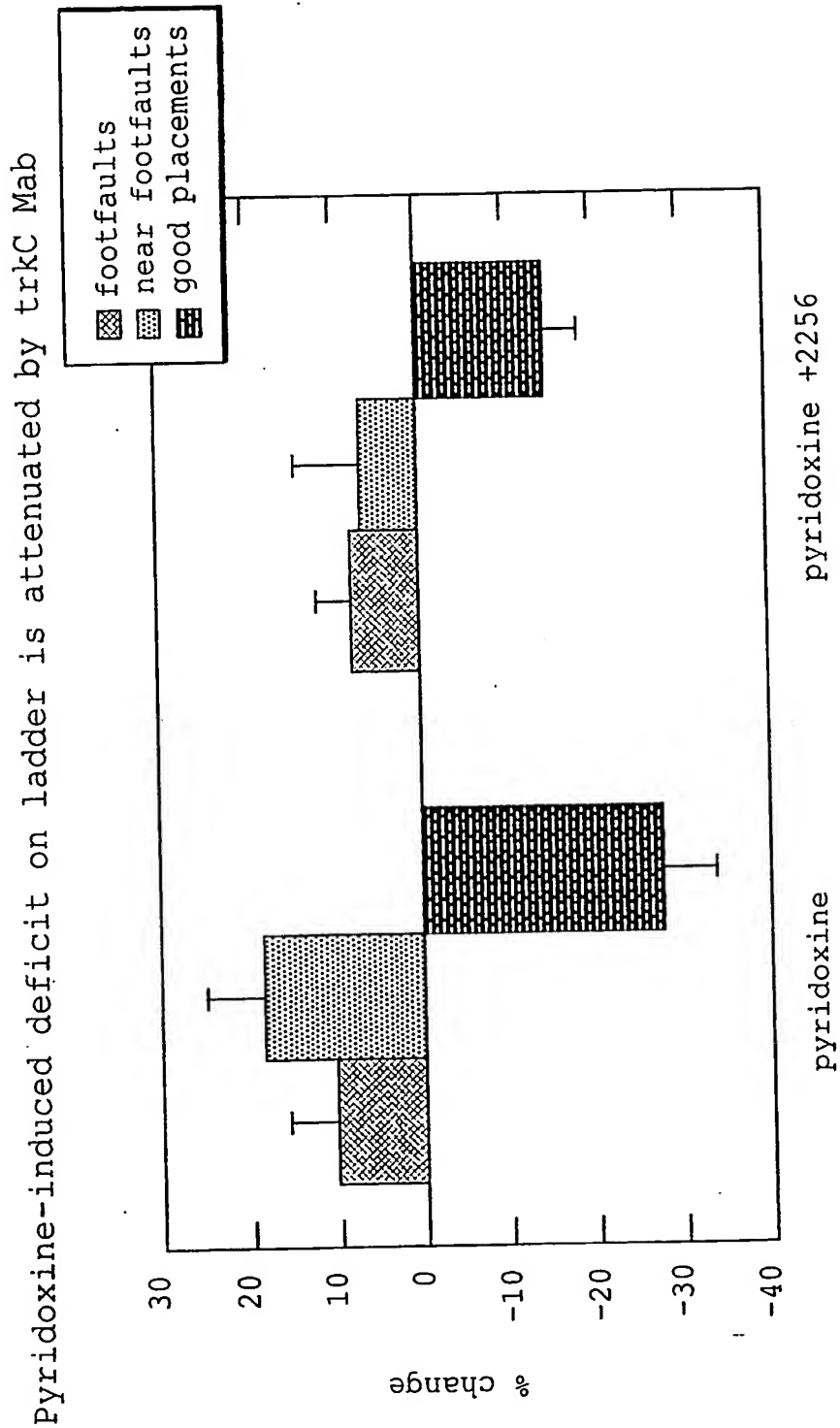


FIG. 18

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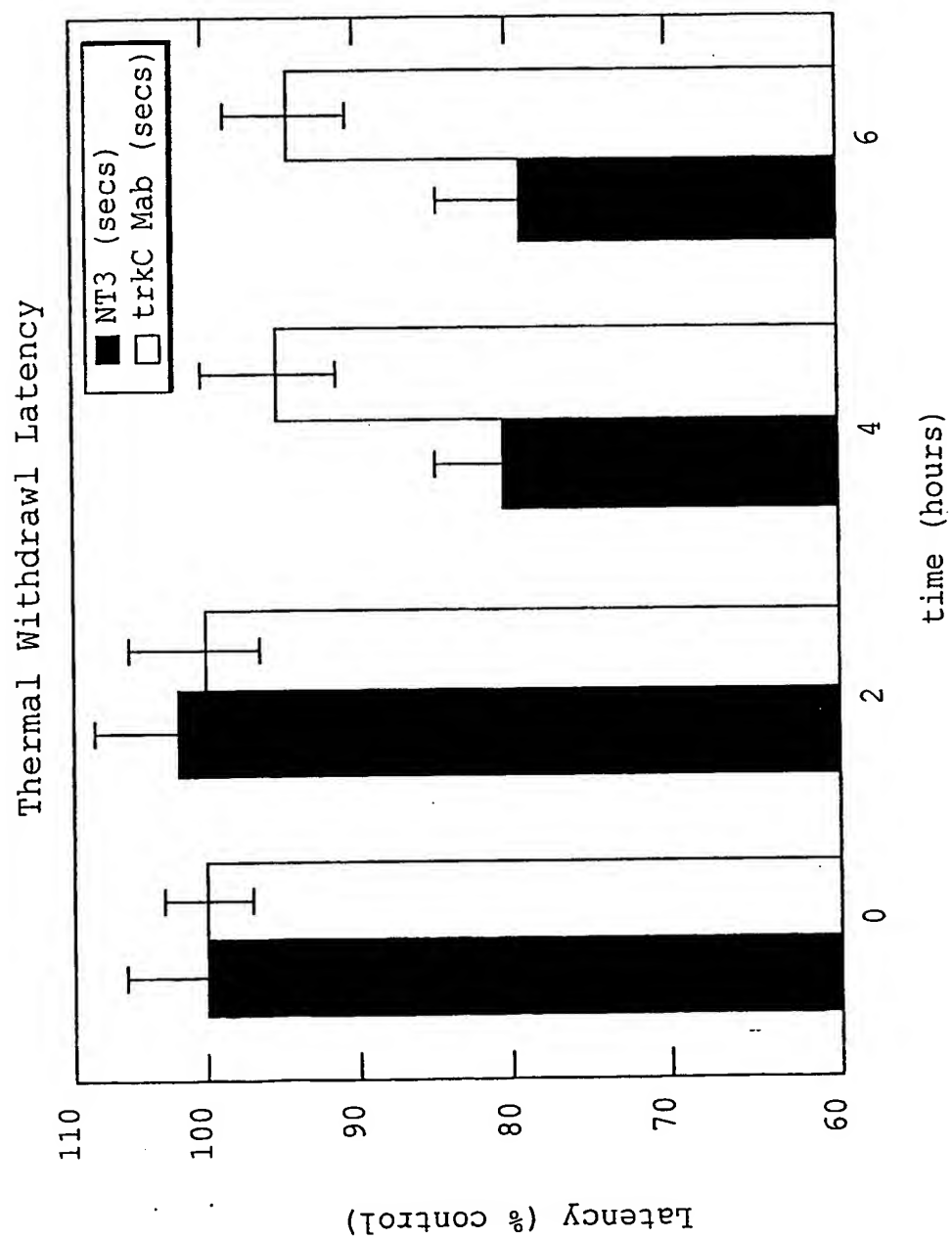


FIG. 19

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1 CPANVCCKT EINCRRPDDG NLFPLLEGQD SGNSNGNANI NITDISRNT SIHIENWRSI HTLNAVDMEL YTGLQKLTIK NSGLRSIQPR AFAKNPHLRY
101 INLSSNRLTT LSWQLFQTL LRELQEQNF FNCSDIRWM QMWQEQGEAK LNSQNLICIN ADGSQPLFR MNISQCDLPE ISVSHVNLTV REGDNAVITC
    ^ START DOMAIN 4
201 NGSGSPLPDV DWIVTGLQSI NTHQTNLWNT NVHAINLTLV NVTSEDNGFT LTCIAENVVG MSNASVALTV YVPRVVUSLE EPELRIEHCI EFVVRGNPPP
    ^ START DOMAIN 5
301 TLHLWLNQOP LRESKIIHVE YYQEGEISEG CILENKPTHY NNGNYTLIAK NPLGTANQTI NGHFLKEPFP ESTDNFILFD EVSPTPPITV THKPEEDTFG
    END DOMAIN 5 ^
401 VSIAGVLA AFACVLLVWLVFV MINKYGRRSK FGMKGPVAVI SGEEDSASEL HHINHGITTP SSLDAGPDTV VIGMTRIPVI ENPOYFRQGH NCHKPDTTVQ
501 HIKRRDIVLK RELGEGAFGK VFLAECYNLS PTKDKMLVAV KALKDPTLAA RKDFQREAEAL LTNLQHEHIV KFWGVCGDGD PLIMVFEYMK HGDNLKFLRA
601 HGPDAMILVD GQPROAKGEL GLSQMLHIAS QIASGMVYLA SQHFVHRDLA TRNCLVGANL LVKIGDFGMS RDVYSTDIYR LENPSGNDFC IWCEVGGHTM
701 LPIRWMPPE IMYRKFTTES DVWSFGVILW EIFTYCKQPW FOLSNTIEVIE CITQGRVLER PRVCPKEVD VMLGCWQREP QQRLNIKEIY KILHALGKAT
801 PIYLDILG

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FIG. 20

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1 TGCCCTGCAA ATTGTGTCTG CAGCAAGACT GAGATCAATT GCCGGCGGCC GGACGATGGG AACCTCTTCC CCTCTCTTGA AGGGCAGGAT TCAGGGAACA  
 ACGGGACGTT TAACACAGAC GTCGTTCTGA CTCTAGTTAA CGGCGCGCGG CCTGTCTACC TTGGAGAAGG GGGAGGACCT TCCCGTCCCTA AGTCCCTTGT  
 101 GCAATGGGAA CGCCAAATATC AACATCAGCG ACATCTCAAG GAATATCACT TCCATACACA TAGAGAACTG GCGCAGTCTT CACACGCTCA AGCCCGTGGG  
 CGTTACCCCTT GCGGTTATAG TTGTAGTGCC TGTAGAGTTC CTATAGTGA AGGTATGTGT ATCTCTTGAC CGCGTCAGAA GTGTGCGAGT TCGGGCACCT  
 201 CATGGAGCTC TACACCGGAC TTCAAAAGCT GACCATCAAG AACTCAGGAC TTCGGAGCAT TCAGCCGAGA GCCTTTTGCCA AGAACCCCCA FTTGCGGTTAT  
 GTACCTCGAG ATGTGGCCTG AAGTTTTCGA CTGGTAGTTC TTGAGTCCCTG AAGCCTCGTA AGTCGGGTCT CGGAACCGGT TCTTGGGGT AAACGCCAATA  
 301 ATAAACCTGT CAAGTAACCG GCTCACCACA CTCTCGTGGC AGCTCTTCCA GACGCTGAGT CTTCGGGAAT TGCAGTTGGA GCAGAACTTT TTCAACTGCA  
 TATTTGGACA GTTCATTGGC CGAGTGGTGT GAGAGCACCG TCGAGAAGGT CTGGCACTCA GAAGCCCTTA ACGTCAACCT CGTCTTGAAA AAGTTGACGT  
 401 GCTGTGACAT CCGCTGGATG CAGCTCTGGC AGGAGCAGGG GGAGGCCAAG CTCAACAGCC AGAACCTCTA CTGCATCAAT GCTGATGGCT CCCAGCTTCC  
 CGACACTGTA GCGACCTAC GTCGAGACCG TCCTCGTCCC CCTCCGGTTC GAGTGTGCGG TCTTGGAGAT GAGCTAGTTA CGACTACCGA GGGTCGAAGG  
 501 TCTCTTCCGC ATGAACATCA GTCAGTGTGA CCTTCCTGAG ATCAGCGTGA GCCACGTCAA CCTGACCGTA CTGCATCAAT GCTGATGGCT CCCAGCTTCC  
 AGAGAAGCG TACTTGTAGT CAGTCACACT GGAAGGACTC TAGTCGCACT CGGTGCAGTT GGACTGGCAT GCTCTCCCAC TGTTACGACA ATAGTGAACG  
 ^ START DOMAIN 4  
 601 AATGGCTCTG GATCACCCCT TCCTGATGTG GACTGGATAG TCACTGGGCT GCAGTCCATC AACACTCACC AGACCAATCT GAACTGGACC AATGTTCTATG  
 TTACCGAGAC CTAGTGGGA AGGACTACAC CTGACCTATC AGTGACCCGA CGTCAGGTAG TTGTGAGTGG TCTGGTTAGA CTTGACCTGG TTACAAGTAC  
 701 CCATCAACTT GACGCTGGTG AATGTGACGA GTGAGGACAA TGGCTTCACC CTGACGTGCA TTGCAGAGAA CGTGGTGGGC ATGAGCAATG CCAGTGTGTC  
 GGAGTTGAA CTGGGACCAC TTACACTGCT CACTCCTGTT ACCGAAGTGG GACTGCAGT AACGTCTCTT GCACCACCCG TACTCGTTAC GGTCAACAACG  
 ^ START DOMAIN 5  
 801 CCTCACTGC TACTATCCCC CACGTGTGGT GAGCCTGGAC GAGCCTGAGC TGGGCTGGA GCACTGCATC GAGTTTGTGG TCGGTGGCAA CCCCCACCA  
 GGAGTGACAG ATGATAGGGG GTGCACACCA CTCGGACCTG CTCGGACTCG ACGCGGACCT CGTGACGTAG CTCAAACACC ACGCACCGTT GGGGGGTGGT

FIG. 21

FIG. 21A

FIG. 21B

FIG. 21C



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901 ACGTGCACCT GGTGCACAA TGGGAGCCT CTGGGGGAGT CCAAGATCAT CCATGTGGAA TACTACCAAG AGGAGAGAT TTCCGAGGGC TGCTGCTCT  
 TGGACGTGA CCGACGTGTT ACCCGTCGA GAGCCCTCA GCGTCTAGTA GGTACACCTT ATGATGGTTC TCCCTCTCTA AAGCTCCCG ACGGACGAGA  
 1001 TCAACAAGCC CACCCACTAC AACAAATGGCA ACTATACCTT CATTGCCAAA AAGGGACTGG GCACAGCCAA CCAGACCATC AATGGCCACT TCCTCAAGGA  
 CGTTACCCCT GCGGTATAG TTGTAGTGCC TGTAGAGTTC CTTATAGTGA AGGTATGTGT ATCTCTTGAC CCGTCAGAA GTGTGCAGT TGGGCACTT  
 1101 GCCCTTTCCA GAGAGCAGCG ATAACCTTAT CTTGTTTGAC GAAGTGATC CCACACCTCC TATCACTGTG ACCACAAAC CAGAAGAAGA CACTTTTGGG  
 CCGGAAAGGT CTCTCGTGCC TATTGAAATA GAACAAACTG CTTCACTCAG GGTGTGGAGG ATAGTGACAC TGGGTGTTTG GTCTTCTTCT GTGAAAACCC  
 ^ END DOMAIN 5  
 1201 GTATCCAVAG CAGTTGGACT TGCTGCTTTT GCCTGTGTCC TGTTGGTGGT TCTCTTCGTC ATGATCAACA AATATGGTGC ACGTCCCAA TTTGGAATGA  
 CATAGGTATC GTCAACCTGA ACGACGAAA CCGACACAGG ACAACCACCA AGAGAAGCAG TACTAGTTGT TTATACCAGC TGCCAGGTTT AAACCTTACT  
 1301 AGGTCCCGT GGTGTGATC AGTGGTGAGG AGGACTCAGC CAGCCCACTG CACCACATCA ACCACGGCAT CACCACGCCC TCGTCACTGG ATGCCGGGCC  
 TCCAGGGCA CCGACAGTAC TCACCACTCC TCCTGAGTCC GTCGGGTGAC GTGTGTAGT TGGTGCCGTA GTGGTGGGG AGCAGTGACC TACGGCCCCG  
 1401 CGACACTGTG GTCAATTGGCA TGACTCGCAT CCCTGTGATT GAGAACCCCT AGTACTTCCG TCAGGGACAC AACTGCCACA AGCCGGACAC GTATGTGCAG  
 GCTGTGACAC CAGTAACCGT ACTGAGCGTA GGGACAGTAA CTCTTGGGG TCATGAAGGC AGTCCCTGTG TTGACGGTGT TCGGCCTGTG CATACACGTC  
 1501 CACATTAAGA GGAGAGACAT CGTGCTGAAG CGAGAATGG GTGAGGGAGC CTTTGGAAAG GTCTTCTTGG CCGAGTGCTA CAACCTCAGC CCGACCAAGG  
 GTGTAAATCT CCTCTCTGTA GCACGACTTC GCTCTTGACC CACTCCCTCG GAAACCTTTC CAGAAGGACC GGCTCAGCAT GTTGGAGTGG GGCTGGTTCC  
 1601 ACAAGATGCT TGTGGCTGTG AAGGCCCTGA AGGATCCAC CCTGGCTGCC CCGAAGGATT TCCAGAGGGA GGCCGAGGTG CTCACCAACC TGCAGCATGA  
 TGTCTTACGA ACACCGACAC TTCCGGGACT TCCTAGGGTG GGACCGACGG GCCTTCTTAA AGGTCTCCCT CCGGCTCGAC GAGTGGTTGG ACGTCGTACT  
 1701 GCACATTGTC AAGTCTATG GAGTGTGCGG CGATGGGGAC CCCCTCATCA TGGTCTTTGA ATACATGAAG CATGGAGACC TGAATAAGTT CCTCAGGGCC  
 CGTGTAACAG TTCAAGATAC CTCACACGCC GCTACCCCTG GGGAGTAGT ACCAGAACT TATGTACTTC GTACCTCTGG ACTTATTCAA GGAGTCCCGG

FIG. 21B

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1801 CATGGGCCAG ATGCAATGAT CCTTGTGGAT GGACAGCCAC GCCAGGCCAA GGTGAGCTG GGGCTCTCC AAATGCTCCA CATGGCCAGT CAGATCGCCT  
GTACCCGGTC TACGTTACTA GGAACACCTA CCTGTCCGGT CCGTCCGGT CCCACTCGAC CCCGAGAGG TTACGAGGT GTAACGGTCA GTCTAGCGGA

1901 CGGGTATGGT GTACCTGGCC TCCCAGCACT TTGTGCACCG AGACCTGGCC ACCAGAACT GCCTGGTTGG ACCGAATCTG CTAGTGAAGA TTGGGGACTT  
GCCCCATACCA CATGGACCGG AGGTGCTGA AACACGTGGC TGTGGACCGG TGGTCCCTGA CGGACCAACC TCGCTTAGAC GATCACTTCT AACCCCTGAA

2001 CGGCATGTCC AGAGATGTCT ACAGCACGGA TTATTACAGG CTCTTTAATC CATCTGAAA TGATTTTGT ATATGGTGTG AGGTGGGAGG ACACACCATG  
GCCGTACAGG TCTCTACAGA TGTCTGTCCT AATAATGTCC GAGAAATTAG GTAGACCTTT ACTAAAAACA TATACCACAC TCCACCTCC TGTGTGGTAC

2101 CTCCCCATTC GCTGGATGCC TCCTGAAAGC ATCATGTACC GGAAGTTCAC TACAGAGAGT GATGTATGGA GCTTCGGGGT GATCCTCTTG GAGATCTTCA  
GCGGGGTAAG CGACCTACGG AGGACTTTCG TAGTACATGG CTTTCAAGTG ATGCTCTCA CTACATACCT CGAAGCCCCA CTAGGAGACC CTCTAGAAGT

2201 CCTATGGAAA GCAGCCATGG TTCCAACCTCT CAAACACGGA GGTCAATTGAG TGCATTACCC AAGTCTGTGT TTTGGAGCGG CCCCAGTCT GCCCCAAAAGA  
GGATACCTTT CGTCGGTACC AAGTTGAGA GTTTGTGCCT CCAGTAACTC ACGTAATGGG TTCCAGCACA AAACCTCGCC GGGGCTCAGA CGGGGTTTCT

2301 GGTGTACGAT GTCATGCTGG GGTGCTGGCA GAGGGAACCA CAGGACGGT TGAACATCAA GGAGATCTAC AAAATCCTCC ATGCTTTGGG GAAGGCCACC  
CCACATGCTA CAGTACGACC CCACGACCGT CTCCCTTGGT GTCGTGCGCA ACTGTAGTT CCTCTAGATG TTTTAGGAGG TACGAAACCC CTTCCGGTGG

2401 CCAATCTACC TGGACATTCT TGGCTAGTGG TGGCTGGTGG TCAATGAATC ATACTCTGT GCTTCCTCTC TCCCTGCCCT ACATCTCCCT TCCACCTCAC  
GGTTAGATGG ACCTGTAAGA ACCGATCACC ACCGACCACC AGTACTTAAG TATGAGACAA CGGAGGAGAG AGGGACGGAG TGTAGAGGGA AGGTGGAGTG

2501 AACTCCTTCC ATCCTTGACT GAAGCGAACA TCTTCATATA AACTCAAGTG CCTGTACAC ATACAACACT GAAAAAAGGA AAAAAAAGA AAAAAAAGA  
TTGAGGAAGG TAGGAACCTGA CTTGCTTGT AGAAGTATAT TTGAGTTTAC GGACGATGTG TATGTTGTGA CTTTTTTCCT TTTTTTTTCT TTTTTTTTTT

2601 AAACCGC  
TTTGGCG

FIG. 21C

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FIG. 22A

Mab 2250 Heavy chain:  
CAGGTCCAACTGCAGCAGTCTGGGGCTGAGCTGATGCAGCCTGGGGCCTCAGTGAAGATA  
TCCTGCAAGTCTACTGGCTACACATTCAGTAACTTCTGGATAGAGTGGGTAAAGCAGAGG  
CCTGGACATGGCCCTTGAGTGGATTGGAGAGATTTTACCCTGGCAGTGATAATACTAACTAC  
AATGAGAAAGTTCAAGGGCAAGGCCACATTCACTGCAGATACATCCTCCAAACACAGCCTAC  
ATGCAACTCAGCAGCCTGACATCTGAGGACTCTGCCGTCTATTACTGTGCAAGAAAGAAT  
CGTAACTACTATGGTAACTACGTTGTATGGGGCCCAAGGACTCTGGTCACTGTCTCTGCA

FIG. 22B

Mab 2250 Light chain:  
GACATTGTGATGACCCAGTCTCCTGCTTCCTTAGCTGTATCTCTGGGCAGAGGGCCACC  
ATCTCATACAGGGCCAGCAAAAGTGTCAGTACATCTGGCTATAGTTATATGCACGTGGAAG  
GGGTCCCTGCCAGGTTTCAGTGGCAGTGGGTCTGGGACAGACTTCACCCTCAACATCCAT  
CCTGTGGAGGAGGAGGATGCTGCAACCTATTACTGTCAGCACATTAGGGAGCTTACACGT  
TCGGCTCGGGGACAAAGTTGGAAAAACGG

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FIG. 23A

Mab 2253 Heavy chain:  
CAGGTCCAGCTGCAGCAGTCTGGAGCTGAGCTGATGCAGCCTGGGGCCTCAGTGAAGATA  
TCCTGCAAGTCTACTGGCTACACATTTCAGTAACCTTCTGGATAGAGTGGTAAAGCAGAGG  
CCTGGACATGGCCCTTGAGTGGATTGGAGATTTTACCCTGGCAGTGATAATACTAACTACA  
ATGAGAAAGTTCAAGGGCAAGGCCACATTCACCTGCAGATACATCCTCCAACACAGCCTACA  
TGCAACTCAGCAGCCTGACATCTGAGGACTCTGCCGCTCTATTACTGTGCAAGAAAGAATC  
GTAAC TACTATGGTAAC TACGTTGTCTGGGGCGCAGGCACCACTCTCACAGTCTCCTCA

FIG. 23B

Mab 2253 Light chain:  
CAAATTGTGCTGACCCAGTCTCCAGCAATCAATGTCTGCATCTCCAGGGGAGAAGGTCACC  
ATAACCTGCAGTGCCAGCTCAAGTGTAAGTTACATGTACTGGTT  
CCAGCAGAAGCCAGGCACCTTCTCCAAACTCTGGATTATAGTACATCCAACTGGCTTC  
TGGAGTCCCTGCTCGCTTCAGTGGCAGTGGATCTGGGACCTCTTACTCTCTCACAATCAG  
CCGAATGGAGGCTGAAGATGCTGCCACTTATTACTGCCAGCAAGGAGTAGTTACCCGCT  
CAGGTTCCGGTGTGGGACCAAGCTGGAACTAAAACGG

MAb 2256 Heavy chain:  
CAGGTCCAGCTGCAGCAGCCCTGGGGCTGAAC TGGTGAAGCCTGGGGCTTCAGTGAAGCTG  
TCCTGCAAGGCTTCTGGCTACACCTTCAACCAGCTACTGGATGCAC TGGGTGAAGCAGAGG  
CCTGGACAAGGCCCTTGAGTGGATTGGAGAGATTATCCTAGCAACGGTCGTACTAACTAC  
AATGAGAAGTTCAAGAGCAAGGCCACACG  
ACTGTAGACAAATCCTCCAGCACAGCCTACATGCAACTCAGCAGCCTGACATCTGAGGAC  
TCTGCGGTCTATTACTGTGCAAGAAAATATTACTACGGTAATAGCTATCGTTCCTGGTAC  
TTCGATGTCTGGGGCGCAGGCACCACTCTCACAGTCTCCTCA

*FIG. 24A*

MAb 2256 Light chain:  
GACATTGTGCTGACCCAGTCTCCAGCTTCTTTGGCTGTGTCTCTAGGGCAGAGGGCCACC  
ATCTCCTGCAGAGCCAGCGAAAGTGTTGATAATTATGGCATTAGTTTATGAAGTGGTTC  
CAACAGAAACCAGGACAGCCACCCAACTCCTCATCTATGCTGCATCCAAACCAAGGATCC  
GGGTCCCCTGCCAGGTTTAGTGGCAGTGGGTCTGGGACAGACTTCAGCCTCAACATCCAT  
CCTATGGAGGAGGATGATACTGCAATGTATTCTGTGTCAGCAAAAGTAAGGAGGTTCTCTCGG  
ACGTTCCGGTGGAGGCCACCAAGCTGGAGATGAAACGG

*FIG. 24B*

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FIG. 25A

Mab 2345 Heavy chain:

ACCTGCACTGTCTCTGGTGGCTCCATCAGCAGTGGTGGTTACTACTGGAGCTGGATCCGC  
CAGCACCCAGAAAGGCCCTGGAGTGGTTGGGTACATCTTTTACAGTGGGAGGACCTACT  
ACAAACCCGTCCCTCAAGAGTCGAGTTACATATCAGTAGACACGTCCTAAGAACCAGTTCTC  
CCTGAAGCTGAACCTCTGTGACaGCCGCGGACACGGCCGTGTATTACTGTGCGAGAGAGCG  
GATAGCAGCAGCTGGTGGGACTACTACTACAACGGTTTGGACGTCTGGGGCCCAAGGGAC  
CACGTCACCGTCTCCTCA

FIG. 25B

Mab 2345 Light chain:

GAAATTGTGTGACGCAGTCTCCAGGCACCCCTGTCTTTGTCTCCAGGGGAAAGAGCCACC  
CTCTCCTGCAGGGCCAGTCAGAGTGTAGCAGCAACTACTTAACCTGTTACCCAGCAGAAA  
CCTGGCCAGGCTCCCAGGCTCCTCATCTATGGTGCAATCCAGCAGGGCCACTGGCATCCCA  
GACAGGTTCAAGTGGCAGTGGTCTGGGACAGACTTCACTCTCACCATCAGCAGACTGGAG  
CCTGAAGATTGTGCAGTGTATTACTGTGTCAGCAGTATGGTCGCTCACCTCCGATCACCTTC  
GGCCAAGGGACACGACTGGAGATTAAACGA

FIG. 26A

Mab 2349 Heavy chain:  
 CAGGTGCAGCTGCAGGAGTCGGGCCCCAGGACTGGTGAAAGCCTTCACAGACCCTGTCCCCTC  
 ACCTGCACTGTCTCTGGTGCTCCATCAGCAGTGGTTATTATTATTGGAGCTGGATCCGC  
 CAGCACCCAGGGAAGGCCCTGGAGTGGATTGGGTACATCTATTACAGTGGGAGCACCTAC  
 TACAACCCGTCCTCAAGAGTCGACTTACTATCAGTAGACACGCTCTAAGAAACCAGTTCTC  
 CCTGAAGCTGAGCTCTGTGACTGCCGCGGACACGGCCGTGTATTACTGTGCGAGAGAGCG  
 GATAGCAGCAGCTGGAACGGACTACTACTACAACGGTTTGGCCGCTCTGGGGCCCAAGGGAC  
 CACGGTCACCGTCTCCTCA

FIG. 26B

Mab 2349 Light chain:  
 GGAATTGTGTTGACGCAGTCTCCAGGCACCCTGTCTTTGTCTCCAGGGGAAAGAGCCACT  
 TTCTCCTGCAGGGCCAGTCAGAGTGGTAGCAGCACCTACTTAGCTGGTACCAGCAGAAAC  
 CTGGCCAGGCTCCCAGGCTCCTCATCTATGGTGCATCCAGCAGGGCCACTGGCATCCCAG  
 ACAGGTTCAGTGGCAGTGGGTCTGGGACAGACTTCACTCTACCATCAGCAGACTGGAGC  
 CTGAAGATTTTGCAGTGTAATTACTGTCAGCAGTATGGTAGGTCACCTCCGATCACCTTCG  
 GCCAAGGGACACGACTGGAGATTAAACGA

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FIG. 27A

Mab 6.1.2 Heavy chain:  
CAGGTGCAGCTGCAGGAGTCGGGCCAGGACTGGTGAAGCCTTCACAGACCCCTGTCCCTC  
ACCTGCACTGTCTCTGGTGGCTCCATCAGCAGTGGTGGTTACTACTGGAGCTGGATCCGC  
GAGCACCCAGGGAAGGCCCTGGAGTGGATTGGGTACATCTATTACAGTGGGAGCACCAAC  
TACAACCCGTCCCTCAAGAGTCGAGTTACCATATCAGTGGACACGCTTAAGAACCAGTTC  
TCCCTGAAGCTGAGCTCTGTGACTGCCCGGGACACGGCCGTGTATTACTGTACGAGAGAT  
CGGGACTATGATAGTACCGGGGATTACTCCTACTACGGTATGGACGCTCTGGGGCCAA  
GGGACCACGGTCACCGTCTCCTCA

FIG. 27B

Mab 6.1.2 Light chain:  
GATATCCAGATGACCCAGTCTCCATCCTCCCTGTCTGCATCTGTAGGAGACAGAGTCACC  
ATCACTGCCGGCAAGTCAGGGCATTAGAAATGATTTAGGCTGGTATCAGCAGAAACCA  
GGGAAAGCCCCCTAAGCCCTGATCTATGCTGCATCCAGTTTGCAAGTGGGTCCCATCA  
AGGTTCAGCGGCAGTGGATCTGGGACAGAAATTCATCTCACAAATCAGCAGCCTGCAGCCT  
GAAGATTTGCAACTTTTACTGTCTACAGCATAAATAGTCTTCCGCTCACTTTCGGCGGA  
GGGACCAAGGTGGAGATCAAAACGA



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FIG. 28A

Mab 6.4.1 Heavy chain:

CAGGTGCAGCTGCAGGAGTCGGGGCCAGGACTGGTGAGGCCCTTCGGAGACCCCTGTCCCTC  
ACCTGCACTGTCTCTGGTGGCTCCATCAGTACTTACTACTGGAACCTGGATCCGGCAGCCC  
GCCGGGAAGGACTGGAGTGGATTGGCGTATCTATACCACTGGGAGCACCACCTACAAAC  
CCCTCCCTCAAGAGTCAGTCACCATGTCTAGTAGACACGTCCAAGAACCAAGTTGTCCCTG  
AAGCTGAGCTCTGTGACCGCCGCGGACACGGCCGTGTATTACTGTGCGAGAGATGGGGC  
TACAGTAACCCCTTTTGACTACTGGGGCCAGGGAACCTGGTCACCGTCTCCTCA

FIG. 28B

Mab 6.4.1 Light chain:

GATATCCAGATGACCCAGTCTCCAGACTCCCTGGCTGTGTCTCTGGCGAGAGGGCCACC  
ATCAACTGCAAGTCCAGCCAGAGTGTTCATACAGCTCCAACAATAAGAACTACTTAGCT  
TGGTACCAGCAGAAACCTGGACAGCCCTCCTAAGCTG  
CTCATTTACTGGGCATCTACCCGGGAATCCGGGGTCCCTGACCCGAATCAGTGGCAGCGGG  
TCTGGGACAGATTTCACTCTCACCATCAGCAGCCCTGCAGGCTGAAGATGTGGCAGTTTAT  
TACTGTCAACAACATTATAATACTCCACTCACTTTCGGCGGAGGGACCAAGGTGGAGATC  
AAACGA

## SEQUENCE LISTING

<110> Genentech, Inc.  
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Hongo, Jo-Anne S.  
Presta, Leonard G.  
Shelton, David L.

<120> AGONIST ANTI-TRK-C MONOCLONAL ANTIBODIES

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<213> Murine

<400> 2

Tyr Trp Met His Trp Val Lys  
1 5

<210> 3

<211> 7

<212> PRT

<213> Homo sapiens

<400> 3

Ser Gly Gly Tyr Tyr Trp Ser  
1 5

<210> 4

<211> 7

<212> PRT

<213> Homo sapiens

<400> 4

Ile Ser Thr Tyr Tyr Trp Asn

1

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&lt;211&gt; 7

&lt;212&gt; PRT

&lt;213&gt; Homo sapiens

&lt;400&gt; 5

Ser Gly Tyr Tyr Trp Ser

1

5

&lt;210&gt; 6

&lt;211&gt; 17

&lt;212&gt; PRT

&lt;213&gt; Murine

&lt;400&gt; 6

Glu Ile Leu Pro Gly Ser Asp Asn Thr Asn Tyr Asn Glu Lys Phe Lys

1

5

10

15

Gly

&lt;210&gt; 7

&lt;211&gt; 17

&lt;212&gt; PRT

&lt;213&gt; Murine

&lt;400&gt; 7

Glu Ile Tyr Pro Ser Asn Gly Arg Thr Asn Tyr Asn Glu Lys Phe Lys

1

5

10

15

Ser

&lt;210&gt; 8

&lt;211&gt; 16

&lt;212&gt; PRT

&lt;213&gt; Homo sapiens

&lt;400&gt; 8

Tyr Ile Tyr Tyr Ser Gly Ser Thr Asn Tyr Asn Pro Ser Leu Lys Ser

1

5

10

15

&lt;210&gt; 9

&lt;211&gt; 16

&lt;212&gt; PRT

&lt;213&gt; Homo sapiens

&lt;400&gt; 9

Arg Ile Tyr Thr Ser Gly Ser Thr Asn Tyr Asn Pro Ser Leu Lys Ser

1

5

10

15

&lt;210&gt; 10

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 1 5 10 15

<210> 11  
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 <212> PRT  
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 Tyr Ile Tyr Tyr Ser Gly Ser Thr Tyr Tyr Asn Pro Ser Leu Lys Ser  
 1 5 10 15

<210> 12  
 <211> 11  
 <212> PRT  
 <213> Murine

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 1 5 10

<210> 13  
 <211> 15  
 <212> PRT  
 <213> Murine

<400> 13  
 Lys Tyr Tyr Tyr Gly Asn Ser Tyr Arg Ser Trp Tyr Phe Asp Val  
 1 5 10 15

<210> 14  
 <211> 18  
 <212> PRT  
 <213> Homo sapiens

<400> 14  
 Asp Arg Asp Tyr Asp Ser Thr Gly Asp Tyr Tyr Ser Tyr Tyr Gly Met  
 1 5 10 15  
 Asp Val

<210> 15  
 <211> 9  
 <212> PRT  
 <213> Homo sapiens

<400> 15  
 Asp Gly Gly Tyr Ser Asn Pro Phe Asp

1

5

&lt;210&gt; 16

&lt;211&gt; 17

&lt;212&gt; PRT

&lt;213&gt; Homo sapiens

&lt;400&gt; 16

Glu Arg Ile Ala Ala Ala Gly Ala Asp Tyr Tyr Tyr Asn Gly Leu Asp

1

5

10

15

Val

&lt;210&gt; 17

&lt;211&gt; 17

&lt;212&gt; PRT

&lt;213&gt; Homo sapiens

&lt;400&gt; 17

Glu Arg Ile Ala Ala Ala Gly Thr Asp Tyr Tyr Tyr Asn Gly Leu Ala

1

5

10

15

Val

&lt;210&gt; 18

&lt;211&gt; 15

&lt;212&gt; PRT

&lt;213&gt; Murine

&lt;400&gt; 18

Arg Ala Ser Lys Ser Val Ser Thr Ser Gly Tyr Ser Tyr Met His

1

5

10

15

&lt;210&gt; 19

&lt;211&gt; 10

&lt;212&gt; PRT

&lt;213&gt; Murine

&lt;400&gt; 19

Ser Ala Ser Ser Ser Val Ser Tyr Met Tyr

1

5

10

&lt;210&gt; 20

&lt;211&gt; 15

&lt;212&gt; PRT

&lt;213&gt; Murine

&lt;400&gt; 20

Arg Ala Ser Glu Ser Val Asp Asn Tyr Gly Ile Ser Phe Met Asn

1

5

10

15

&lt;210&gt; 21

<211> 11  
<212> PRT  
<213> Homo sapiens

<400> 21  
Arg Ala Ser Gln Gly Ile Arg Asn Asp Leu Gly  
1 5 10

<210> 22  
<211> 17  
<212> PRT  
<213> Homo sapiens

<400> 22  
Lys Ser Ser Gln Ser Val Ser Tyr Ser Ser Asn Asn Lys Asn Tyr Leu  
1 5 10 15  
Ala

<210> 23  
<211> 12  
<212> PRT  
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<400> 23  
Arg Ala Ser Gln Ser Val Ser Ser Asn Tyr Leu Thr  
1 5 10

<210> 24  
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<400> 24  
Arg Ala Ser Gln Ser Gly Ser Ser Thr Tyr Leu Ala  
1 5 10

<210> 25  
<211> 7  
<212> PRT  
<213> Murine

<400> 25  
Leu Val Ser Asn Leu Glu Ser  
1 5

<210> 26  
<211> 7  
<212> PRT  
<213> Murine

<400> 26  
Ser Thr Ser Asn Leu Ala Ser

1 5

<210> 27  
<211> 7  
<212> PRT  
<213> Murine

<400> 27  
Ala Ala Ser Asn Gln Gly Ser  
1 5

<210> 28  
<211> 7  
<212> PRT  
<213> Homo sapiens

<400> 28  
Ala Ala Ser Ser Leu Gln Ser  
1 5

<210> 29  
<211> 7  
<212> PRT  
<213> Homo sapiens

<400> 29  
Trp Ala Ser Thr Arg Glu Ser  
1 5

<210> 30  
<211> 7  
<212> PRT  
<213> Homo sapiens

<400> 30  
Gly Ala Ser Ser Arg Ala Thr  
1 5

<210> 31  
<211> 9  
<212> PRT  
<213> Murine

<400> 31  
Gln His Ile Arg Glu Leu Thr Arg Ser  
1 5

<210> 32  
<211> 9  
<212> PRT  
<213> Murine

&lt;400&gt; 32

Gln Gln Arg Ser Ser Tyr Pro Leu Thr  
1 5

&lt;210&gt; 33

&lt;211&gt; 9

&lt;212&gt; PRT

&lt;213&gt; Murine

&lt;400&gt; 33

Gln Gln Ser Lys Glu Val Pro Arg Thr  
1 5

&lt;210&gt; 34

&lt;211&gt; 9

&lt;212&gt; PRT

&lt;213&gt; Homo sapiens

&lt;400&gt; 34

Leu Gln His Asn Ser Leu Pro Leu Thr  
1 5

&lt;210&gt; 35

&lt;211&gt; 9

&lt;212&gt; PRT

&lt;213&gt; Homo sapiens

&lt;400&gt; 35

Gln Gln His Tyr Asn Thr Pro Leu Thr  
1 5

&lt;210&gt; 36

&lt;211&gt; 10

&lt;212&gt; PRT

&lt;213&gt; Homo sapiens

&lt;400&gt; 36

Gln Gln Tyr Gly Arg Ser Pro Pro Ile Thr  
1 5 10

&lt;210&gt; 37

&lt;211&gt; 7

&lt;212&gt; PRT

&lt;213&gt; Murine

&lt;220&gt;

&lt;221&gt; UNSURE

&lt;222&gt; 1

&lt;223&gt; Xaa = F or Y

&lt;221&gt; UNSURE

&lt;222&gt; 3

&lt;223&gt; Xaa = I or M



<221> UNSURE

<222> 4

<223> Xaa = E or H

<400> 37

Xaa Trp Xaa Xaa Trp Val Lys

1

5

<210> 38

<211> 17

<212> PRT

<213> Murine

<220>

<221> UNSURE

<222> 3

<223> Xaa = L or Y

<221> UNSURE

<222> 5

<223> Xaa = G or S

<221> UNSURE

<222> 6

<223> Xaa = S or N

<221> UNSURE

<222> 7

<223> Xaa = D or G

<221> UNSURE

<222> 8

<223> Xaa = N or R

<221> UNSURE

<222> 17

<223> Xaa = G or S

<400> 38

Glu Ile Xaa Pro Xaa Xaa Xaa Xaa Thr Asn Tyr Asn Glu Lys Phe Lys

1

5

10

15

Xaa

<210> 39

<211> 7

<212> PRT

<213> Homo sapiens

<220>

<221> UNSURE

<222> 1

<223> Xaa = S or I

<221> UNSURE

<222> 2  
<223> Xaa = G or S

<221> UNSURE  
<222> 3  
<223> Xaa = G, T or Y

<221> UNSURE  
<222> 7  
<223> Xaa = S or N

<400> 39  
Xaa Xaa Xaa Tyr Tyr Trp Xaa  
1 5

<210> 40  
<211> 16  
<212> PRT  
<213> Homo sapiens

<220>  
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<223> Xaa = Y or R

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<222> 3  
<223> Xaa = Y or F

<221> UNSURE  
<222> 4  
<223> Xaa = Y or T

<221> UNSURE  
<222> 8  
<223> Xaa = S or R

<221> UNSURE  
<222> 10  
<223> Xaa = N or Y

<400> 40  
Xaa Ile Xaa Xaa Ser Gly Ser Xaa Thr Xaa Asn Pro Ser Leu Lys Ser  
1 5 10 15

<210> 41  
<211> 17  
<212> PRT  
<213> Homo sapiens

<220>  
<221> UNSURE  
<222> 8  
<223> Xaa = A or T

<221> UNSURE

&lt;222&gt; 16

&lt;223&gt; Xaa = D or A

&lt;400&gt; 41

Glu	Arg	Ile	Ala	Ala	Ala	Gly	Xaa	Asp	Tyr	Tyr	Tyr	Asn	Gly	Leu	Xaa
1				5					10					15	
Val															

&lt;210&gt; 42

&lt;211&gt; 122

&lt;212&gt; PRT

&lt;213&gt; Murine

&lt;400&gt; 42

Asn	Gln	Val	Gln	Leu	Gln	Gln	Ser	Gly	Ala	Glu	Leu	Met	Gln	Pro	Gly
1				5					10					15	
Ala	Ser	Val	Lys	Ile	Ser	Cys	Lys	Ser	Thr	Gly	Tyr	Thr	Phe	Ser	Asn
			20					25					30		
Phe	Trp	Ile	Glu	Trp	Val	Lys	Gln	Arg	Pro	Gly	His	Gly	Leu	Glu	Trp
		35					40					45			
Ile	Gly	Glu	Ile	Leu	Pro	Gly	Ser	Asp	Asn	Thr	Asn	Tyr	Asn	Glu	Lys
		50				55					60				
Phe	Lys	Gly	Lys	Ala	Thr	Phe	Thr	Ala	Asp	Thr	Ser	Ser	Asn	Thr	Ala
65					70				75					80	
Tyr	Met	Gln	Leu	Ser	Ser	Leu	Thr	Ser	Glu	Asp	Ser	Ala	Val	Tyr	Tyr
				85					90					95	
Cys	Ala	Arg	Lys	Asn	Arg	Asn	Tyr	Tyr	Gly	Asn	Tyr	Val	Val	Trp	Gly
			100					105					110		
Gln	Gly	Thr	Leu	Val	Thr	Val	Ser	Ala	Cys						
		115					120								

&lt;210&gt; 43

&lt;211&gt; 122

&lt;212&gt; PRT

&lt;213&gt; Murine

&lt;400&gt; 43

Asn	Gln	Val	Gln	Leu	Gln	Gln	Ser	Gly	Ala	Glu	Leu	Met	Gln	Pro	Gly
1				5					10					15	
Ala	Ser	Val	Lys	Ile	Ser	Cys	Lys	Ser	Thr	Gly	Tyr	Thr	Phe	Ser	Asn
			20					25					30		
Phe	Trp	Ile	Glu	Trp	Val	Lys	Gln	Arg	Pro	Gly	His	Gly	Leu	Glu	Trp
		35					40					45			
Ile	Gly	Glu	Ile	Leu	Pro	Gly	Ser	Asp	Asn	Thr	Asn	Tyr	Asn	Glu	Lys
		50				55					60				
Phe	Lys	Gly	Lys	Ala	Thr	Phe	Thr	Ala	Asp	Thr	Ser	Ser	Asn	Thr	Ala
65					70				75					80	
Tyr	Met	Gln	Leu	Ser	Ser	Leu	Thr	Ser	Glu	Asp	Ser	Ala	Val	Tyr	Tyr
				85					90					95	
Cys	Ala	Arg	Lys	Asn	Arg	Asn	Tyr	Tyr	Gly	Asn	Tyr	Val	Val	Trp	Gly
			100					105					110		
Ala	Gly	Thr	Thr	Leu	Thr	Val	Ser	Ser	Cys						
		115					120								

<210> 44  
 <211> 126  
 <212> PRT  
 <213> Murine

<400> 44  
 Asn Gln Val Gln Leu Gln Gln Pro Gly Ala Glu Leu Val Lys Pro Gly  
 1 5 10 15  
 Ala Ser Val Lys Leu Ser Cys Lys Ala Ser Gly Tyr Thr Phe Thr Ser  
 20 25 30  
 Tyr Trp Met His Trp Val Lys Gln Arg Pro Gly Gln Gly Leu Glu Trp  
 35 40 45  
 Ile Gly Glu Ile Tyr Pro Ser Asn Gly Arg Thr Asn Tyr Asn Glu Lys  
 50 55 60  
 Phe Lys Ser Lys Ala Thr Leu Thr Val Asp Lys Ser Ser Ser Thr Ala  
 65 70 75 80  
 Tyr Met Gln Leu Ser Ser Leu Thr Ser Glu Asp Ser Ala Val Tyr Tyr  
 85 90 95  
 Cys Ala Arg Lys Tyr Tyr Tyr Gly Asn Ser Tyr Arg Ser Trp Tyr Phe  
 100 105 110  
 Asp Val Trp Gly Ala Gly Thr Thr Leu Thr Val Ser Ser Cys  
 115 120 125

<210> 45  
 <211> 130  
 <212> PRT  
 <213> Homo sapiens

<400> 45  
 Asn Gln Val Gln Leu Gln Glu Ser Gly Pro Gly Leu Val Lys Pro Ser  
 1 5 10 15  
 Gln Thr Leu Ser Leu Thr Cys Thr Val Ser Gly Gly Ser Ile Ser Ser  
 20 25 30  
 Gly Gly Tyr Tyr Trp Ser Trp Ile Arg Gln His Pro Gly Lys Gly Leu  
 35 40 45  
 Glu Trp Ile Gly Tyr Ile Tyr Tyr Ser Gly Ser Thr Asn Tyr Asn Pro  
 50 55 60  
 Ser Leu Lys Ser Arg Val Thr Ile Ser Val Asp Thr Ser Lys Asn Gln  
 65 70 75 80  
 Phe Ser Leu Lys Leu Ser Ser Val Thr Ala Ala Asp Thr Ala Val Tyr  
 85 90 95  
 Tyr Cys Thr Arg Asp Arg Asp Tyr Asp Ser Thr Gly Asp Tyr Tyr Ser  
 100 105 110  
 Tyr Tyr Gly Met Asp Val Trp Gly Gln Gly Thr Thr Val Thr Val Ser  
 115 120 125  
 Ser Cys  
 130

<210> 46  
 <211> 119  
 <212> PRT  
 <213> Homo sapiens

<400> 46  
 Asn Gln Val Gln Leu Gln Glu Ser Gly Pro Gly Leu Val Arg Pro Ser  
 1 5 10 15

Glu Thr Leu Ser Leu Thr Cys Thr Val Ser Gly Gly Ser Ile Ser Thr  
                   20                  25                  30  
 Tyr Tyr Trp Asn Trp Ile Arg Gln Pro Ala Gly Lys Gly Leu Glu Trp  
                   35                  40                  45  
 Ile Gly Arg Ile Tyr Thr Ser Gly Ser Thr Asn Tyr Asn Pro Ser Leu  
                   50                  55                  60  
 Lys Ser Arg Val Thr Met Ser Val Asp Thr Ser Lys Asn Gln Phe Ser  
                   65                  70                  75                  80  
 Leu Lys Leu Ser Ser Val Thr Ala Ala Asp Thr Ala Val Tyr Tyr Cys  
                   85                  90                  95  
 Ala Arg Asp Gly Tyr Ser Asn Pro Phe Asp Trp Gly Gln Gly Thr  
                   100                  105                  110  
 Leu Val Thr Val Ser Ser Cys  
                   115

<210> 47  
 <211> 129  
 <212> PRT  
 <213> Homo sapiens

<400> 47  
 Asn Gln Val Gln Leu Gln Glu Ser Gly Pro Gly Leu Val Lys Pro Ser  
   1                  5                  10                  15  
 Gln Thr Leu Ser Leu Thr Cys Thr Val Ser Gly Gly Ser Ile Ser Ser  
                   20                  25                  30  
 Gly Gly Tyr Trp Ser Trp Ile Arg Gln His Pro Glu Lys Gly Leu  
                   35                  40                  45  
 Glu Trp Ile Gly Tyr Ile Phe Tyr Ser Gly Arg Thr Tyr Tyr Asn Pro  
                   50                  55                  60  
 Ser Leu Lys Ser Arg Val Thr Ile Ser Val Asp Thr Ser Lys Asn Gln  
                   65                  70                  75                  80  
 Phe Ser Leu Lys Leu Asn Ser Val Thr Ala Ala Asp Thr Ala Val Tyr  
                   85                  90                  95  
 Tyr Cys Ala Arg Glu Arg Ile Ala Ala Gly Ala Asp Tyr Tyr Tyr  
                   100                  105                  110  
 Asn Gly Leu Asp Val Trp Gly Gln Gly Thr Thr Val Thr Val Ser Ser  
                   115                  120                  125  
 Cys

<210> 48  
 <211> 129  
 <212> PRT  
 <213> Homo sapiens

<400> 48  
 Asn Gln Val Gln Leu Gln Glu Ser Gly Pro Gly Leu Val Lys Pro Ser  
   1                  5                  10                  15  
 Gln Thr Leu Ser Leu Thr Cys Thr Val Ser Gly Gly Ser Ile Ser Ser  
                   20                  25                  30  
 Gly Tyr Tyr Trp Ser Trp Ile Arg Gln His Pro Gly Lys Gly Leu  
                   35                  40                  45  
 Glu Trp Ile Gly Tyr Ile Tyr Tyr Ser Gly Ser Thr Tyr Tyr Asn Pro  
                   50                  55                  60  
 Ser Leu Lys Ser Arg Leu Thr Ile Ser Val Asp Thr Ser Lys Asn Gln  
                   65                  70                  75                  80

Phe Ser Leu Lys Leu Ser Ser Val Thr Ala Ala Asp Thr Ala Val Tyr  
                     85                    90                    95  
 Tyr Cys Ala Arg Glu Arg Ile Ala Ala Gly Thr Asp Tyr Tyr Tyr  
                     100                    105                    110  
 Asn Gly Leu Ala Val Trp Gly Gln Gly Thr Thr Val Thr Val Ser Ser  
                     115                    120                    125  
 Cys

<210> 49  
 <211> 112  
 <212> PRT  
 <213> Murine

<400> 49  
 Asn Asp Ile Val Met Thr Gln Ser Pro Ala Ser Leu Ala Val Ser Leu  
   1                    5                    10                    15  
 Gly Gln Arg Ala Thr Ile Ser Tyr Arg Ala Ser Lys Ser Val Ser Thr  
                     20                    25                    30  
 Ser Gly Tyr Ser Tyr Met His Trp Asn Gln Gln Lys Pro Gly Gln Pro  
                     35                    40                    45  
 Pro Arg Leu Leu Ile Tyr Leu Val Ser Asn Leu Glu Ser Gly Val Pro  
                     50                    55                    60  
 Ala Arg Phe Ser Gly Ser Gly Ser Gly Thr Asp Phe Thr Leu Asn Ile  
  65                    70                    75                    80  
 His Pro Val Glu Glu Glu Asp Ala Ala Thr Tyr Tyr Cys Gln His Ile  
                     85                    90                    95  
 Arg Glu Leu Thr Arg Ser Ala Arg Gly Gln Ser Trp Lys Lys Arg Cys  
                     100                    105                    110

<210> 50  
 <211> 109  
 <212> PRT  
 <213> Murine

<400> 50  
 Asn Gln Ile Val Leu Thr Gln Ser Pro Ala Ile Met Ser Ala Ser Pro  
   1                    5                    10                    15  
 Gly Glu Lys Val Thr Ile Thr Cys Ser Ala Ser Ser Ser Val Ser Tyr  
                     20                    25                    30  
 Met Tyr Trp Phe Gln Gln Lys Pro Gly Thr Ser Pro Lys Leu Trp Ile  
                     35                    40                    45  
 Tyr Ser Thr Ser Asn Leu Ala Ser Gly Val Pro Ala Arg Phe Ser Gly  
                     50                    55                    60  
 Ser Gly Ser Gly Thr Ser Tyr Ser Leu Thr Ile Ser Arg Met Glu Ala  
  65                    70                    75                    80  
 Glu Asp Ala Ala Thr Tyr Tyr Cys Gln Gln Arg Ser Ser Tyr Pro Leu  
                     85                    90                    95  
 Thr Phe Gly Ala Gly Thr Lys Leu Glu Leu Lys Arg Cys  
                     100                    105

<210> 51  
 <211> 114  
 <212> PRT  
 <213> Murine

&lt;400&gt; 51

Asn Asp Ile Val Leu Thr Gln Ser Pro Ala Ser Leu Ala Val Ser Leu  
 1 5 10 15  
 Gly Gln Arg Ala Thr Ile Ser Cys Arg Ala Ser Glu Ser Val Asp Asn  
 20 25 30  
 Tyr Gly Ile Ser Phe Met Asn Trp Phe Gln Gln Lys Pro Gly Gln Pro  
 35 40 45  
 Pro Lys Leu Leu Ile Tyr Ala Ser Asn Gln Gly Ser Gly Val Pro  
 50 55 60  
 Ala Arg Phe Ser Gly Ser Gly Ser Gly Thr Asp Phe Ser Leu Asn Ile  
 65 70 75 80  
 His Pro Met Glu Glu Asp Asp Thr Ala Met Tyr Phe Cys Gln Gln Ser  
 85 90 95  
 Lys Glu Val Pro Arg Thr Phe Gly Gly Gly Thr Lys Leu Glu Met Lys  
 100 105 110  
 Arg Cys

&lt;210&gt; 52

&lt;211&gt; 110

&lt;212&gt; PRT

&lt;213&gt; Homo sapiens

&lt;400&gt; 52

Asn Asp Ile Gln Met Thr Gln Ser Pro Ser Ser Leu Ser Ala Ser Val  
 1 5 10 15  
 Gly Asp Arg Val Thr Ile Thr Cys Arg Ala Ser Gln Gly Ile Arg Asn  
 20 25 30  
 Asp Leu Gly Trp Tyr Gln Gln Lys Pro Gly Lys Ala Pro Lys Arg Leu  
 35 40 45  
 Ile Tyr Ala Ala Ser Ser Leu Gln Ser Gly Val Pro Ser Arg Phe Ser  
 50 55 60  
 Gly Ser Gly Ser Gly Thr Glu Phe Thr Leu Thr Ile Ser Ser Leu Gln  
 65 70 75 80  
 Pro Glu Asp Phe Ala Thr Phe Tyr Cys Leu Gln His Asn Ser Leu Pro  
 85 90 95  
 Leu Thr Phe Gly Gly Gly Thr Lys Val Glu Ile Lys Arg Cys  
 100 105 110

&lt;210&gt; 53

&lt;211&gt; 116

&lt;212&gt; PRT

&lt;213&gt; Homo sapiens

&lt;400&gt; 53

Asn Asp Ile Gln Met Thr Gln Ser Pro Asp Ser Leu Ala Val Ser Leu  
 1 5 10 15  
 Gly Glu Arg Ala Thr Ile Asn Cys Lys Ser Ser Gln Ser Val Ser Tyr  
 20 25 30  
 Ser Ser Asn Asn Lys Asn Tyr Leu Ala Trp Tyr Gln Gln Lys Pro Gly  
 35 40 45  
 Gln Pro Pro Lys Leu Leu Ile Tyr Trp Ala Ser Thr Arg Glu Ser Gly  
 50 55 60  
 Val Pro Asp Arg Ile Ser Gly Ser Gly Ser Gly Thr Asp Phe Thr Leu  
 65 70 75 80

Thr Ile Ser Ser Leu Gln Ala Glu Asp Val Ala Val Tyr Tyr Cys Gln  
                             85                            90                            95  
 Gln His Tyr Asn Thr Pro Leu Thr Phe Gly Gly Gly Thr Lys Val Glu  
                             100                            105                            110  
 Ile Lys Arg Cys  
                             115

<210> 54  
 <211> 112  
 <212> PRT  
 <213> Homo sapiens

<400> 54  
 Asn Glu Ile Val Leu Thr Gln Ser Pro Gly Thr Leu Ser Leu Ser Pro  
   1                            5                            10                            15  
 Gly Glu Arg Ala Thr Leu Ser Cys Arg Ala Ser Gln Ser Val Ser Ser  
                             20                            25                            30  
 Asn Tyr Leu Thr Trp Tyr Gln Gln Lys Pro Gly Gln Ala Pro Arg Leu  
                             35                            40                            45  
 Leu Ile Tyr Gly Ala Ser Ser Arg Ala Thr Gly Ile Pro Asp Arg Phe  
                             50                            55                            60  
 Ser Gly Ser Gly Ser Gly Thr Asp Phe Thr Leu Thr Ile Ser Arg Leu  
  65                            70                            75                            80  
 Glu Pro Glu Asp Phe Ala Val Tyr Tyr Cys Gln Gln Tyr Gly Arg Ser  
                             85                            90                            95  
 Pro Pro Ile Thr Phe Gly Gln Gly Thr Arg Leu Glu Ile Lys Arg Cys  
                             100                            105                            110

<210> 55  
 <211> 112  
 <212> PRT  
 <213> Homo sapiens

<400> 55  
 Asn Gly Ile Val Leu Thr Gln Ser Pro Gly Thr Leu Ser Leu Ser Pro  
   1                            5                            10                            15  
 Gly Glu Arg Ala Thr Phe Ser Cys Arg Ala Ser Gln Ser Gly Ser Ser  
                             20                            25                            30  
 Thr Tyr Leu Ala Trp Tyr Gln Gln Lys Pro Gly Gln Ala Pro Arg Leu  
                             35                            40                            45  
 Leu Ile Tyr Gly Ala Ser Ser Arg Ala Thr Gly Ile Pro Asp Arg Phe  
                             50                            55                            60  
 Ser Gly Ser Gly Ser Gly Thr Asp Phe Thr Leu Thr Ile Ser Arg Leu  
  65                            70                            75                            80  
 Glu Pro Glu Asp Phe Ala Val Tyr Tyr Cys Gln Gln Tyr Gly Arg Ser  
                             85                            90                            95  
 Pro Pro Ile Thr Phe Gly Gln Gly Thr Arg Leu Glu Ile Lys Arg Cys  
                             100                            105                            110

<210> 56  
 <211> 808  
 <212> PRT  
 <213> Homo sapiens

<400> 56



Cys Pro Ala Asn Cys Val Cys Ser Lys Thr Glu Ile Asn Cys Arg Arg  
 1 5 10 15  
 Pro Asp Asp Gly Asn Leu Phe Pro Leu Leu Glu Gly Gln Asp Ser Gly  
 20 25 30  
 Asn Ser Asn Gly Asn Ala Asn Ile Asn Ile Thr Asp Ile Ser Arg Asn  
 35 40 45  
 Ile Thr Ser Ile His Ile Glu Asn Trp Arg Ser Leu His Thr Leu Asn  
 50 55 60  
 Ala Val Asp Met Glu Leu Tyr Thr Gly Leu Gln Lys Leu Thr Ile Lys  
 65 70 75 80  
 Asn Ser Gly Leu Arg Ser Ile Gln Pro Arg Ala Phe Ala Lys Asn Pro  
 85 90 95  
 His Leu Arg Tyr Ile Asn Leu Ser Ser Asn Arg Leu Thr Thr Leu Ser  
 100 105 110  
 Trp Gln Leu Phe Gln Thr Leu Ser Leu Arg Glu Leu Gln Leu Glu Gln  
 115 120 125  
 Asn Phe Phe Asn Cys Ser Cys Asp Ile Arg Trp Met Gln Leu Trp Gln  
 130 135 140  
 Glu Gln Gly Glu Ala Lys Leu Asn Ser Gln Asn Leu Tyr Cys Ile Asn  
 145 150 155 160  
 Ala Asp Gly Ser Gln Leu Pro Leu Phe Arg Met Asn Ile Ser Gln Cys  
 165 170 175  
 Asp Leu Pro Glu Ile Ser Val Ser His Val Asn Leu Thr Val Arg Glu  
 180 185 190  
 Gly Asp Asn Ala Val Ile Thr Cys Asn Gly Ser Gly Ser Pro Leu Pro  
 195 200 205  
 Asp Val Asp Trp Ile Val Thr Gly Leu Gln Ser Ile Asn Thr His Gln  
 210 215 220  
 Thr Asn Leu Asn Trp Thr Asn Val His Ala Ile Asn Leu Thr Leu Val  
 225 230 235 240  
 Asn Val Thr Ser Glu Asp Asn Gly Phe Thr Leu Thr Cys Ile Ala Glu  
 245 250 255  
 Asn Val Val Gly Met Ser Asn Ala Ser Val Ala Leu Thr Val Tyr Tyr  
 260 265 270  
 Pro Pro Arg Val Val Ser Leu Glu Glu Pro Glu Leu Arg Leu Glu His  
 275 280 285  
 Cys Ile Glu Phe Val Val Arg Gly Asn Pro Pro Pro Thr Leu His Trp  
 290 295 300  
 Leu His Asn Gly Gln Pro Leu Arg Glu Ser Lys Ile Ile His Val Glu  
 305 310 315 320  
 Tyr Tyr Gln Glu Gly Glu Ile Ser Glu Gly Cys Leu Leu Phe Asn Lys  
 325 330 335  
 Pro Thr His Tyr Asn Asn Gly Asn Tyr Thr Leu Ile Ala Lys Asn Pro  
 340 345 350  
 Leu Gly Thr Ala Asn Gln Thr Ile Asn Gly His Phe Leu Lys Glu Pro  
 355 360 365  
 Phe Pro Glu Ser Thr Asp Asn Phe Ile Leu Phe Asp Glu Val Ser Pro  
 370 375 380  
 Thr Pro Pro Ile Thr Val Thr His Lys Pro Glu Glu Asp Thr Phe Gly  
 385 390 395 400  
 Val Ser Ile Ala Val Gly Leu Ala Ala Phe Ala Cys Val Leu Leu Val  
 405 410 415  
 Val Leu Phe Val Met Ile Asn Lys Tyr Gly Arg Arg Ser Lys Phe Gly  
 420 425 430  
 Met Lys Gly Pro Val Ala Val Ile Ser Gly Glu Glu Asp Ser Ala Ser  
 435 440 445  
 Pro Leu His His Ile Asn His Gly Ile Thr Thr Pro Ser Ser Leu Asp  
 450 455 460

Ala Gly Pro Asp Thr Val Val Ile Gly Met Thr Arg Ile Pro Val Ile  
 465 470 475 480  
 Glu Asn Pro Gln Tyr Phe Arg Gln Gly His Asn Cys His Lys Pro Asp  
 485 490 495  
 Thr Tyr Val Gln His Ile Lys Arg Arg Asp Ile Val Leu Lys Arg Glu  
 500 505 510  
 Leu Gly Glu Gly Ala Phe Gly Lys Val Phe Leu Ala Glu Cys Tyr Asn  
 515 520 525  
 Leu Ser Pro Thr Lys Asp Lys Met Leu Val Ala Val Lys Ala Leu Lys  
 530 535 540  
 Asp Pro Thr Leu Ala Ala Arg Lys Asp Phe Gln Arg Glu Ala Glu Leu  
 545 550 555 560  
 Leu Thr Asn Leu Gln His Glu His Ile Val Lys Phe Tyr Gly Val Cys  
 565 570 575  
 Gly Asp Gly Asp Pro Leu Ile Met Val Phe Glu Tyr Met Lys His Gly  
 580 585 590  
 Asp Leu Asn Lys Phe Leu Arg Ala His Gly Pro Asp Ala Met Ile Leu  
 595 600 605  
 Val Asp Gly Gln Pro Arg Gln Ala Lys Gly Glu Leu Gly Leu Ser Gln  
 610 615 620  
 Met Leu His Ile Ala Ser Gln Ile Ala Ser Gly Met Val Tyr Leu Ala  
 625 630 635 640  
 Ser Gln His Phe Val His Arg Asp Leu Ala Thr Arg Asn Cys Leu Val  
 645 650 655  
 Gly Ala Asn Leu Leu Val Lys Ile Gly Asp Phe Gly Met Ser Arg Asp  
 660 665 670  
 Val Tyr Ser Thr Asp Tyr Tyr Arg Leu Phe Asn Pro Ser Gly Asn Asp  
 675 680 685  
 Phe Cys Ile Trp Cys Glu Val Gly Gly His Thr Met Leu Pro Ile Arg  
 690 695 700  
 Trp Met Pro Pro Glu Ser Ile Met Tyr Arg Lys Phe Thr Thr Glu Ser  
 705 710 715 720  
 Asp Val Trp Ser Phe Gly Val Ile Leu Trp Glu Ile Phe Thr Tyr Gly  
 725 730 735  
 Lys Gln Pro Trp Phe Gln Leu Ser Asn Thr Glu Val Ile Glu Cys Ile  
 740 745 750  
 Thr Gln Gly Arg Val Leu Glu Arg Pro Arg Val Cys Pro Lys Glu Val  
 755 760 765  
 Tyr Asp Val Met Leu Gly Cys Trp Gln Arg Glu Pro Gln Gln Arg Leu  
 770 775 780  
 Asn Ile Lys Glu Ile Tyr Lys Ile Leu His Ala Leu Gly Lys Ala Thr  
 785 790 795 800  
 Pro Ile Tyr Leu Asp Ile Leu Gly  
 805

&lt;210&gt; 57

&lt;211&gt; 2607

&lt;212&gt; DNA

&lt;213&gt; Homo sapiens

&lt;400&gt; 57

tgccctgcaa attgtgtctg cagcaagact gagatcaatt gccggcggcc ggacgatggg 60  
 aacctcttcc ccctcctgga agggcaggat tcaggaaca gcaatgggaa cgccaatatc 120  
 aacatcacgg acatctcaag gaatatcact tccatacaca tagagaactg gcgcagtctt 180  
 cacacgtca acgccgtgga catggagctc tacaccggac ttcaaaagct gaccatcaag 240  
 aactcaggac ttcggagcat tcagccaga gcctttgcc aagaacccca tttgcgttat 300  
 ataaacctgt caagtaaccg gctcaccaca ctctcgtggc agctcttcca gacgctgagt 360

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cttcgggaat tgcagttgga gcagaacttt ttcaactgca gctgtgacat ccgctggatg 420
cagctctggc aggagcaggg ggaggccaag ctcaacagcc agaacctcta ctgcatcaat 480
gctgatggct cccagcttcc tctcttccgc atgaacatca gtcagtgtga ccttcctgag 540
atcagcgtga gccacgtcaa cctgaccgta cgagaggggtg acaatgctgt tatcaactgc 600
aatggctctg gatcacccct tcctgatgtg gactggatag tcaactgggt gcagtccatc 660
aacactcacc agaccaatct gaactggacc aatgttcatg ccatcaactt gacgctgggtg 720
aatgtgacga gtgaggacaa tggcttcacc ctgacgtgca ttgcagagaa cgtgggtgggc 780
atgagcaatg ccagtgttgc cctcactgtc tactatcccc cacgtgtggt gagcctggag 840
gagcctgagc tgcgcctgga gcactgcacg gagtttgtgg tgcgtggcaa cccccacca 900
acgctgcact ggctgcacaa tgggcagcct ctgcgagggt ccaagatcat ccatgtggaa 960
tactaccaag agggagagat ttccgagggc tgcctgctct tcaacaagcc caccactac 1020
aacaatggca actataccct cattgccaaa aaccactgg gcacagccaa ccagaccatc 1080
aatggccact tcctcaagga gccctttcca gagagcacgg ataactttat cttgtttgac 1140
gaagtgagtc ccacacctcc tatcactgtg acccacaac cagaagaaga cacttttggg 1200
gtatccatag cagttggact tgctgctttt gcctgtgtcc tgttgggtgt tctcttcgtc 1260
atgatcaaca aatatggctg acggtccaaa tttggaatga agggctccgt ggctgtcatc 1320
agtggtgagg aggactcagc cagcccactg caccacatca accacggcat caccacgccc 1380
tcgtcactgg atgccgggccc cgacactgtg gtcattggca tgactcgcat ccctgtcatt 1440
gagaaccccc agtacttccg tcagggacac aactgccaca agcgggacac gtatgtgcag 1500
cacattaaga ggagagacat cgtgctgaag cgagaactgg gtgagggagc ctttggaaag 1560
gtcttccctg cccagtgcta caacctcagc ccgaccaagg acaagatgct tgtggctgtg 1620
aaggccctga aggatcccac cctggctgcc cggaaggatt tccagaggga ggccgagctg 1680
ctcaccaacc tgcagcatga gcacattgtc aagttctatg gagtgtgcgg cgtggggac 1740
cccctcatca tggctcttga atacatgaag catggagacc tgaataagtt cctcagggcc 1800
catgggcccag atgcaatgat ccttgtggat ggacagccac gccaggccaa gggtgagctg 1860
gggctctccc aaatgctcca cattgccagt cagatcgccct cgggtatggt gtacctggcc 1920
tcccagcact ttgtgcaccg agacctggcc accaggaact gcctgggttg agcgaatctg 1980
ctagtgaaga ttggggactt cggcattgtc agagatgtct acagcacgga ttattacagg 2040
ctctttaatc catctggaaa tgatttttgt atatggtgtg aggtgggagg acacaccatg 2100
ctccccattc gctggatgdc tcctgaaagc atcatgtacc ggaagtccac tacagagagt 2160
gatgtatgga gcttcggggg gatcctctgg gagatcttca cctatggaaa gcagccatgg 2220
ttccaactct caaacacgga ggtcattgag tgcattaccc aaggctcgtg tttggagcgg 2280
ccccgagtct gcccacaaaga ggtgtacgat gtcattgtgg ggtgctggca gagggaacca 2340
cagcagcggg tgaacatcaa ggagatctac aaaatcctcc atgctttggg gaaggccacc 2400
ccaatctacc tggacattct tggctagtgg tggctgggtg tcatgaattc atactctgtt 2460
gcctcctctc tccctgcctc acatctccct tccacctcac aactccttcc atccttgact 2520
gaagcgaaca tcttcatata aactcaagtg cctgctacac atacaacact gaaaaaagga 2580
aaaaaaaaga aaaaaaaaaa aaaccgc 2607

```

<210> 58  
<211> 360  
<212> DNA  
<213> Murine

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<400> 58
caggtccaac tgcagcagtc tggggctgag ctgatgcagc ctggggcctc agtgaagata 60
tcctgcaagt ctactggcta cacattcagt aacttctgga tagagtgggt aaagcagagg 120
cctggacatg gccttgagtg gattggagag attttacctg gcagtataaa tactaactac 180
aatgagaagt tcaagggcaa ggccacattc actgcagata catcctccaa cacagcctac 240
atgcaactca gcagcctgac atctgaggac tctgccgtct attactgtgc aagaaagaat 300
cgtaactact atggttaacta cgttgtatgg ggccaaggga ctctggtcac tgtctctgca 360

```

<210> 59  
<211> 330  
<212> DNA  
<213> Murine

<400> 59  
gacattgtga tgaccagtc tcctgcttcc ttagctgtat ctctggggca gagggccacc 60  
atctcatata gggccagcaa aagtgtcagt acatctggct atagttatat gcactggaac 120  
caacagaaac caggacagcc acccagactc ctcatctatc ttgtatccaa cctagaatct 180  
ggggtccctg ccaggttcag tggcagtggg tctgggacag acttcaccct caacatccat 240  
cctgtggagg aggaggatgc tgcaacctat tactgtcagc acattaggga gcttacacgt 300  
tcggctcggg gacaaagtg gaaaaaacgg 330

<210> 60  
<211> 360  
<212> DNA  
<213> Murine

<400> 60  
cagggtccagc tgcagcagtc tggagctgag ctgatgcagc ctggggcctc agtgaagata 60  
tcctgcaagt ctactggcta cacattcagt aacttctgga tagagtgggt aaagcagagg 120  
cctggacatg gccttgagtg gattggagag attttacctg gcagtgataa tactaactac 180  
aatgagaagt tcaagggcaa ggccacattc actgcagata catcctccaa cacagcctac 240  
atgcaactca gcagcctgac atctgaggac tctgccgtct attactgtgc aagaaagaat 300  
cgtaactact atggtaacta cgttgtctgg ggcgcaggca ccactctcac agtctcctca 360

<210> 61  
<211> 321  
<212> DNA  
<213> Murine

<400> 61  
caaatgtgtc tgaccagtc tccagcaatc atgtctgcat ctccagggga gaaggtcacc 60  
ataacctgca gtgccagctc aagtgtgaagt tacatgtact ggttccagca gaagccaggc 120  
acttctccca aactctggat ttatagtaca tccaacctgg cttctggagt ccctgctcgc 180  
ttcagtgga gtgatctgg gacctcttac tctctcacia tcagccgaat ggaggctgaa 240  
gatgctgcca cttattactg ccagcaaagg agtagttacc cgctcacgtt cgggtgctggg 300  
accaagctgg aactaaaacg g 321

<210> 62  
<211> 372  
<212> DNA  
<213> Murine

<400> 62  
cagggtccagc tgcagcagcc tggggctgaa ctggtgaagc ctggggcctc agtgaagctg 60  
tcctgcaagg cttctggcta caccttcacc agctactgga tgcactgggt gaagcagagg 120  
cctggacaag gccttgagtg gattggagag atttacccta gcaacggctc tactaactac 180  
aatgagaagt tcaagagcaa ggccacactg actgtagaca aatcctccag cacagcctac 240  
atgcaactca gcagcctgac atctgaggac tctgccgtct attactgtgc aagaaaatat 300  
tactacggta atagctatcg ttctggttac ttcatgtct ggggcgcagg caccactctc 360  
acagtctcct ca 372

<210> 63  
<211> 336  
<212> DNA  
<213> Murine

<400> 63  
gacattgtgc tgaccagtc tccagcttct ttggctgtgt ctctagggca gagggccacc 60  
atctcctgca gagccagcga aagtgttgat aattatggca ttagttttat gaactgggtc 120  
caacagaaac caggacagcc acccaaactc ctcatctatg ctgcatccaa ccaaggatcc 180

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ggggtccctg ccagggttag tggcagtggg tctgggacag acttcagcct caacatccat 240
cctatggagg aggatgatac tgcaatgtat ttctgtcagc aaagtaagga gggtcctcgg 300
acgttcgggtg gaggcaccaa gctggagatg aaacgg 336

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<210> 64  
 <211> 381  
 <212> DNA  
 <213> Homo sapiens

```

<400> 64
caggtgcagc tgcaggagtc gggcccagga ctggtgaagc cttcacagac cctgtccctc 60
acctgcactg tctctgggtg ctccatcagc agtgggtggtt actactggag ctggatccgc 120
cagcaccacag aaaagggcct ggagtggatt gggtagatct tttacagtgg gaggacctac 180
tacaaccctg ccctcaagag tgcagttacc atatcagtag acacgtctaa gaaccagttc 240
tccctgaagc tgaactctgt gactgccgcg gacacggccg tgtattactg tgcgagagag 300
cggatagcag cagctgggtg ggactactac tacaacgggt tggacgtctg gggccaaggg 360
accacggtca ccgtctcctc a 381

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<210> 65  
 <211> 330  
 <212> DNA  
 <213> Homo sapiens

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<400> 65
gaaattgtgt tgacgcagtc tccaggcacc ctgtctttgt ctccagggga aagagccacc 60
ctctcctgca gggccagtc gagtggttagc agcaactact taacctggta ccagcagaaa 120
cctggccagg ctcccaggct cctcatctat ggtgcatcca gcagggccac tggcatccca 180
gacaggttca gtggcagtgg gtctgggaca gacttcactc tcaccatcag cagactggag 240
cctgaagatt ttgcagtgtt ttactgtcag cagtatgggt gctcacctcc gatcaccttc 300
ggccaaggga cacgactgga gattaaacga 330

```

<210> 66  
 <211> 381  
 <212> DNA  
 <213> Homo sapiens

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<400> 66
caggtgcagc tgcaggagtc gggcccagga ctggtgaagc cttcacagac cctgtccctc 60
acctgcactg tctctgggtg ctccatcagc agtgggttatt attattggag ctggatccgc 120
cagcaccacag ggaagggcct ggagtggatt gggtagatct attacagtgg gagcacctac 180
tacaaccctg ccctcaagag tgcacttacc atatcagtag acacgtctaa gaaccagttc 240
tccctgaagc tgagctctgt gactgccgcg gacacggccg tgtattactg tgcgagagag 300
cggatagcag cagctggaac ggactactac tacaacgggt tggccgtctg gggccaaggg 360
accacggtca ccgtctcctc a 381

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<210> 67  
 <211> 330  
 <212> DNA  
 <213> Homo sapiens

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<400> 67
ggaattgtgt tgacgcagtc tccaggcacc ctgtctttgt ctccagggga aagagccact 60
ttctcctgca gggccagtc gagtggttagc agcacctact tagcctggta ccagcagaaa 120
cctggccagg ctcccaggct cctcatctat ggtgcatcca gcagggccac tggcatccca 180
gacaggttca gtggcagtgg gtctgggaca gacttcactc tcaccatcag cagactggag 240
cctgaagatt ttgcagtgtt ttactgtcag cagtatggta ggtcacctcc gatcaccttc 300
ggccaaggga cacgactgga gattaaacga 330

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<210> 68  
 <211> 384  
 <212> DNA  
 <213> Homo sapiens

<400> 68  
 cagggtgcagc tgcaggagtc gggcccagga ctggtgaagc cttcacagac cctgtccctc 60  
 acctgcactg tctctggtgg ctccatcagc agtgggtggtt actactggag ctggatccgc 120  
 cagcaccagc ggaagggcct ggagtggatt gggtagatct attacagtgg gagcaccaac 180  
 tacaaccgtt ccctcaagag tgcagttacc atatcagtgg acacgtctaa gaaccagttc 240  
 tccctgaagc tgagctctgt gactgccgcg gacacggccg tgtattactg tacgagagat 300  
 cgggactatg atagtaccgg ggattactac tcctactacg gtatggacgt ctggggccaa 360  
 gggaccacgg tcaccgtctc ctca 384

<210> 69  
 <211> 324  
 <212> DNA  
 <213> Homo sapiens

<400> 69  
 gatataccaga tgaccagtc tccatcctcc ctgtctgcat ctgtaggaga cagagtcacc 60  
 atcacttgcc gggcaagtc gggcattaga aatgatttag gctggtatca gcagaaacca 120  
 gggaaagccc ctaagcgctt gatctatgct gcatccagtt tgcaaagtgg ggtcccatca 180  
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## INTERNATIONAL SEARCH REPORT

Inte al Application No  
PCT/US 01/20153

## A. CLASSIFICATION OF SUBJECT MATTER

IPC 7 C12N15/13 C07K16/28 C12N5/10 C12N15/63 A61K39/395  
A61P25/00 A61P25/28 A61P9/00

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 C07K

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

BIOSIS, EMBASE, WPI Data, PAJ, EPO-Internal

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	WO 97 21732 A (MCGILL UNIVERSITY) 19 June 1997 (1997-06-19) examples claims	1-69
A	WO 98 49308 A (GENENTECH INC.) 5 November 1998 (1998-11-05) examples claims	1-69

☒ Further documents are listed in the continuation of box C.☒ Patent family members are listed in annex.

## \* Special categories of cited documents:

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- \*O\* document referring to an oral disclosure, use, exhibition or other means
- \*P\* document published prior to the international filing date but later than the priority date claimed

- \*T\* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
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Date of the actual completion of the international search

18 February 2002

Date of mailing of the international search report

01/03/2002

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## INTERNATIONAL SEARCH REPORT

Int. Application No  
PCT/US 01/20153

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	<p>R. URFER ET AL.: "High resolution mapping of the binding site of TrkA for nerve growth factor and TrkC for neurotrophin-3 on the second immunoglobulin-like domain of the Trk receptors." THE JOURNAL OF BIOLOGICAL CHEMISTRY, vol. 273, no. 10, 6 March 1998 (1998-03-06), pages 5829-5840, XP002116515 Baltimore, MD, USA page 5835, left-hand column, line 31 -right-hand column, line 14 table IV</p>	1-69
A	<p>M. MENDEZ ET AL.: "Functional transplant of megabase human immunoglobulin loci recapitulates human antibody response in mice." NATURE GENETICS, vol. 15, no. 2, February 1997 (1997-02), pages 146-156, XP002067603 New York, NY, USA cited in the application abstract</p>	1-69
T	<p>J. HONGO ET AL.: "Agonist monoclonal antibodies to human trkC receptor map to epitopes overlapping with NT-3 binding site." SOCIETY FOR NEUROSCIENCE ABSTRACTS, vol. 27, no. 1, 2001, page 356 XP001053704 abstract 137.4</p>	1-69

This International Searching Authority found multiple (groups of) inventions in this international application, as follows:

1. Claims: 1-11, 27-48, 50-57, 59-69 (all completely), 21-26, 49, 58 (all partially)

Agonist monoclonal antibody against trkC, its encoding nucleic acid, its manufacture, and its therapeutic use.

2. Claims: 12-20 (all completely), 21-26, 49, 58 (all partially)

Antibodies against trkC with specified CDR amino acid sequences.

Continuation of Box I.1

Although claims 50-57 and 59-63 (all completely) and claims 58 and 64 (both partially, as far as an in vivo method is concerned) are directed to a method of treatment of the human/animal body, the search has been carried out and based on the alleged effects of the compound/composition.

# INTERNATIONAL SEARCH REPORT

Int. Application No.  
PCT/US 01/20153

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
WO 9721732 A	19-06-1997	AU 7689496 A WO 9721732 A1 EP 0869976 A1	03-07-1997 19-06-1997 14-10-1998
WO 9849308 A	05-11-1998	US 6333310 B1 AU 7154698 A EP 0977860 A1 JP 2001527402 T WO 9849308 A1 ZA 9803289 A	25-12-2001 24-11-1998 09-02-2000 25-12-2001 05-11-1998 20-10-1999

Form PCT/ISA/210 (patent family annex) (July 1992)

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